

Searches for Higgs boson production through decays of heavy resonances

The CMS Collaboration

CERN, Geneva, Switzerland



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ABSTRACT

The discovery of the Higgs boson has led to new possible signatures for heavy resonance searches at the LHC. Since then, search channels including at least one Higgs boson plus another particle have formed an important part of the program of new physics searches. In this report, the status of these searches by the CMS Collaboration is reviewed. Searches are discussed for resonances decaying to two Higgs bosons, a Higgs and a vector boson, or a Higgs boson and another new resonance. All analyses use proton–proton collision data collected at $\sqrt{s} = 13$ TeV in the years 2016–2018. A combination of the results of these searches is presented together with constraints on different beyond-the-standard model scenarios, including scenarios with extended Higgs sectors, heavy vector bosons and extra dimensions. Studies are shown for the first time by CMS on the validity of the narrow-width approximation in searches for the resonant production of a pair of Higgs bosons. The potential for a discovery at the High Luminosity LHC is also discussed.

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Contents

1. Introduction.....	369
1.1. The Higgs boson at the LHC.....	370
1.2. Resonant Higgs boson production in models beyond the SM.....	373
1.2.1. Extended Higgs sectors.....	373
1.2.2. Warped extra dimensions (radion and Randall–Sundrum graviton).....	375
1.2.3. Heavy vector triplet (W' and Z').....	377
2. Detector and analysis techniques.....	379
2.1. The CMS detector.....	380
2.2. Physics objects.....	381
2.2.1. Jets and missing transverse momentum.....	382
2.2.2. Leptons and photons.....	383
2.3. Search for resonances in VH channels.....	384
2.3.1. The sub-TeV mass region.....	384
2.3.2. The high-mass region: leptonic V boson decays.....	385
2.3.3. The high-mass region: hadronic V boson decays.....	387
2.4. Search for resonances in the HH channel.....	389
2.4.1. The $X \rightarrow H(bb)H(WW)$ decay in resolved jet topology.....	389
2.4.2. The $X \rightarrow H(bb)H(WW)$ decay in merged-jet topology.....	390
2.4.3. The $X \rightarrow HH$ decays into multilepton final states.....	391
2.5. Search for resonances in the YH channel.....	392
2.5.1. The $X \rightarrow Y(bb)H(\tau\tau)$ decays.....	393

E-mail address: cms-publication-committee-chair@cern.ch.

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2.5.2.	The $X \rightarrow Y(bb)H(\gamma\gamma)$ decays.....	394
2.5.3.	The $X \rightarrow Y(bb)H(bb)$ decays in merged jet topology.....	395
2.6.	Statistical combination.....	396
3.	Upper limits on the cross sections.....	397
3.1.	The $X \rightarrow VH$ decays.....	397
3.2.	The $X \rightarrow HH$ decays.....	397
3.3.	The $X \rightarrow YH$ decays.....	398
4.	Model-specific interpretation.....	400
4.1.	Extended Higgs sector models.....	400
4.1.1.	The MSSM.....	400
4.1.2.	The 2HDM.....	401
4.1.3.	The NMSSM and TRSM models.....	402
4.1.4.	The real-singlet extension.....	406
4.2.	Warped extra dimensions.....	406
4.3.	Heavy vector triplet models.....	408
4.4.	Effects of finite width and interference in resonant HH production.....	408
5.	Discovery potential at the HL-LHC.....	412
5.1.	Methodology for estimation of the discovery potential.....	413
5.2.	Discovery potential for $X \rightarrow HH$	416
5.2.1.	Perspectives for the discovery of BSM benchmark signals.....	417
5.2.2.	Perspectives for MSSM scenarios.....	418
5.2.3.	Perspectives for the WED bulk scenario.....	418
5.2.4.	Perspectives for the singlet scenarios.....	419
5.3.	Discovery potential for $X \rightarrow YH$	419
5.3.1.	Perspectives for the NMSSM and TRSM.....	420
6.	Summary.....	422
	Declaration of competing interest.....	423
	Data availability.....	423
	Acknowledgments.....	423
	Appendix A. The CMS Collaboration.....	424
	Appendix B. Supplementary data.....	440
	References.....	441
	Glossary.....	447

1. Introduction

The discovery of the Higgs (H) boson in 2012 [1–3], at a mass of about 125 GeV, represented a breakthrough for elementary particle physics. Its observation brought a direct confirmation of the principle of spontaneous symmetry breaking, which lies at the origin of elementary particle masses, and is a cornerstone of today's standard model (SM) of particle physics.

Since the H boson discovery, subsequent research has shown that the measured production and decay modes of the H boson are in agreement with the SM expectations [4]. Thanks to the high luminosity of the CERN Large Hadron Collider (LHC), the very sizeable data set of proton–proton (pp) collisions at a center-of-mass energy of 13 TeV accumulated during Run 2 (2015–2018), and the considerable advances in analysis methodology, the very elusive SM Higgs boson pair (HH) production process gradually comes into reach. HH production allows the probing of the trilinear Higgs coupling and thus the investigation of the shape of the Higgs potential.

The H boson also provides an excellent instrument to probe hitherto unknown physics beyond the SM (BSM). A very striking feature of such extensions would be the existence of heavy resonances coupling directly to the H boson, which might, even dominantly, decay into final states involving H bosons. This would lead to an additional source of H boson production in resonant topologies, which is absent in the SM. Given the small cross section of SM HH production due to the destructive interference between several contributing processes, an excess of HH production with respect to the SM prediction could reveal the existence of heavy BSM resonances. Similarly, the associated production of H and vector bosons could be significantly modified by resonant contributions.

Extended Higgs sectors, which comprise more than the single complex Higgs doublet of the SM, would provide natural candidates for heavy scalar bosons that decay into final states with one or more H bosons. Examples of such models are two-Higgs-doublet models (2HDM) [5–8], 2HDMs extended with a scalar singlet (2HDM+S) [9–11], and the two-real-singlet model (TRSM) [12]. Supersymmetry naturally incorporates extended Higgs sectors. The minimal supersymmetric model (MSSM) [13–16] features a 2HDM-type Higgs sector, while the next-to-minimal supersymmetric model (NMSSM) [17–19] includes a 2HDM+S-type Higgs sector.

Models of warped extra dimensions (WED) [20–29] predict the existence of an additional spatial dimension in which the field quanta of gravity, the gravitons, propagate. The Randall–Sundrum bulk model gives rise to heavy resonances such as the spin-0 radion, and a tower of Kaluza–Klein excitations of the spin-2 graviton, which might have sizeable branching

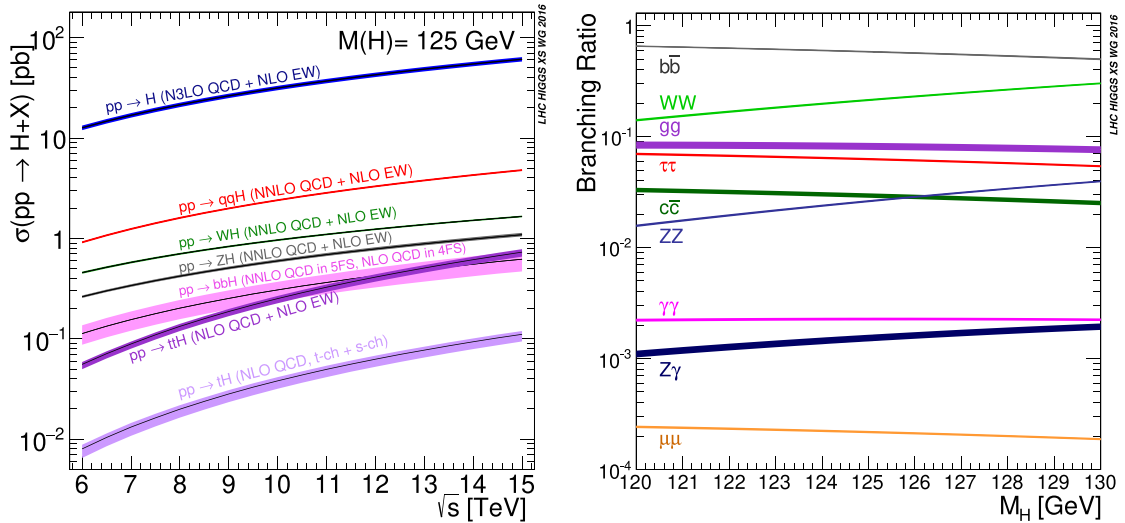


Fig. 1. Higgs boson production cross sections in the SM as a function of the collider center-of-mass energy (left), and Higgs boson branching fractions in the SM as a function of the Higgs boson mass (right).

Source: Both figures are taken from Ref. [35].

fractions into HH. In certain models, which might potentially solve the hierarchy problem, heavy vector resonances, like W' and Z' bosons, form a heavy vector triplet (HVT) [30]. They could manifest themselves through decays into ZH and WH, where W and Z denote the electroweak (EW) gauge bosons.

This report is organized as follows: Section 1 provides a brief introduction to the H boson and the theoretical concepts underlying the resonant production of H bosons. Section 2 summarizes the techniques of the respective CMS analyses on 13 TeV data. Section 3 presents a coherent picture of the results of these analyses, as well as combinations of these results. Section 4 is dedicated to interpretations of the results in the various models. Section 5 discusses projections towards higher integrated luminosities, including the potential for a discovery at the High-Luminosity LHC (HL-LHC). A summary is given in Section 6.

Tabulated results unique to this report are provided in a HEPData record [31].

1.1. The Higgs boson at the LHC

The Higgs boson was first proposed in the 1960s [32–34], and was finally discovered at the LHC in 2012, by the ATLAS [1] and CMS [2,3] collaborations.

In the SM, the Higgs field has a nonzero vacuum expectation value, which breaks the EW symmetry and generates the masses of the W and Z bosons, while leaving the photon massless. This process is called the EW symmetry breaking of the Brout–Englert–Higgs mechanism.

There are several ways that H bosons can be produced at the LHC in pp collisions, each with their own unique experimental signature. The production cross sections are shown in Fig. 1 (left).

The dominant H boson production mechanism is through the “gluon fusion” process (ggF), which involves the fusion of two gluons from the colliding protons. This process accounts for around 88% of all H bosons produced at the LHC. “Vector boson fusion” (VBF) describes the scattering of two vector bosons V (W or Z bosons) exchanged between the colliding protons, and accounts for around 8% of all Higgs bosons produced at the LHC. Other processes include associated H boson production with vector bosons (VH), with top quarks ($t\bar{t}H$), or with bottom quarks ($b\bar{b}H$).

Once produced, Higgs bosons can decay in various ways, each producing a different final state of particles. The most common and experimentally accessible H boson decay modes are to a pair of bottom quarks, W bosons, τ leptons, and Z bosons as shown in Fig. 1 (right). The H boson does not directly couple to gluons or photons, but can decay into them via fermion or W boson loops.

The properties and couplings of the H boson have been extensively studied at the LHC. The SM does not predict the mass of the H boson, but once the mass is given, all its other properties are defined. The most recent and precise measurement of the H boson mass is $m_H = 125.22 \pm 0.14$ GeV [36]. The CMS Collaboration has measured the H boson width to be $\Gamma_H = 3.2^{+2.4}_{-1.7}$ MeV via off-shell production in the 4ℓ and $2\ell + 2\nu$ final states [37]. This value is in agreement with the SM prediction of 4.1 MeV [38].

To quantify the agreement between the data and the SM predictions, the concept of a signal strength can be used, which is defined as $\mu = \sigma / \sigma_{SM}$, where σ is the measured production cross section and σ_{SM} is the SM prediction. After performing

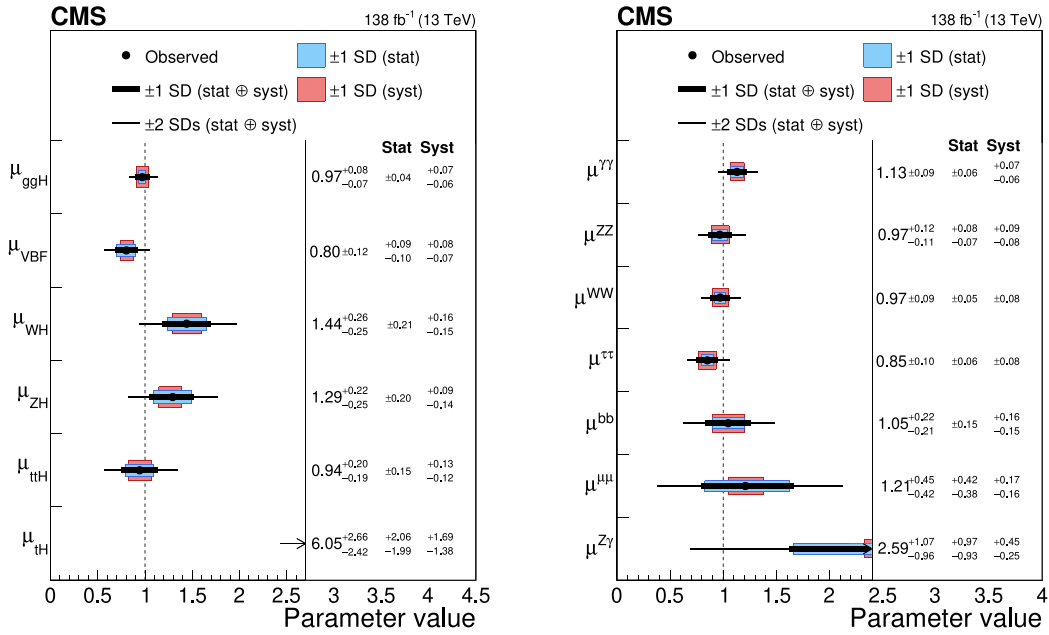


Fig. 2. Signal strength parameters extracted for various production modes μ_i , assuming the branching fractions $\mathcal{B}^f = \mathcal{B}_{SM}^f$ (left), and decay channels μ^f , assuming the production cross sections as predicted by the SM (right). The thick and thin black lines indicate the one and two s.d. confidence intervals (labeled by SD in the figures), with the systematic and statistical components of the former indicated by the red and blue bands, respectively. The vertical dashed line at unity represents the values of μ_i (resp. μ^f) in the SM.
Source: Taken from Ref. [4].

a combined fit of all the data collected at $\sqrt{s} = 13$ TeV, in all production modes and decay channels, the observed H signal strength is $\mu = 1.002 \pm 0.057$ [4], in agreement with the SM prediction. Fig. 2 shows the CMS measurements of the signal strength parameter for each production mode, $\mu_i = \sigma_i/\sigma_{i,SM}$, and several decay channels, $\mu^f = \mathcal{B}^f/\mathcal{B}_{SM}^f$, where $\mathcal{B}$ is the branching fraction. The production modes ggF, VBF, WH, ZH, and ttH are all observed with a significance of five standard deviations (s.d.) or above [4].

To quantify the impact of new physics on the interaction between the H boson and other particles, we scale the coupling strengths as predicted in the SM by a factor κ_i (the coupling modifiers) [38], where i is the coupling which the modifier corresponds to. Two coupling modifiers are introduced, κ_V and κ_f , to scale the couplings to the EW gauge bosons and fermions, respectively. When differentiating between the two heavy gauge bosons W and Z, we can define κ_W and κ_Z . Equivalently, for the fermions we define κ_t , κ_b , κ_τ , and κ_μ . In extensions of the SM with new particles, loop-induced processes may receive additional contributions, therefore introducing additional modifiers for the effective couplings of the H boson to gluons (κ_g), photons (κ_γ), and $Z\gamma$ ($\kappa_{Z\gamma}$). According to the latest combined results on these effective couplings shown in Fig. 3, the measured coupling modifiers are compatible with the SM expectations within 1.5 s.d., with uncertainties around 10% for most couplings [4]. The invisible and undetected decays of the Higgs boson are also considered, where the latter expression refers to Higgs boson decays into final states that cannot be distinguished from background processes, at the LHC.

The H boson trilinear coupling is a measure of the Higgs field's self-interaction strength and determines the shape of its potential. The Higgs boson self-coupling can be accessed directly at the LHC via Higgs boson pair production. This rare process has a very low SM cross section because of the destructive interference of the two contributing processes at leading order (LO), the box and triangle diagrams shown in Fig. 4 (left and middle). Only the triangle diagram is sensitive to the H trilinear coupling. As a result, detecting the production of Higgs boson pairs and determining the trilinear self-coupling is a major experimental challenge.

The CMS Collaboration has constrained the coupling modifier for the trilinear H boson self-coupling to be within -1.24 and 6.49 at 95% confidence level (CL) using pp data corresponding to an integrated luminosity of 138 fb^{-1} , and assuming SM values for the Higgs boson couplings to top quarks and vector bosons. The production cross section for inclusive HH production has been constrained to be smaller than 3.4 times the value predicted in the SM at 95% CL [4].

To understand the Higgs sector is essential for particle physics and cosmology. Determining the exact behavior of the Higgs field will help us understand the formation of structures in the early universe immediately after the Big Bang, and the stability of the vacuum. Some theories of inflation involve a scalar field as the inflaton, the field responsible for driving the expansion of the universe [39,40]. The fact that the Higgs field is the only scalar field currently known motivates a theory based on the idea that the Higgs field caused inflation. In addition, the behavior of the Higgs field during the phase

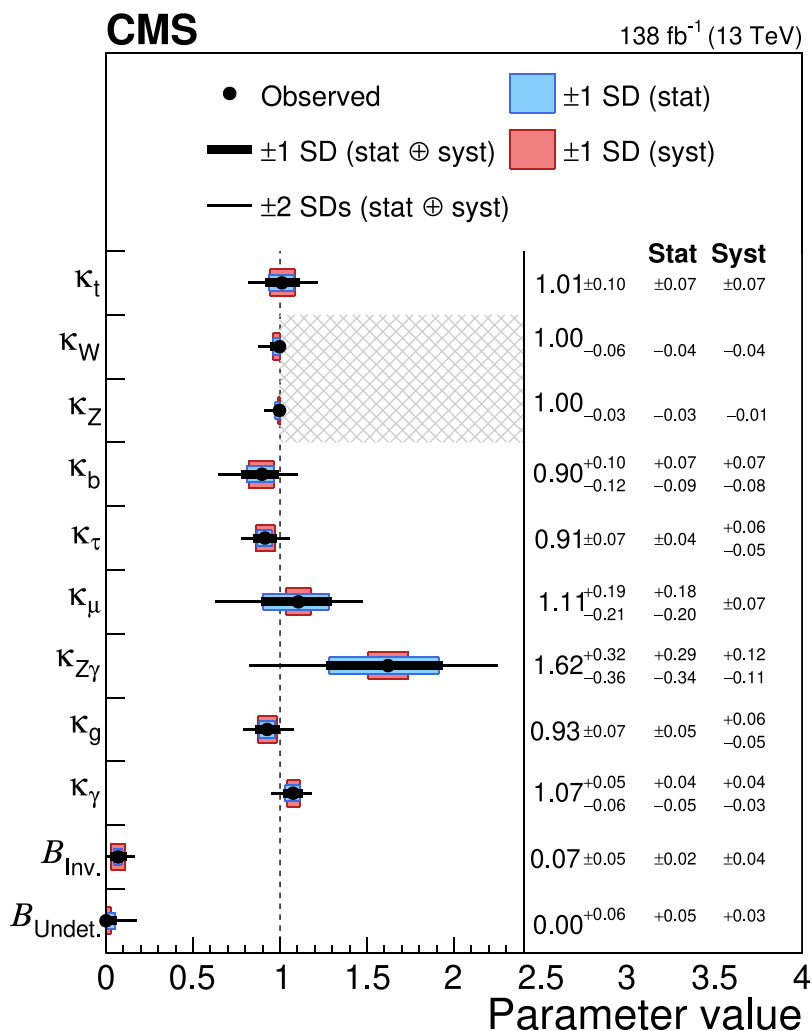


Fig. 3. Measurements of the coupling modifiers κ_i , allowing both invisible and undetected decay modes, with the SM value used as an upper bound on both κ_W and κ_Z . The thick and thin black lines indicate the ± 1 and ± 2 s.d. confidence intervals, respectively, with the systematic and statistical components of the ± 1 s.d. interval indicated by the red and blue bands. The resulting branching fractions for invisible and undetected decay modes are also displayed.

Source: Taken from Ref. [4].

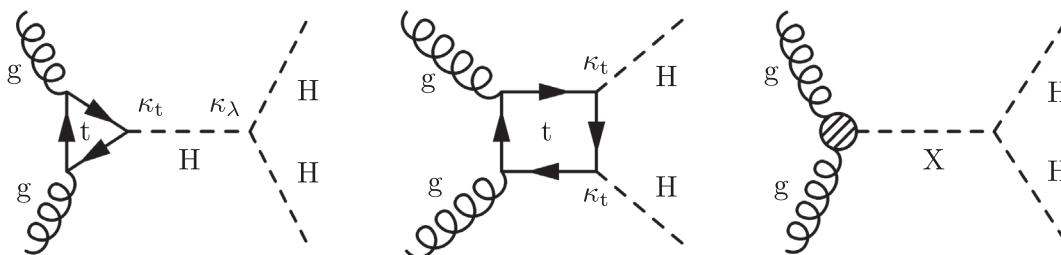


Fig. 4. Leading order Feynman diagrams of Higgs boson pair production via gluon fusion. The left and middle parts of the figure show the “triangle” and “box” diagrams, respectively, for nonresonant H production, as expected from the SM. The right part of the figure shows a diagram for H boson production through a new resonance labeled as X.

transition in the early universe can explain leptogenesis [41] and baryogenesis [42,43] and could potentially shed light on the matter–antimatter asymmetry we observe today [44]. However, the Higgs sector does not have to be minimal. The presence of additional fields would have an impact on the mechanisms of inflation, leptogenesis, and baryogenesis. Studying the Higgs interactions in detail and exploring the Higgs sector more broadly provide a promising avenue for discovering BSM physics and understanding the evolution of the early universe.

1.2. Resonant Higgs boson production in models beyond the SM

The production of H bosons through the decay of heavy resonances is not possible within the SM. A generic example of a Feynman diagram for such a process is shown in Fig. 4 (right), where X denotes a sufficiently heavy resonance. In the following, we briefly review BSM models in which such production mechanisms occur.

1.2.1. Extended Higgs sectors

The SM Higgs sector consists of one complex Higgs doublet and leads to the prediction of one physical H boson. However, there is no guarantee that the Higgs sector is minimal. The SM Higgs sector can be extended with additional singlets, doublets, or triplets, or combinations thereof [6,45–48]. Extended Higgs sectors imply the presence of additional Higgs bosons: Neutral Higgs bosons appear in singlet, doublet, and triplet extensions; charged Higgs bosons $H^\pm$ appear in doublet and triplet extensions; and doubly charged Higgs bosons, like e.g., H^{++} , appear in triplet extensions. In this report, we focus on extensions with singlets and doublets since these lead to final states with SM-like H bosons. Furthermore, it is assumed that the singlets and doublets acquire a vacuum expectation value and couple to the SM particles.

The phenomenology of the additional neutral bosons also depends on their CP structure. Here, we only consider CP eigenstates: pure scalars are denoted by either X or Y, with $m_X > m_Y$, and pseudoscalars are denoted by A or a, with $m_A > m_a$. Depending on the structure of the extended Higgs sector and on the masses of the additional scalars and pseudoscalars, the following decays involve SM-like H bosons in the decay chain:

1. decays of a heavy neutral scalar to two SM-like Higgs bosons, $X \rightarrow HH$,
2. decays of a heavy neutral scalar to an SM-like Higgs boson and another scalar, $X \rightarrow YH$,
3. decays of a heavy pseudoscalar to an SM-like Higgs boson and another pseudoscalar, $A \rightarrow Ha$, and
4. decays of a heavy pseudoscalar to an SM-like Higgs boson and a Z boson, $A \rightarrow ZH$.
5. For large m_X and if $m_Y \gtrsim 250$ GeV, there can also be chained decays leading to the production of multiple H bosons, $X \rightarrow YY \rightarrow HHHH$ and $X \rightarrow YH \rightarrow HHH$.

In general, the additional singlets and doublets mix with the SM doublet and therefore modify the couplings of the SM-like scalar H [49]. The couplings of the observed H boson agree well with the SM predictions, leading to significant constraints on the parameter space of extended Higgs models [4,50–52].

Additional singlets. The most straightforward extension of the SM Higgs sector is to introduce an additional real-singlet field S [53–56]. The ratio of the vacuum expectation values v of the SM complex doublet and of the singlet, $\langle S \rangle$, defines the parameter $\tan \beta = v/\langle S \rangle$. The real-singlet model leads to one additional scalar X, which can be heavier or lighter than H. When applying a $\mathbb{Z}_2$ symmetry that requires invariance under transformation of the field $S \rightarrow -S$, the scalar X obtains its couplings to SM particles from mixing with the SM-like H boson, with a mixing angle α . The couplings to SM particles hence correspond to those of the H boson, albeit suppressed by a factor $\sin \alpha$ if $m_X > m_H$.

For this reason, also the branching fractions of the scalar X equal those of an SM-like Higgs boson, unless $m_X > 2m_H$, in which case the decay $X \rightarrow HH$ becomes kinematically possible. In this case, the decays to other SM particles are suppressed depending on the partial width of the X decay to HH, which depends on $\tan \beta$. In summary, the extension with a real additional scalar with $\mathbb{Z}_2$ symmetry involves three new free parameters – the mass of the additional scalar m_X , the mixing angle α , and the ratio of the two vacuum expectation values $\tan \beta$ – and leads to $X \rightarrow HH$ decays if kinematically possible, with a relative rate compared to the other decay modes depending on $\tan \beta$.

Adding a second real singlet field, again imposing $\mathbb{Z}_2$ symmetry, defines the two real singlet model (TRSM) [12]. Compared to the real-singlet extension, the TRSM introduces four new parameters: the mass of a second new scalar Y, the mixing angles between the second real singlet field and the complex SM doublet as well as the other real singlet field, and the vacuum expectation value of the second real singlet field. The main difference in terms of phenomenology is that, depending on the masses of the additional Higgs bosons, decays of type $X \rightarrow YH$, $X \rightarrow HH$ (or $Y \rightarrow HH$), and $X \rightarrow YY$ become possible, including the chained decays $X \rightarrow YY \rightarrow HHHH$ and $X \rightarrow YH \rightarrow HHH$. All of the decays involving H bosons can have large branching fractions and are hence of experimental interest. The final states that provide the largest sensitivity after the decays of the H bosons depend on the branching fractions of H (discussed in Section 1.1) and Y. The Y branching fractions correspond to those of an SM-like Higgs boson of mass m_Y . Therefore, decays into W and Z bosons dominate above the WW and ZZ production thresholds, and decays to $t\bar{t}$ become relevant above the $t\bar{t}$ production threshold. This is different from 2HDMs where decays into fermions are dominant for a large fraction of the parameter space.

Additional doublets. In 2HDMs, the SM is extended with a second Higgs doublet leading to the emergence of three neutral and two charged Higgs bosons [5–8]. Most commonly it is required that each Higgs doublet only couples to charged fermions of one type (up-type quarks, down-type quarks, or charged leptons) and CP -violating terms at the tree level are forbidden to evade constraints from flavor-changing neutral currents and the negative results of searches for CP violation in the Higgs sector. These constraints give rise to four types of 2HDMs that are distinguished by which of the Higgs doublet fields couples to which type of fermions:

1. Type I, with all charged fermions coupled to the second Higgs doublet,
2. Type II, with only up-type quarks coupled to the second Higgs doublet,
3. Lepton-specific (Type X), with only up-type and down-type quarks coupled to the second Higgs doublet, and
4. Flipped (Type Y), with only up-type quarks and charged leptons coupled to the second Higgs doublet.

The free parameters of the model can be expressed in terms of the masses of the Higgs bosons (m_H , with H being the SM-like Higgs boson, as well as m_X , m_A , and $m_{H^\pm}$), the vacuum expectation value of the SM-like doublet v , the ratio of the two vacuum expectation values $\tan\beta$, the mixing angle α , and a parameter m_{12} that softly breaks the $\mathbb{Z}_2$ symmetry. Since m_H and v are known, there are six free parameters in these 2HDM scenarios. However, there are important constraints on the parameter space. Constraints from EW precision data require the masses of at least two of the additional Higgs bosons to be close to each other [57], which is why mass-degenerate scenarios are studied most frequently. In addition, flavor observables lead to strong constraints in the overall parameter space, and in particular to lower bounds on $m_{H^\pm}$ of around 600 GeV in Type II and Type Y models [58–61]. Furthermore, in the so-called alignment limit with $\cos(\beta - \alpha) \rightarrow 0$ the H boson becomes SM-like. In turn, the measurements of the H boson couplings, which are consistent with the SM predictions within uncertainties [4,52], lead to constraints on $|\cos(\beta - \alpha)|$ between 0.02 and 0.3, depending on the type of 2HDM and on $\tan\beta$ [50–52,57].

The couplings of additional heavy Higgs bosons X and A that involve an H boson crucially depend on $\cos(\beta - \alpha)$. For $\cos(\beta - \alpha) \rightarrow 0$, the branching fractions for the decays $X \rightarrow HH$ and $A \rightarrow ZH$ vanish. While the couplings remain the same for different types of 2HDMs, the branching fractions can be different because these depend on the partial widths of all decay modes. Example branching fractions for 2HDMs of Type I and Type II are shown in Fig. 5. For non-mass-degenerate 2HDMs, decays of type $A \rightarrow ZX$ and $X \rightarrow ZA$ are possible. If allowed, these decays usually have large branching fractions that are not suppressed in the alignment limit.

An important special case of a 2HDM of Type II is the Higgs sector of the minimal supersymmetric standard model (MSSM) [13–16]. At the tree level, the MSSM Higgs sector can be described by two parameters, m_A and $\tan\beta$. The MSSM naturally predicts an H boson that has nearly SM-like couplings, in particular when m_A is large. Furthermore, the mass of the SM-like H boson follows from the MSSM parameter values. For small values of $\tan\beta$, i.e., $\tan\beta \gtrsim 1$, a large supersymmetry (SUSY) breaking scale is needed to achieve $m_H = 125$ GeV. This is, however, consistent with the nonobservation of SUSY partners at the LHC.

Various MSSM benchmark scenarios have been proposed [35,64–67,70]. Searches for $A/X \rightarrow \tau\tau$ exclude a large fraction of parameter space at medium to high $\tan\beta$ [71], such that the phenomenologically interesting parameter space is at low to medium $\tan\beta$. Fig. 6 shows the $X \rightarrow HH$ branching fractions in two scenarios that are particularly designed to give non-excluded predictions at low $\tan\beta$. The branching fractions for masses below the $t\bar{t}$ threshold can reach values of more than 80%, making this channel very important, though measurements of the H boson couplings indicate that $m_A > 400$ –500 GeV [50,51]. For intermediate values of $\tan\beta$, the branching fraction $\mathcal{B}(X \rightarrow HH)$ can still be of order 10% and the search remains important, whereas $\mathcal{B}(A \rightarrow ZH)$ is found to become negligible.

Models with additional singlets and doublets. Models that combine singlets and doublets include the next-to-minimal 2HDM (N2HDM), which extends the 2HDM with a real singlet, and the 2HDM+S, which extends the 2HDM with a complex singlet [9–11]. Considering only CP -conserving versions of the model, the N2HDM predicts three CP -even neutral Higgs bosons (again denoted as H , X , and Y), one CP -odd neutral Higgs boson A , and two charged Higgs bosons $H^\pm$. Compared to 2HDMs, the N2HDM adds four additional parameters [11]: two mixing angles α_2 and α_3 , responsible for the mixing of the additional singlet with the two doublets, the mass of the additional CP -even Higgs boson m_Y , and the vacuum expectation value of the real singlet v_S . The N2HDMs can be categorized in the same four scenarios as 2HDMs, depending on the Yukawa couplings.

Phenomenologically, N2HDMs share many similarities and constraints with 2HDMs, in particular, those related to EW constraints and flavor observables. However, the singlet admixture can affect the couplings of the SM-like H boson. Most importantly, the additional scalar Y can either be produced directly or in decays of heavier Higgs bosons, $X \rightarrow YH$ and $X \rightarrow YY$. Unlike decays to two SM-like Higgs bosons like $X \rightarrow HH$, these decays are not suppressed in the alignment limit, which is at least approximately realized given the SM-like nature of the H boson. The decays $X \rightarrow YH$ and $X \rightarrow YY$ can hence be dominant if kinematically allowed. The branching fractions of the Y boson to SM particles depend on the Yukawa type and the other model parameters. This leads to a variety of experimentally relevant final states [72,73].

The 2HDM+S model adds a second CP -odd Higgs boson, leading to the additional $A \rightarrow H_a$ decay, which is experimentally consistent with the $X \rightarrow HY$ signature [74]. The Higgs sector of the next-to-minimal MSSM (NMSSM) is a 2HDM+S of Type II [17–19]. The NMSSM generally leads to the same signatures as the N2HDM and 2HDM+S models, but, like the MSSM, is more constrained due to the characteristics of SUSY, and these constraints differ from the MSSM because of the additional particle content [55,75,76].

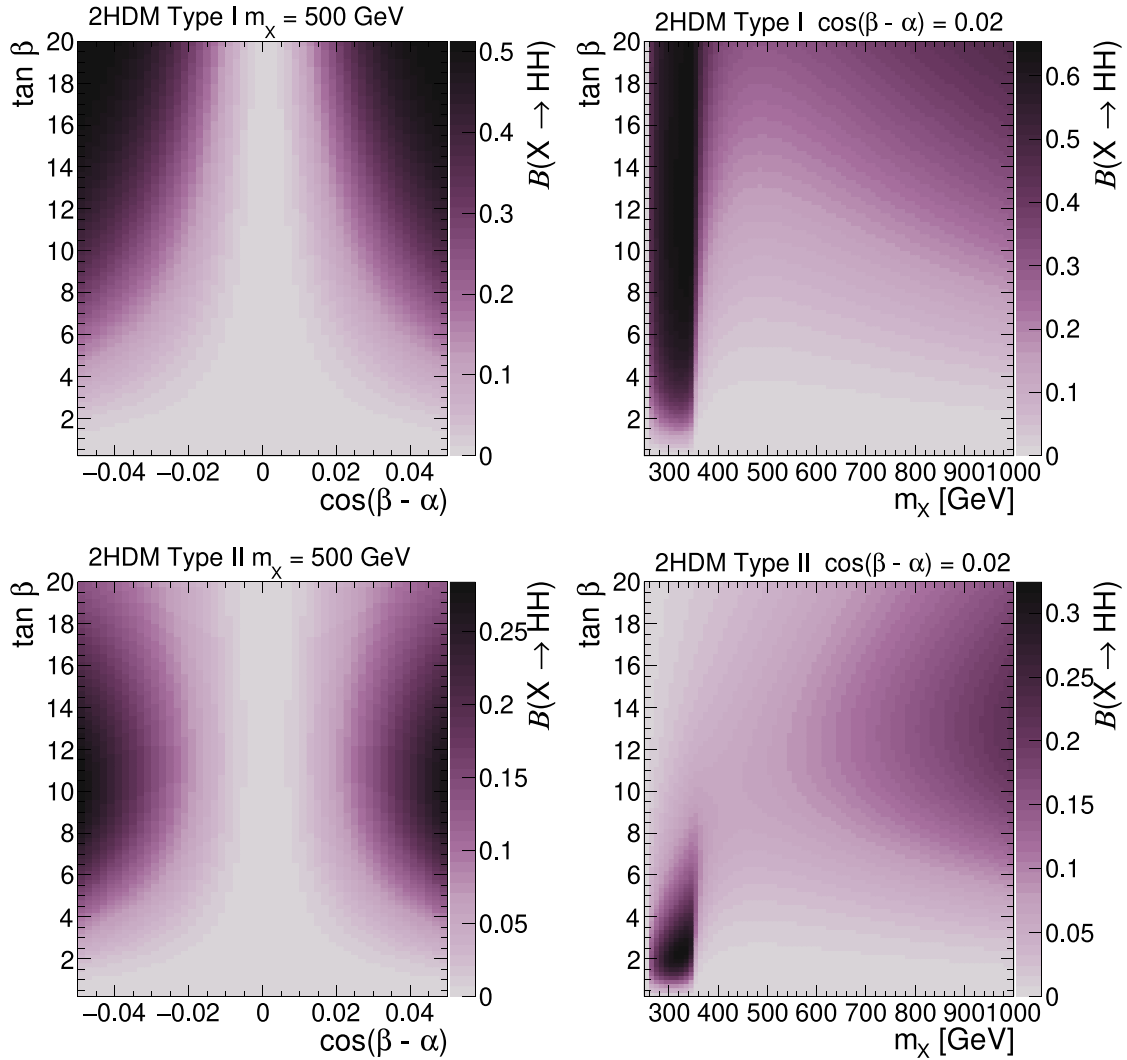


Fig. 5. Branching fractions of $X \rightarrow HH$ decays in 2HDMs of Type I (upper) and Type II (lower) in the $\cos(\beta - \alpha)$ - $\tan \beta$ plane for $m_X = 500$ GeV (left) and in the m_X - $\tan \beta$ plane for $\cos(\beta - \alpha) = 0.02$ (right). The masses of all non-SM-like Higgs bosons are set to be the same, $m_X = m_A$, and $m_{12}^2 = m_A^2 \tan \beta / (1 + \tan^2 \beta)$. The branching fractions have been calculated with 2HDMC v1.8.0 [62,63].

1.2.2. Warped extra dimensions (radion and Randall–Sundrum graviton)

Models with a warped extra dimension (WED), as proposed by Randall and Sundrum (RS) [20], postulate the existence of one extra spatial dimension compactified between two fixed “branes”. The region between the branes is referred to as bulk and possesses an exponential metric. The gap between the two fundamental scales of nature, such as the Planck scale (M_{Pl}) and the EW scale, is controlled by a warp factor (k) in the metric, which corresponds to one of the fundamental parameters of the model. The brane where the extra-dimensional metric’s density is localized is called the “Planck brane”, while the other, where the Higgs field is localized, is called the “TeV brane”. This class of models predicts the existence of new particles that can decay to HH , such as the spin-0 radion R [21–23], and the first spin-2 Kaluza–Klein (KK) excitation of the graviton, G [24–26]. The radion is an additional element of WED models, needed to stabilize the size of the extra dimension l , where the distance between the branes is connected to its vacuum expectation value [21].

There are two possible ways of describing a KK graviton in WED models, that depend on the localization choice for the SM matter fields, as shown in Fig. 7. In the RS1 model, only gravity is allowed to propagate in the extra-dimensional bulk. In this model, the KK graviton couplings to matter fields are controlled by the dimensionless quantity $\tilde{k} = k/\bar{M}_{\text{Pl}}$ [20], with the reduced Planck mass $\bar{M}_{\text{Pl}}$ defined by $M_{\text{Pl}}/\sqrt{8\pi}$. The second possibility is to have SM particles propagate in the bulk, the bulk-RS model. In this scenario, the KK graviton couplings to the matter fields depend on the localization of the SM fields in the bulk. This report uses the phenomenology of Ref. [27]. The SM particles are allowed to propagate in the

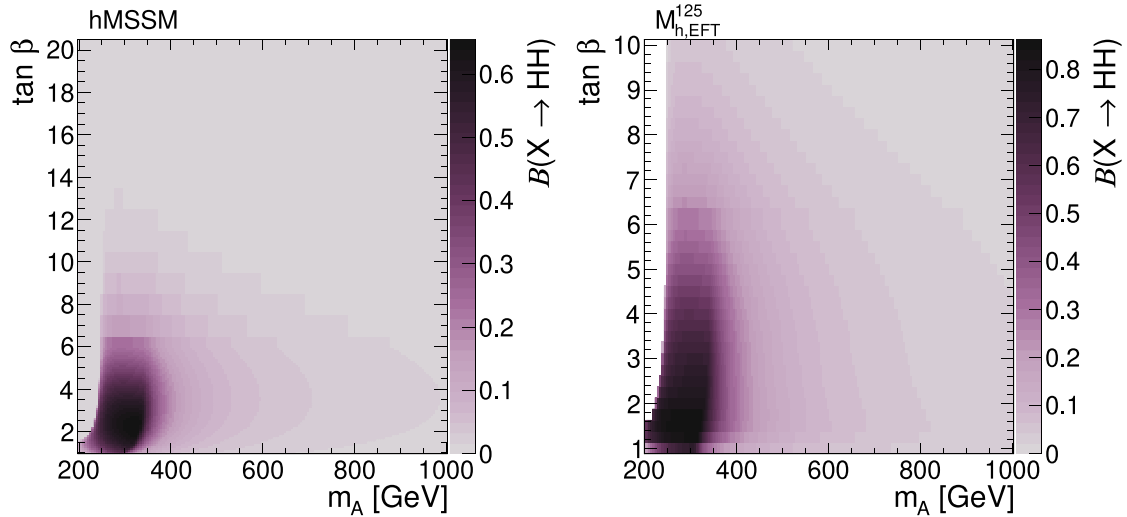


Fig. 6. Branching fraction of $X \rightarrow HH$ decays in the MSSM, for the hMSSM [64–66] (left) and the $M_{h,EFT}^{125}$ [67] benchmarks, in the m_A – $\tan \beta$ plane. Source: The branching fractions are taken from benchmark files produced by the MSSM subgroup of the LHC Higgs Working Group [68,69].

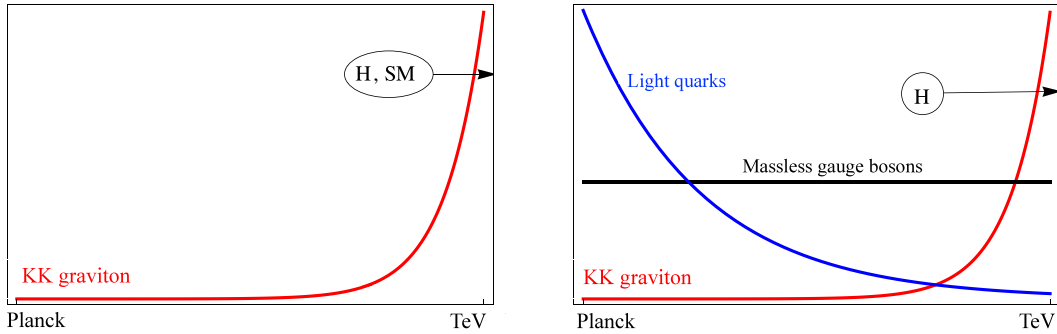


Fig. 7. Localization of fields on the branes, in different types of the Randall–Sundrum (RS) model: RS1 (left) and bulk-RS (right). The x-axis represents the 5th dimension with the Planck brane on the left and the TeV brane on the right. The y-axis is the probability density. Source: Adapted from Ref. [28].

bulk and follow the SM gauge group’s characteristics, with the right-handed top quark localized very near the TeV brane (the so-called elementary top quark hypothesis).

It is common practice to express the benchmark points of the model in terms of $\tilde{k}$, and the mass scale $\Lambda_R = \sqrt{6} e^{-kl} \bar{M}_{Pl}$, where the latter is interpreted as the ultraviolet cutoff of the model [29]. The addition of a scalar-curvature term can induce mixing between the scalar R and the H boson [29,77,78]. Precision EW studies suggest that this mixing is small [79], so we neglect the possibility of R–H mixing in this report.

The choice of localization of the SM matter fields for the KK-graviton resonance impacts the kinematics of the signal and drastically modifies its production and decay properties [80], so that a distinction of the RS1 and bulk-RS models is necessary for the G phenomenology. In contrast, the physics of the radion depends only very weakly on the choice of the model [29], which obviates the need to distinguish the RS1 and bulk-RS possibilities in this case. More details on WED models can be found in Ref. [28].

In RS1, with all the SM fields localized on the TeV brane, a heavy graviton would decay to a wide range of final states with significant branching fractions as shown in Fig. 8 (upper left), and constraints on the RS1 model are mainly obtained from fermionic channels [81]. In the bulk-RS model, the maximum branching fraction to a pair of Higgs bosons is below 10% under the hypothesis of an elementary H boson, as shown in Fig. 8 (upper right). Accordingly, the HH final state is usually not the most important one for placing constraints on the bulk-RS model, where the largest sensitivity arises from searches in WW, ZZ, or $t\bar{t}$ signatures. However, the branching fraction to HH can reach 25% if the top quark coupling becomes small, such that investigations of HH signatures are necessary in the context of bulk-RS models, because the branching fractions are very model dependent.

The dominant R decay modes are into pairs of massive gauge bosons, H bosons, and top quarks, as shown in Fig. 8 (lower). Since the couplings are determined by the masses of the final-state particles, and these masses arise from the H

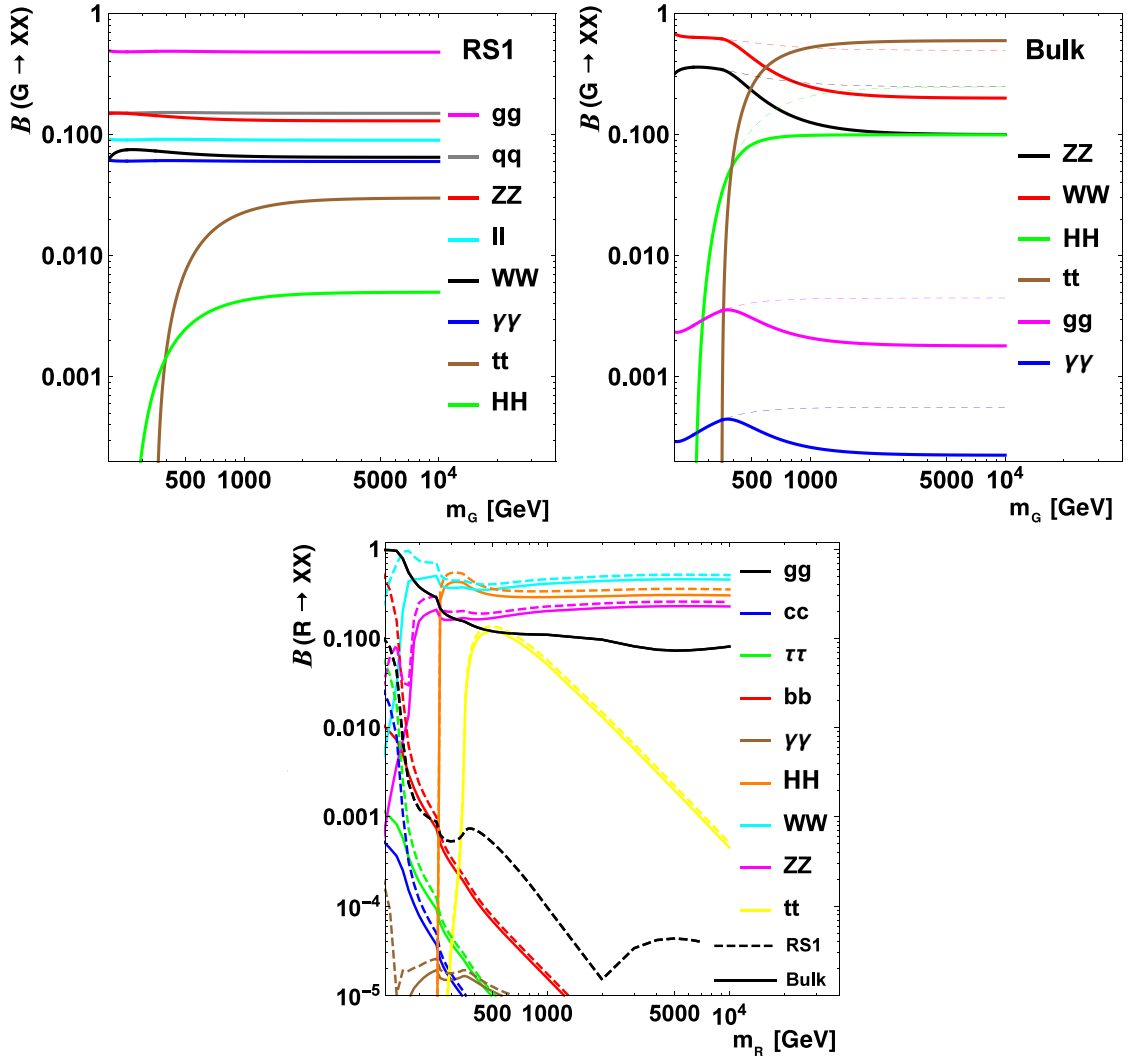


Fig. 8. The decay branching fractions of an RS1 graviton (upper left), bulk graviton (upper right), and radion (lower). Solid lines assume a fully elementary top quark, while the dashed lines ignore the coupling of the graviton to top quarks.
Source: Adapted from Ref. [28].

boson localized on the TeV brane, the RS1 and bulk-RS couplings are identical at LO. For large resonance mass m_X , the corresponding widths are

$$\Gamma(R \rightarrow HH) = \Gamma(R \rightarrow ZZ) = \Gamma(R \rightarrow WW)/2 = \frac{1}{32\pi} \frac{m_X^3}{\Lambda_R^2} \quad (1)$$

and

$$\Gamma(R \rightarrow t\bar{t}) = \frac{3}{8\pi} \left(\frac{m_t}{m_X} \right)^2 \frac{m_X^3}{\Lambda_R^2}. \quad (2)$$

For large radion masses, the branching fraction to HH is approximately 25%, independent of Λ_R , because the contribution from decays to $t\bar{t}$ is suppressed by $(m_t/m_X)^2$. This makes $R \rightarrow HH$ an important channel in the search for a radion resonance.

1.2.3. Heavy vector triplet (W' and Z')

A class of models extending the gauge groups of the SM predicts new force-carrying vector bosons. They may form a heavy vector triplet (HVT) [30] consisting of W' and Z' , in analogy with the carriers of the weak force. Examples

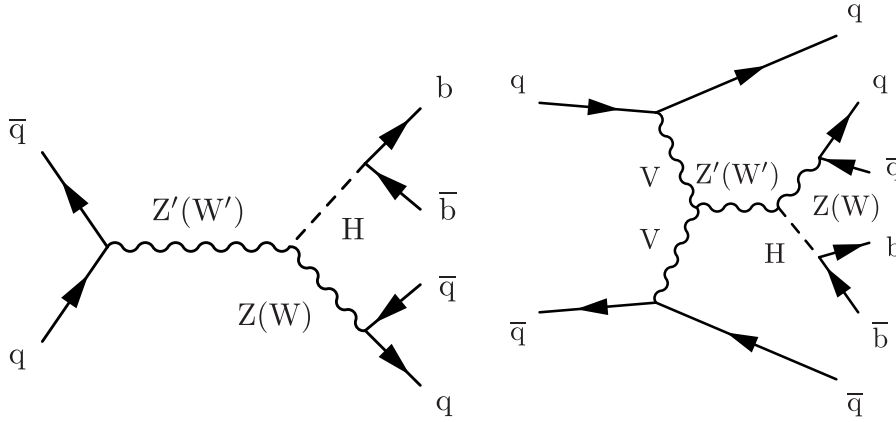


Fig. 9. Feynman diagrams for the production of Z' and W' bosons produced through the (left) Drell-Yan and (right) vector boson fusion process. The Z' (resp. W') boson subsequently decays into ZH and WH , respectively.

of such theories include weakly coupled W' and Z' models [82–84], little Higgs models [85,86], and composite Higgs scenarios [87–91]. The latter are of particular interest as they offer a potential solution to the hierarchy problem. In these scenarios, the H boson is a strongly coupled bound state, a pseudo-Nambu–Goldstone boson, sharing constituents with new heavy vector bosons. One possible signature of such models at the LHC is H boson production through new heavy vector resonances.

The phenomenology of models including an HVT can be deduced from a simplified Lagrangian [30]. The HVT model is characterized in terms of four parameters: the masses of the W' and Z' resonances, which are degenerate, a coefficient c_F , which scales the W' and Z' couplings to fermions, another coefficient c_H , which scales the W' and Z' couplings to the H boson and longitudinally polarized SM vector bosons, and g_V , which represents the typical strength of the new vector boson interaction.

The two main W' and Z' production modes at the LHC and their decays to VH are shown in Fig. 9. The triplet field, which mixes with the SM gauge bosons, couples to the fermionic current through the combination of parameters $g_F = g^2 c_F / g_V$ and to the H and V bosons through $g_H = g_V c_H$, where g is the $SU(2)_L$ gauge coupling, taken to be $2m_W/v = 0.6534$ with the W boson mass m_W and the vacuum expectation value v from Ref. [48]. We will derive the constraints on the couplings g_H and g_F for several values of g_V below.

Three benchmark scenarios are typically considered in searches.

- Model A, with $g_V = 1$, $c_H = -0.556$, and $c_F = -1.316$, corresponding to $g_F = -0.562$ and $g_H = -0.556$. This scenario reproduces a model with a weakly coupled extended gauge theory [92].
- Model B, with $g_V = 3$, $c_H = -0.976$, and $c_F = 1.024$, corresponding to $g_F = 0.146$ and $g_H = -2.928$. It mimics a minimal strongly coupled composite Higgs model [88].
- Model C, with $g_V = 1$, $c_H = 1 - 3$, and $c_F = 0$, is a model where couplings to fermions are suppressed, such that no production via a Drell–Yan (DY) process is possible at the LHC and the production of W' and Z' bosons happens exclusively via VBF.

In all three scenarios, fermion universality is assumed. In model A, the vector resonances have larger couplings to fermions than to bosons, with the branching fractions to quarks enhanced by the color factor in QCD. Thus, searches for resonances in fermion pair production are most sensitive. Models B and C have large branching fractions to boson pairs, while the fermionic couplings are suppressed.

The analyses discussed in this paper derive results using the narrow-width approximation. In this approximation, the production of a certain final state through the W' and Z' resonances can be factorized into the production of the W' and Z' resonances, followed by the decay with the respective branching fractions to the final state under consideration. We parametrize the W' and Z' production cross sections as

$$\sigma_{\text{DY}}(g_F, g_H, g_V) = g_F^2 \hat{\sigma}_{\text{DY}} \quad (3)$$

$$\sigma_{\text{VBF}}(g_F, g_H, g_V) = g_H^2 \hat{\sigma}_{\text{VBF}} \quad (4)$$

where $\hat{\sigma}_{\text{DY}}$ and $\hat{\sigma}_{\text{VBF}}$ for model B are shown in Fig. 10. Compared to the production cross sections of heavy scalar resonances discussed in Section 1.2.1, where the smallness of the cross sections restricts the sensitivity at the LHC to masses of order 1 TeV, the W' and Z' cross sections are large enough to probe masses of multiple TeV.

The branching fractions of W' and Z' bosons as functions of the coupling parameter g_H times the sign of g_F are shown in Fig. 11. These are computed for g_F values corresponding to the benchmarks models A and B, and for two distinct

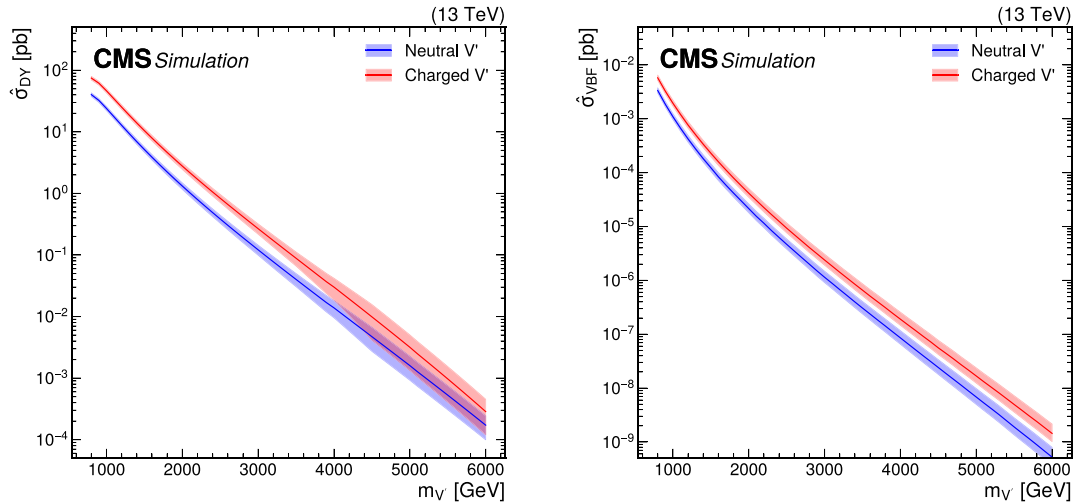


Fig. 10. Cross sections for (left) Drell-Yan production ($\hat{\sigma}_{DY}$) and (right) production through vector boson fusion ($\hat{\sigma}_{VBF}$), as defined in Eqs. (3) and (4), for Z' and W' bosons in the heavy vector triplet (HVT) model B at $\sqrt{s} = 13$ TeV. Source: Calculations are based on the work of Ref. [30].

resonance masses of 1 and 2 TeV. For a resonance mass of 1 TeV, a subtle distinction between positive and negative values of g_F is observed, whereas branching fractions are symmetric with respect to the sign of g_F for higher masses. When g_H is small, the branching fractions for decays into quark final states are large. The leptonic decay modes are suppressed due to the QCD color factors. Conversely, for large values of g_H , the bosonic decay modes dominate the branching fractions, indicating that the searches for VH resonances have the best sensitivity together with searches for VV resonances.

The dependence of the branching fraction of the decay $Z' \rightarrow VH$ on the parameter g_F is shown in Fig. 12 (left) for a resonance with a mass of 2 TeV. This branching fraction increases for decreasing g_F , asymptotically approaching the maximum value of about 50% as g_H increases. The total width of the Z' boson is shown in Fig. 12 (right) for a resonance mass of 2 TeV. The width increases for increasing values of g_F and g_H . For small values of g_F , the width changes more rapidly as a function of g_H . The W' boson branching fractions and decay widths exhibit very similar behavior as a function of g_F and g_H to those of Z' bosons, and are not shown here. Previous searches by the CMS and ATLAS Collaborations in the VH channel have not observed significant deviations from the SM [93–107].

2. Detector and analysis techniques

The analyses described in the following sections are searches for a new heavy resonance X in the $X \rightarrow HH$, $X \rightarrow VH$, and $X \rightarrow YH$ decays, where V denotes a W or Z boson, H the observed Higgs boson, and Y another new particle like an additional Higgs boson, as predicted in several extensions of the SM Higgs sector. This report focuses on analyses by the CMS Collaboration; related results from the ATLAS Collaboration can be found in Refs. [101,108–113].

In most analyses, the intermediate particles V or Y are targeted in decay modes involving b quarks, leptons or photons. This choice is made to profit from the accuracy with which these particles can be reconstructed and identified to distinguish the resulting final states from the overwhelming amount of purely hadronic processes at the LHC. In all searches the natural decay widths of the X and Y particles are assumed to be small compared to the experimental resolution. Implications of this assumption are discussed in Section 4.4.

The search signatures are rich in characteristic features, most notably there are three resonance masses in the full decay chain, of which the masses of the V bosons and m_H are known to a precision of better than 1%. To include this information in the process description, we introduce a notation where the final-state particles are associated with the V , H , or Y particles. For example, with this notation the decay $X \rightarrow Y(bb)H(\tau\tau)$ indicates the subsequent decays of $Y \rightarrow bb$ and $H \rightarrow \tau\tau$. An overview is given in Table 1 of all the analyses discussed in the following sections and the kinematic ranges of their sensitivity.

The analyses span resonance mass ranges of $90 < m_X < 6000$ GeV and $60 < m_Y < 2800$ GeV. Such large ranges in mass require different reconstruction techniques even for the same final state [125]. In the case of small m_X , the decay products of Y and H are produced with large angular separation and can be reconstructed as separate objects. This characterizes a regime with fully resolved final states. In contrast, for large m_X and sufficiently small m_Y , the decay products of Y and H are strongly collimated because of the large Lorentz boost; such kinematic regimes are referred to as boosted throughout this report. Final state objects being part of such collimated decays are referred to as merged. In the boosted regime, the hadronic decay products of the intermediate resonances, e.g., $H(bb)$, are reconstructed as a

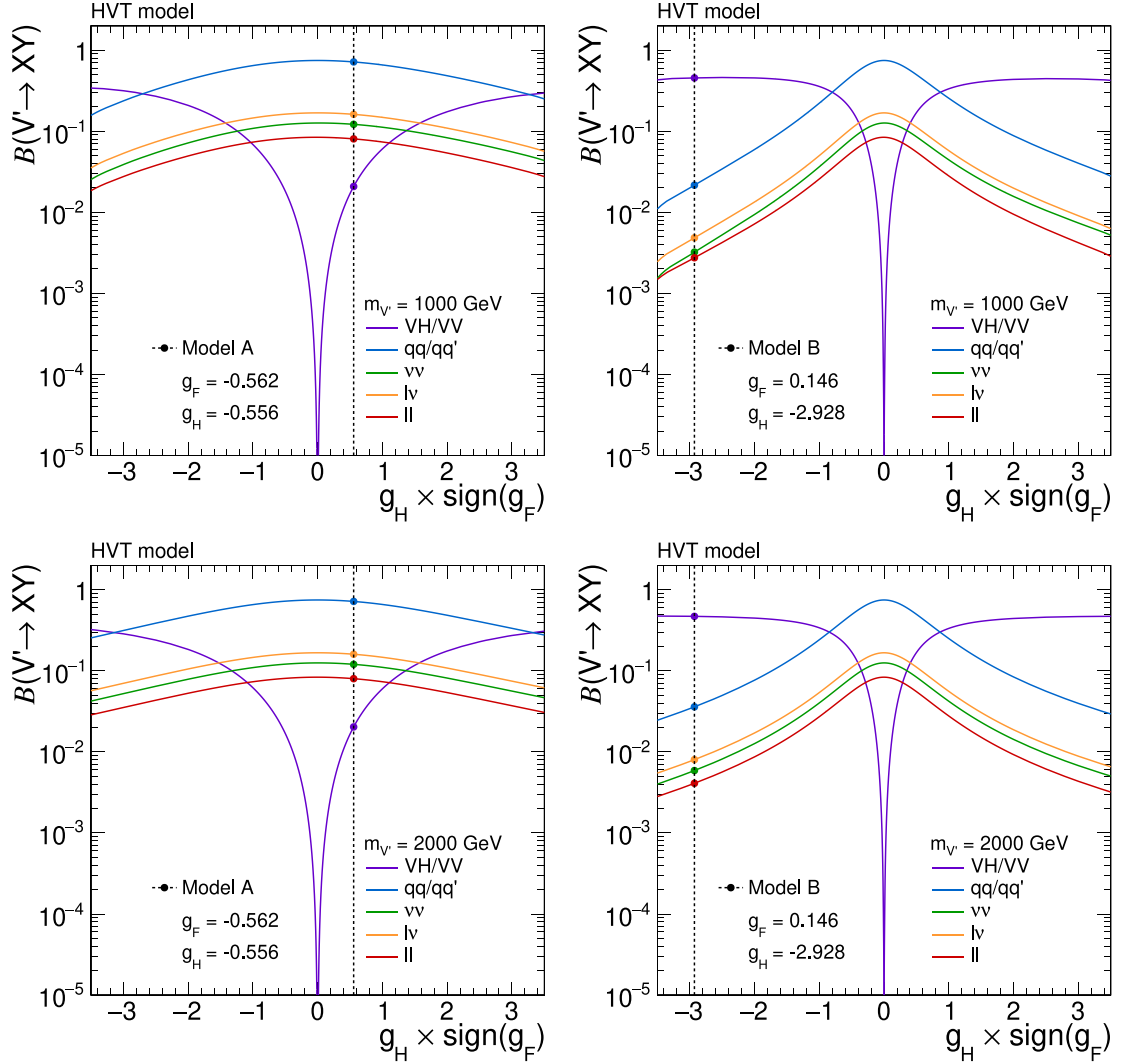


Fig. 11. Branching fractions for heavy vector triplet (HVT) bosons with masses of (upper) 1 and (lower) 2 TeV for values of the parameter g_F corresponding to models (left) A and (right) B. The exact branching fractions of each model are indicated by the crossing points of the individual curves with the dashed vertical lines.

Source: Calculations are based on the work of Ref. [30].

single large- R jet with substructure identification and grooming of soft and large-angle radiation [126–128], where the parameter R denotes the distance parameter of the jet finding algorithm, as described in Section 2.2.1. Typically for the values of R used in this paper, the resolved regime corresponds to $m_X \lesssim 1$ TeV, and the boosted regime to $m_X \gtrsim 1$ TeV with $m_V \ll m_X$. For $m_X \approx 1$ TeV both merged and resolved final states might be encountered depending on the helicity angles of the boson decays.

Unless stated otherwise, all analyses are based on pp collision data collected between 2016 and 2018, at a center-of-mass energy of 13 TeV, corresponding to an integrated luminosity of 137–138 fb^{-1} .

2.1. The CMS detector

The central feature of the CMS apparatus is a superconducting solenoid of 6 m internal diameter, providing a magnetic field of 3.8 T. Within the solenoid volume are a silicon pixel and strip tracker, a lead tungstate crystal electromagnetic calorimeter (ECAL), and a brass and scintillator hadron calorimeter (HCAL), each composed of a barrel and two endcap sections. Forward calorimeters extend the pseudorapidity coverage provided by the barrel and endcap detectors. Muons are measured in gas-ionization detectors embedded in the steel flux-return yoke outside the solenoid. A more detailed

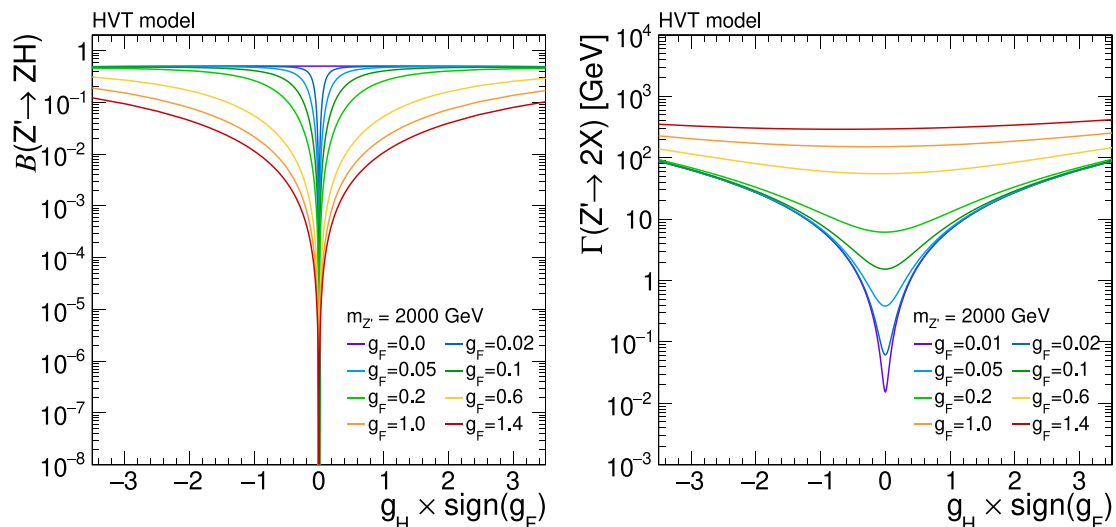


Fig. 12. (left) Branching fraction for the decay $Z' \rightarrow ZH$, and (right) total width of the Z' boson, for a resonance with 2 TeV mass, for different values of the parameter g_F .

Source: Calculations are based on the work of Ref. [30].

Table 1

Summary of all analyses discussed in Section 2. Note that the list of sub-channels is not exhaustive in all cases. All analyses listed under YH also contribute to the HH measurements.

Target final state		Ref.	Mass coverage (GeV)		Comment
V	H		m_{χ}		
$Z(\ell\ell)$	$\tau\tau$	[114]	220–400		resolved jets $W \rightarrow \ell\nu$ and merged $b\bar{b}$ jet $Z \rightarrow \ell\ell/\nu\nu$ and merged $b\bar{b}$ jet two merged jets
$Z(\ell\ell + \nu\nu)$	$b\bar{b}$	[115]	225–1000		
$W(\ell\nu)$	$b\bar{b}$	[116]	1000–4500		
$Z(\ell\ell)$	$b\bar{b}$	[117]	800–4600		
$Z(qq)$	$b\bar{b}$	[118]	1300–6000		
H	H		m_{χ}		
$b\bar{b}$	$W(\ell\nu)W(\ell\nu + qq)$	[119]	250–900		resolved
$b\bar{b}$	$W(\ell\nu)W(\ell\nu + qq)$	[120]	800–4500		merged
$WW + \tau\tau$	$WW + \tau\tau$	[121]	250–1000		multilepton final state
Y	H		m_{χ}	m_Y	
$b\bar{b}$	$\tau\tau$	[122]	240–3000	60–2800	resolved jets and τ leptons
$b\bar{b}$	$\gamma\gamma$	[123]	300–1000	90–800	resolved jets and photons
$b\bar{b}$	$b\bar{b}$	[124]	900–4000	60–600	two merged $b\bar{b}$ jets

description of the CMS detector, together with a definition of the coordinate system used and the relevant kinematic variables, can be found in Ref. [129].

Events of interest are selected using a two-tiered trigger system. The first level, composed of custom hardware processors, uses information from the calorimeters and muon detectors to select events at a rate of around 100 kHz within a fixed latency of 4 μ s [130]. The second level, known as the high-level trigger, consists of a farm of processors running a version of the full event reconstruction software optimized for fast processing, and reduces the event rate to around 1 kHz before data storage [131].

2.2. Physics objects

The reconstruction of the pp collision products is based on the particle-flow (PF) algorithm [132], combining the available information from all CMS subdetectors to reconstruct individual particle candidates, categorized into charged and neutral hadrons, electrons, photons, and muons. The average number of interactions per bunch crossing was 23 in the year 2016 and 32 in the years 2017–2018. The fully recorded detector data of a bunch crossing define an event for further processing. The primary interaction vertex (PV) is taken to be the vertex corresponding to the hardest scattering in an event, evaluated using tracking information alone, as described in Ref. [133]. Secondary vertices, which are detached

from the PV, might be associated with decays of long-lived particles emerging from the PV. Any other collision vertices in an event are associated with additional mostly soft inelastic $p\bar{p}$ collisions referred to as pileup (PU).

2.2.1. Jets and missing transverse momentum

AK4 and AK8 jets. All PF candidates are clustered into jets using the anti- k_T clustering algorithm [134] as implemented in the FASTJET software package [135]. By default, a distance parameter of $R = 0.4$ is used. The resulting jet collection will be referred to as AK4 jets. Ideally, the kinematic properties of AK4 jets resemble those of the single quarks or gluons initiating them. In the boosted regime the fragmentation products of the individual quarks, resulting from hadronic V , H , or Y decays, start to overlap and cannot be properly reconstructed as AK4 jets. For this purpose, a second collection of large- R jets is obtained with a distance parameter of 0.8, referred to as AK8 jets. The larger jet radius allows the inclusion of all hadronic decay products in a single jet, and subsequently jet substructure techniques can be applied to identify the boosted decay within this jet, as explained further down.

In each case, the jet momenta are determined from the vectorial sum of the momenta of all PF candidates contained in a jet. The value of this sum is measured to be within 5 to 10% of the same quantity calculated from the momenta of stable particles inside equally clustered generated jets in simulation, which holds over the entire transverse momentum (p_T) spectrum and geometrical detector acceptance. Jet energy corrections to the stable-particle level are obtained from simulation, and are confirmed with *in situ* measurements of the energy balance in dijet, multijet, γ +jets, and Z +jets events, where the Z boson decays into light leptons [136]. Residual corrections to the simulated energies to match the observed spectra usually amount to no more than 2%–3%. When combining information from the entire detector, the jet energy resolution typically amounts to 15%–20% at 30 GeV, 10% at 100 GeV, and 5% at 1 TeV [136].

The AK4 jets are restricted to $p_T > 30$ GeV. Depending on the analysis, these are either used in a range of $|\eta| < 2.4$, well contained in the coverage of the tracker, or in a range of $|\eta| < 4.7$, where the extension is based on the calorimeters but not covered by the tracker. The AK8 jets are restricted to $p_T > 200$ GeV and $|\eta| < 2.4$ or 2.5, depending on the analysis, where the higher value in $|\eta|$ has been used from 2017 on after the upgrade of the silicon pixel detector. Ideally, their properties match those of the decaying resonance. In a first step, AK8 jets are used for the selection of events of interest. In a second step, their specific properties are used to distinguish signal from background processes, based on dedicated algorithms, as discussed below. To reduce the dependence of the related observables on PU, the pileup-per-particle identification (PUPPI) algorithm [137,138] is applied to the AK8 jets, weighting all PF candidates by their probability to originate from the PV [139]. For AK4 jets the charged-hadron subtraction (CHS) technique, as described in Refs. [132,138] is used. In addition, both AK4 and AK8 jets are required to pass tight identification requirements [140] to remove jets originating from calorimetric noise and track misreconstruction. Several properties of the selected jets are of importance for the analyses described in this report and will be discussed in more detail in the following.

Identification of b jets. To identify jets resulting from the hadronization of b quarks (b jets) several strategies and tagging algorithms are used, depending on the analysis. These comprise the DEEPCSV [141] and DEEJET [141,142] algorithms, which are applied either to AK4 jets or to the subjets of the selected AK8 jet. The subjets are obtained with the soft drop algorithm, where the Cambridge–Aachen algorithm [143,144] is reversed until the soft drop condition [145] is fulfilled. The two resulting clusters are identified as subjets of the AK8 jet. Alternatively, the “double- b tagger” [141], the DEEPAK8 jet tagging [146], or the PARTICLENET [147] algorithms are used to identify AK8 jets that are consistent with being initiated by two b quarks, a process referred to as “double- b tagging”. These algorithms usually result in a multiclass output from which a suitable discriminant is built. This discriminant is used to distinguish AK8 jets produced from light-flavor quarks or gluons versus AK8 jets from two near-collinear b quarks. All algorithms use secondary vertex and impact parameter information, as well as the multiplicities and kinematic properties of the clustered PF candidates. The resonance tagging algorithms are usually trained to be insensitive to the mass of the corresponding resonance. For all algorithms, predefined working points corresponding to an expected b jet identification efficiency for a given misidentification rate for jets initiated by light-flavor quarks or gluons, as defined in Ref. [148], are used, exhibiting efficiencies of 70%–90% for misidentification rates of 1%–10%.

Mass of AK8 jets. The mass of the hadronically decaying boson resonance associated with an AK8 jet is estimated using the “soft-drop” mass m_{SD} [145], obtained with the soft-drop algorithm with an angular exponent $\beta = 0$, soft-cutoff threshold $z_{cut} = 0.1$, and characteristic radius $R_0 = 0.8$. The soft-drop algorithm is a generalization of the modified mass-drop algorithm [149,150], which is identical to soft drop for $\beta = 0$. The reconstruction of m_{SD} is tested and calibrated in $t\bar{t}$ -enriched event selections, where the mass of hadronically decaying W bosons can be reconstructed with a resolution of 10%.

Jet substructure. To exploit the substructure of a selected AK8 jet, the ratio $\tau_{21} = \tau_2/\tau_1$ is used, where τ_1 and τ_2 are the 1- and 2-subjettiness observables [151], respectively. The quantity τ_{21} takes lower values for jets originating from two-prong V , H , or Y decays and larger values for one-prong jets initiated, e.g., by single quarks or gluons. However, a selection on τ_{21} alters the distribution in m_{SD} , such that the monotonically falling distribution might feature a resonant structure after selecting jets with a minimum value of τ_{21} . This feature prevents typical background estimation methods from working

for important background processes, like W+jets production [116]. To overcome this drawback, we use the “designing decorrelated tagger” (DDT) procedure [152] leading to

$$\tau_{21}^{\text{DDT}} = \tau_{21} + 0.08 \log \left(\frac{m_{\text{SD}}^2}{p_T \mu} \right), \quad (5)$$

where p_T refers to the transverse momentum of the AK8 jet and $\mu = 1 \text{ GeV}$. A selection based on τ_{21}^{DDT} does not alter the distribution in m_{SD} , such that the shape of this distribution can be derived from control regions (CRs) to estimate the background from SM processes in signal regions (SRs).

Event observables. The two-dimensional vector in the x and y coordinates, $\vec{p}_T^{\text{miss}}$, describes the missing transverse momentum and is computed as the negative $\vec{p}_T$ sum of all PF candidates in the event [139]. The magnitude of $\vec{p}_T^{\text{miss}}$ is referred to as p_T^{miss} . It is used for the event selection and in the calculation of the transverse mass

$$m_T = \sqrt{2p_T p_T^{\text{miss}} (1 - \cos \Delta\phi)}, \quad (6)$$

where $\Delta\phi$ refers to the azimuthal angular difference between the transverse momentum vector $\vec{p}_T$ of the visible decay product of the particle whose transverse mass is to be estimated, and $\vec{p}_T^{\text{miss}}$. Depending on the analysis, sometimes the PUPPI algorithm is used to mitigate PU effects on $\vec{p}_T^{\text{miss}}$. Alternatively, the quantity H_T^{miss} is used, defined as the magnitude of the $\vec{p}_T$ sum of all AK4 jets with $p_T > 30 \text{ GeV}$ and $|\eta| < 3.0$. In the same context also the observable H_T is used, which corresponds to the scalar p_T -sum of all selected AK4 jets. It indicates the overall magnitude of hadronic activity in an event.

2.2.2. Leptons and photons

Electrons, muons, and photons. Electron candidates are reconstructed from matching clusters of energy deposits in the ECAL with tracks, which are fitted to form hits in the tracker [153,154]. To increase their purity, reconstructed electrons are required to pass a multivariate electron identification discriminant, which combines information on the track quality, shower shape, and kinematic quantities [154]. Predefined working points corresponding to electron identification efficiencies of 70%–90% and misidentification rates of 2%–15% are used. Energy deposits in the ECAL that are not linked to any charged-particle trajectory associated with a pp collision are identified as photons.

Muons in an event are reconstructed by performing a simultaneous track fit to hits in the tracker and in the muon chambers [155,156]. The presence of hits in the muon chambers already leads to a strong suppression of particles misidentified as muons. Additional identification requirements on the track-fit quality and the compatibility of individual track segments with the fitted track reduce the misidentification rate further.

The contributions from backgrounds to the electron and muon selections are usually further reduced by requiring the corresponding lepton to be isolated from any hadronic activity in the detector. This property is quantified by an isolation variable

$$I_{\text{rel}} = \frac{1}{p_T^\ell} \left(\sum p_T^{\text{charged}} + \max \left(0, \sum E_T^{\text{neutral}} + \sum E_T^\gamma - p_T^{\text{PU}} \right) \right), \quad (7)$$

where p_T^ℓ corresponds to the measured electron or muon p_T . The variables $\sum p_T^{\text{charged}}$, $\sum E_T^{\text{neutral}}$, and $\sum E_T^\gamma$ are calculated from the sum over p_T or transverse energy E_T of all charged particles, neutral hadrons, and photons, respectively. These sums include all particles in a predefined cone of radius $\Delta R = \sqrt{(\Delta\eta)^2 + (\Delta\phi)^2}$ around the lepton direction at the PV, where $\Delta\eta$ and $\Delta\phi$ are the angular distances between the corresponding particle and the lepton in the η and azimuthal ϕ directions. The lepton itself is excluded from the calculation of I_{rel} . Typical values for cone sizes are $\Delta R = 0.3$ and 0.4 for electrons and muons, respectively. To mitigate effects from PU on I_{rel} , only charged particles with tracks associated with the PV are taken into account. Since for neutral hadrons and photons an unambiguous association with the PV or PU is not possible, an estimate of the contribution from PU (p_T^{PU}) is subtracted from the sum of $\sum E_T^{\text{neutral}}$ and $\sum E_T^\gamma$. This estimate is obtained from tracks not associated with the PV in the case of muons, and from the mean energy flow per unit area in the case of electrons. If the sum is negative, the result is set to zero. The I_{rel} selection threshold is optimized for each analysis separately. If a lepton isolation requirement is imposed in an analysis, leptons failing this requirement are not considered further.

Hadronic τ lepton decays. The reconstruction of hadronic τ lepton decays (τ_h) starts from AK4 jets, further exploiting their substructure with the hadrons-plus-strips algorithm [157]. This algorithm acts like a tagger, with different working points as defined in [157]. The decays into one or three charged hadrons with up to two neutral pions with $p_T > 2.5 \text{ GeV}$ are used. The neutral pions are reconstructed as strips in the ECAL with a dynamic size in η - ϕ from reconstructed electrons and photons contained in a jet, where the strip size varies as a function of the p_T of the electron or photon candidate. Electrons, which may emerge from photon conversions, are considered in the reconstruction of the strips. The τ_h decay mode is then obtained by combining the charged hadrons with the strips.

To distinguish τ_h candidates from jets originating from the hadronization of quarks or gluons, and from electrons or muons, the DEEPTAU (DT) algorithm [158] is used. This algorithm exploits basic tracking and clustering information in

the tracker, ECAL, and HCAL, the kinematic and object-identification properties of both the PF candidates forming the τ_h candidate and all remaining PF candidates in the vicinity of the τ_h candidate, and several characterizing quantities of the whole event. It results in a multiclassification output y_α^{DT} , where $\alpha = \tau, \text{jet}, e, \mu$. The output can be identified with a Bayesian probability of the τ_h candidate to originate from a genuine τ lepton decay, the hadronization of a quark or gluon, an isolated electron, or an isolated muon. From this output three discriminants are built according to

$$D_i = \frac{y_\tau^{\text{DT}}}{y_\tau^{\text{DT}} + y_i^{\text{DT}}} \quad \text{with } i = \text{jet}, e, \text{ or } \mu. \quad (8)$$

For the identification of τ_h candidates based on D_i predefined working points with varying efficiencies for given misidentification rates are used as described in Ref. [158]. For D_{jet} the efficiencies vary within 50%–70% for misidentification rates of <0.5%. For D_e and D_μ , the efficiencies vary within 80%–99% for misidentification rates between 0.05 and 2.50%.

2.3. Search for resonances in VH channels

Searches for VH resonances are optimized either for the mass range up to about 1 TeV, motivated by neutral members of an extended Higgs sector, or masses greater than 1 TeV, where heavy vector bosons might be found. The latter case corresponds to the boosted regime, where the V and H bosons are strongly Lorentz-boosted and dedicated reconstruction and identification techniques are applied.

All searches presented in the following target the $H \rightarrow b\bar{b}$ or $H \rightarrow \tau\tau$ decays. The V bosons are reconstructed either through the leptonic decays $W(\ell\nu)$ [116], $Z(\ell\ell)$ or $Z(\nu\nu)$ [114,115,117], or by the hadronic decays $V(qq)$ [118]. Where allowed by the signal signature, the trigger selection is based on the presence of a single high- p_T electron or muon within the geometrical acceptance of $|\eta| < 2.4(2.5)$ for electrons (muons), large p_T^{miss} , or large H_T^{miss} . Also, dilepton triggers are used. Otherwise, triggers based on the presence of high- p_T AK4 jets or large values of H_T are required. Offline, search regions are defined by the presence of exactly one (1ℓ category), two (2ℓ category), or no (0ℓ category) charged leptons. This categorization ensures that the analyses are mutually exclusive, allowing for a combined statistical interpretation subsequently to the completion of all analyses.

2.3.1. The sub-TeV mass region

The analyses searching for CP -odd Higgs bosons through their $A \rightarrow ZH$ decay, in the mass region below 1 TeV, are based on the 2016 data set. The H boson is reconstructed in the $H \rightarrow b\bar{b}$ [115] and $H \rightarrow \tau\tau$ [114] decay channels.

In the $H \rightarrow b\bar{b}$ case, the two b jet candidates with the highest b tagging scores are selected to form the H boson candidate. Both gluon–gluon fusion and b quark-associated production are considered. In the 0ℓ category, which targets decays of the Z boson into neutrinos, the mass of the A resonance cannot be reconstructed directly. In this case, its mass is estimated by computing the transverse mass m_{ZH}^T using Eq. (6). It is calculated using $\vec{p}_T^{\text{miss}}$ and the four-momentum of the H boson candidate, and must be larger than 500 GeV, where triggers are fully efficient. The resulting efficiency for signal events with $m_A \lesssim 500$ GeV is small because the p_T of the Z boson is not sufficient to produce a p_T^{miss} large enough to pass this selection. Therefore, the sensitivity of the 0ℓ category is significant only for large values of m_A .

In the $2e$ and 2μ categories, events are required to have at least two isolated electrons or muons within the detector's geometrical acceptance. The Z boson candidate is formed from the two highest p_T , opposite-sign, same-flavor leptons, and must have an invariant mass $m_{\ell\ell}$ between 70 and 110 GeV. The $m_{\ell\ell}$ selection lowers the contamination from $t\bar{t}$ dileptonic decays and significantly reduces the contribution from $Z \rightarrow \tau\tau$ decays. The A boson candidate is reconstructed from the invariant mass m_{ZH} of the Z and H boson candidates.

If the two jets originate from an H boson, their invariant mass is expected to peak close to 125 GeV. Events with a dijet invariant mass m_{jj} between 100 and 140 GeV enter the SRs; otherwise, if $m_{jj} < 400$ GeV, they fall in the dijet mass sidebands, which are used as CRs to estimate the contributions of the main backgrounds. The SRs are further divided by the number of jets passing the b tagging requirement (1, 2, or at least 3 b tags). The 3 b tag category has been defined to select the additional b quarks from b quark associated production. In this region, at least one additional jet, other than the two used to reconstruct the H boson, has to pass the kinematic selections and b tagging requirements. Comparisons between data and background predictions, together with examples for signal distributions are shown in Fig. 13 in the 2 b tag SR for the 0ℓ and 2ℓ categories. The data are well described by the backgrounds expected from SM processes. The 0ℓ and 2ℓ categories can be combined using the known branching fractions of the Z boson.

In the $H \rightarrow \tau\tau$ case, only the dielectron and dimuon decays of the Z boson are used. For the H boson reconstruction, the $e\tau_h$, $\mu\tau_h$, $e\mu$, and $\tau_h\tau_h$ topologies are considered. The leptons associated with the H boson decay are required to have opposite signs. In case of the $e\tau_h$, $\mu\tau_h$, and $e\mu$ decay channels, tighter selection criteria are applied to the light leptons to decrease the background contributions from Z +jets and other reducible backgrounds. These four $H \rightarrow \tau\tau$ decay patterns are combined with the Z boson decays into two light leptons, i.e., $Z \rightarrow \ell\ell$ with $\ell = e, \mu$, resulting in eight distinct final states of the A boson decay.

The mass resolution of the reconstructed A boson candidate can be significantly improved by accounting for the neutrinos associated with the leptonic and hadronic τ decays. We use the `svFIT` algorithm [159] to estimate the mass of the H boson, denoted as $m_{\tau\tau}^{\text{fit}}$. The `svFIT` algorithm combines the $\vec{p}_T^{\text{miss}}$ with the four-vectors of both τ candidates (e ,

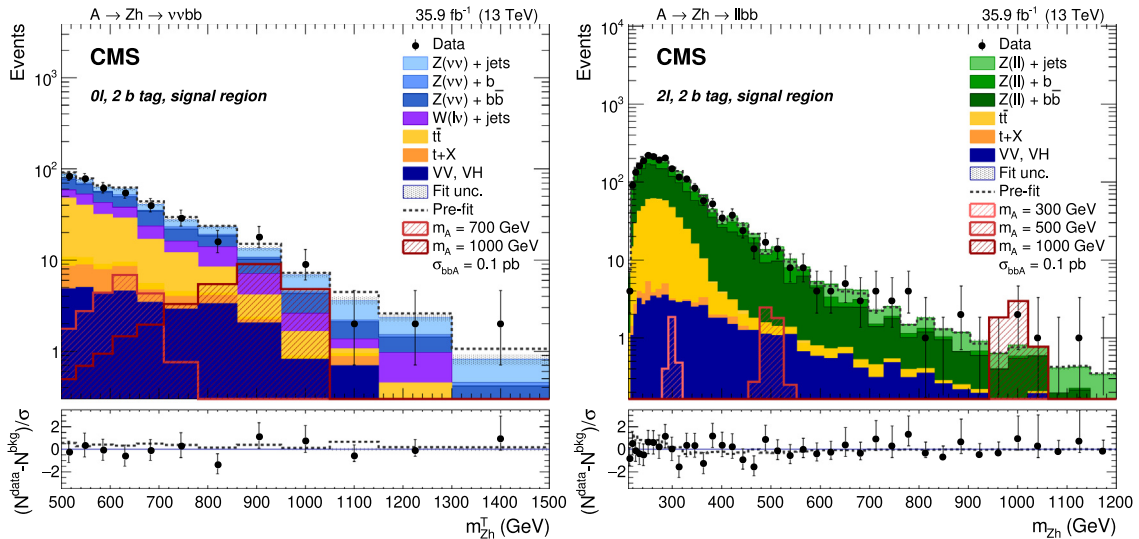


Fig. 13. Search for $A \rightarrow ZH(bb)$: Distributions of the m_{ZH}^T and m_{ZH} variables, as introduced in the text, in the (left) $0l$ and (right) $2l$ categories, in the $2b$ tag signal region of the $A \rightarrow ZH(bb)$ analysis [115]. In the $2l$ categories, the contributions of the $2e$ and 2μ channels have been summed. The gray dotted line represents the sum of all background processes before the fit to data; the shaded area represents the post-fit uncertainty. The hatched red histograms represent signal hypotheses for b quark associated A production corresponding to $\sigma_A \mathcal{B}(A \rightarrow ZH)\mathcal{B}(H \rightarrow bb) = 0.1$ pb. The lower panels depict $(N_{\text{data}} - N_{\text{bkg}})/\sigma$ in each bin, where σ refers to the statistical uncertainty in the given bin.
Source: Figure from Ref. [115].

μ , or τ_h), resulting in an improved estimate of the four-vector of the H boson, which is further improved by giving the measured mass of the Higgs boson (125 GeV) as an input to the svFIt algorithm. This yields a constrained estimate of the four-vector of the H boson, which results in an even more precise estimate of the A boson candidate mass, denoted as $m_{\ell\ell\tau\tau}^c$. The resolution of $m_{\ell\ell\tau\tau}^c$ is as good as 3% at 300 GeV, which improves the expected 95% CL model-independent limits by approximately 40% compared to using the visible mass of the A boson $m_{\ell\ell\tau\tau}^{\text{vis}}$ as the discriminating variable.

The resulting distribution in $m_{\ell\ell\tau\tau}^c$, summed over all eight categories, is shown in Fig. 14, together with the expected signal shape for $m_A = 300$ GeV. No excess above the SM background expectation is observed in the data.

2.3.2. The high-mass region: leptonic V boson decays

In the high-mass analyses targeting leptonic V boson decays, the presence of an isolated electron with $p_T > 115$ GeV and $|\eta| < 2.4$ or muon with $p_T > 55$ GeV and $|\eta| < 2.5$ is required. The high p_T thresholds are imposed to guarantee a sufficiently high selection efficiency at the trigger level.

For $W(\ell\nu)$ decays, this selection is complemented by the requirement of $p_T^{\text{miss}} > 80$ GeV in the electron channel and $p_T^{\text{miss}} > 40$ GeV in the muon channel. Events with additional leptons fulfilling looser selection criteria are discarded from the selection. To reconstruct a $W(\ell\nu)$ boson candidate, the $\vec{p}_T^{\text{miss}}$ is used as an estimate of the $\vec{p}_T$ of the neutrino. The longitudinal component p_z of the neutrino momentum is estimated by imposing the constraint that the mass of the system formed from the selected lepton and the neutrino should equal m_W . This leads to a quadratic equation in p_z , of which the solution with the smallest magnitude is chosen. When no real solution is found, only the real part of the two complex solutions is considered.

For $Z(\ell\ell)$ decays, a second lepton with the same flavor and η range as the first lepton, and $p_T > 20$ GeV is required. The system formed by the two leptons is used to reconstruct the Z boson candidate. It must have $p_T^{\ell\ell} > 200$ GeV and $70 < m_{\ell\ell} < 110$ GeV. The window in $m_{\ell\ell}$ has not been chosen tighter, since a narrowing would reduce the signal efficiency while not improving the relation of the signal to the main background from Z -jets events. In all cases, all leptons are required to have a large enough distance in ΔR to any AK4 jet. For the $Z(vv)$ channel, the absence of leptons and a value of $p_T^{\text{miss}} > 250$ GeV are required.

In addition to the identification of the reconstructed objects forming the V boson candidate, all events are required to have an AK8 jet with $p_T > 200$ GeV and $|\eta| < 2.4$ [116] or 2.5 [117]. In the case that more than one AK8 jet is found in an event, the leading one in p_T is interpreted to originate from the $H \rightarrow b\bar{b}$ decay, hence referred to as the H candidate.

In the $W(\ell\nu)$ channel [116], the H candidate is required to have a distance of $\Delta R > \pi/2$ from the selected lepton and a distance larger than 2 in $\Delta\phi$ from both $\vec{p}_T^{\text{miss}}$ and the $W(\ell\nu)$ candidate. Furthermore, it is required to have a value of $\tau_{21}^{\text{DDT}} < 0.8$. All accepted events are assigned to 24 high-purity (HP) and low-purity (LP) event categories according to the flavor of the selected lepton (e or μ), the value of the double- b -tagger output of the H candidate, the distance between the

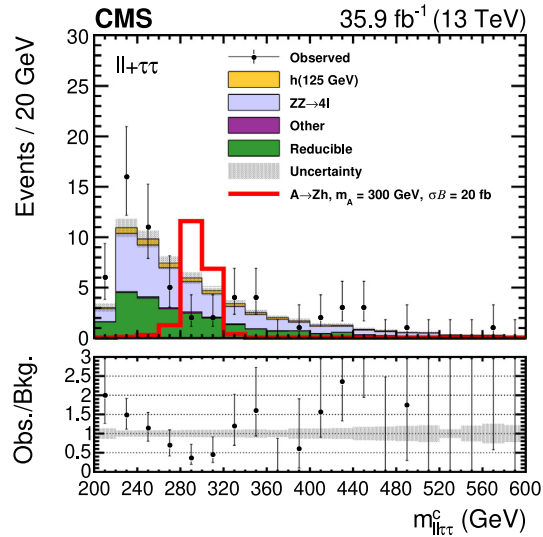


Fig. 14. Search for $A \rightarrow ZH(\tau\tau)$: Distribution of the $m_{\ell\ell\tau\tau}^c$ variable, as introduced in the text, of the $A \rightarrow ZH(\tau\tau)$ analysis [114], after a fit of the background-only hypothesis in all eight final states. While the fit is based on corresponding distributions, for each final state individually, these have been combined into a single distribution, for visualization purposes for this figure. Uncertainties include both statistical and systematic components. The expected contribution from the $A \rightarrow ZH$ signal process is shown for a pseudoscalar Higgs boson with $m_A = 300$ GeV with the product of the cross section and branching fraction of 20 fb.

Source: Figure from Ref. [114].

$W(\ell\nu)$ and the H candidate in rapidity $|\Delta y| < 1$ (LDy) or $|\Delta y| > 1$ (HDy), a tightened requirement of $\tau_{21}^{\text{DDT}} < 0.5$ (defining the HP and LP categories), and a VBF tag requiring the pseudorapidity difference of the two VBF jets $|\Delta\eta| > 4$ and the dijet mass larger than 500 GeV. The VBF tag is imposed to increase the sensitivity of the search to the production of the VH system through VBF. The categorization in Δy is imposed to tag different spin hypotheses for X. A two-dimensional (2D) discriminant composed of m_{SD} of the H candidate and an estimate of m_X obtained from the four-vectors of the H and the $W(\ell\nu)$ candidates is used for the final signal extraction in these categories. We note that this analysis is not restricted to the VH process, which is the subject of this report, but extends towards VV final states as well. The data are interpreted under both signal hypotheses each time considering all event categories, including those enriched and depleted in VH and VV by the value of the double-b tagger of the H candidate.

Distributions of m_{SD} and the reconstructed m_X in the event category with a selected muon, $\tau_{21}^{\text{DDT}} < 0.5$, double-b tag, and $|\Delta y| > 1$ are shown in Fig. 15. The background processes are split into two categories: (i) processes exhibiting resonant structures close to the t quark and/or V boson mass in m_{SD} ($W + V/t$), comprising $t\bar{t}$, single- t quark, and diboson production, and (ii) processes without resonant structure in the m_{SD} distribution, dominated by W +jets events. All backgrounds are characterized by a falling spectrum in m_X . These are estimated with the help of simulation, where the smoothness of the background shape is ensured by using conditional probability density functions in m_{SD} and the reconstructed m_X , as detailed in Ref. [116].

In the $Z(\ell\ell)$ channel, the H candidate is required to have a distance of $\Delta R > 0.8$ from each selected lepton. For the $Z(\nu\nu)$ channel $\Delta R(\vec{p}_T^{\text{miss}}, H) > 2$ and a ratio of $p_T^{\text{miss}}/p_T^H > 0.6$ are required. Finally, the value of m_{SD} of the H candidate is required to lie within $105 < m_{\text{SD}} < 135$ GeV, compatible with m_H . The DEEPCSV algorithm is applied to the two subjects of the AK8 jet with the highest p_T . All remaining events are assigned to 12 event categories based on the flavor of the selected leptons ($\mu\mu$, ee , or $\nu\nu$), the number of b-tagged subjects of the H candidate (≤ 1 , or 2), and a VBF tag identical to the one defined above. The signal is extracted in the $Z(\ell\ell)$ channel from the distribution in m_X , obtained from the four-vectors of the H and the Z candidates. In the $Z(\nu\nu)$ channel the variable m_T , as obtained from $\vec{p}_T^H$ and $\vec{p}_T^{\text{miss}}$, is chosen. Distributions of m_{SD} , m_X , and m_T in the $\mu\mu$ and $\nu\nu$ event categories with two b-tagged subjects, and no VBF tag are shown in Fig. 16. The dominant background in this search is from Z+jets events, which is estimated from data using low-mass (LSB) and high-mass (HSB) CRs in m_{SD} . A veto region with $65 < m_{\text{SD}} < 105$ GeV is excluded from the CRs to minimize the event overlap with dedicated searches in the VV decay channel [160–162]. Minor backgrounds originate from $t\bar{t}$, single t quark, and SM VH production. In all cases, analytical functions are fitted to the observed distributions in m_X in the LSB and HSB CRs, where the functions are predefined with the help of simulation. For the minor backgrounds from diboson (including VH) production these functions are purely determined from simulation. For $t\bar{t}$ production and for the dominating background from Z+jets events, these are fitted to the data in dedicated sideband regions, after subtracting the estimates of the minor backgrounds in these regions. The functions are then extrapolated to the SR through transfer functions, which have been obtained from simulation. This method has been validated with slightly modified sideband

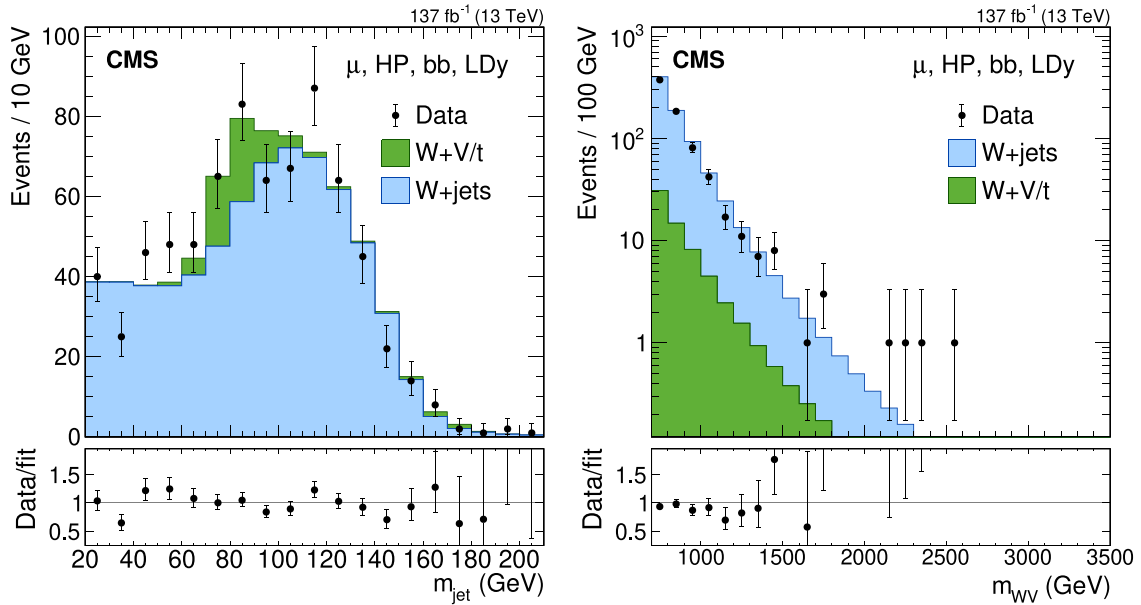


Fig. 15. Search for $X \rightarrow V H(bb)$: Distributions of (left) the jet soft drop mass of a boosted Higgs boson candidate, labeled m_{jet} , and (right) the mass of the X resonance candidate, labeled m_{WV} in the $W(\ell\nu)H(bb)$ channel. The notation m_{WV} is used as a shorthand since the analysis also searches for resonances in the WW and WZ final states.

Source: Figures from Ref. [116].

definitions providing independent control regions close to the SRs. Several functional forms have been tested and a bias test has been conducted to ensure that the method is capable of describing the data in the validation regions and that no spurious signal may emerge this way.

2.3.3. The high-mass region: hadronic V boson decays

In the high-mass analysis targeting hadronic V boson decays [118], the presence of two AK8 jets with $p_T > 200$ GeV and $|\eta| < 2.5$ is required. In cases where more than two AK8 jets are found in an event, the leading ones in p_T are interpreted to originate from the $V \rightarrow qq$ and $H \rightarrow bb$ decays. To guarantee an efficiency of $>99\%$ of the trigger selection, the invariant mass of the selected AK8 jets is required to be larger than $m_{jj}^{\text{AK8}} > 1250$ GeV. Furthermore, the jets are required to have a distance $\Delta R > 0.8$ from any reconstructed electron or muon with $p_T > 20$ or 30 GeV satisfying identification criteria optimized for high-momentum leptons, respectively.

To reduce the background from events with purely QCD-induced light-quark and gluon jets, referred to as QCD multijet production in the following, the selected AK8 jets are required to be separated by no more than $|\Delta\eta_{jj}^{\text{AK8}}| < 1.3$. In addition, $55 < m_{\text{SD}} < 215$ GeV and a loose requirement of

$$\rho = \log(m_{\text{SD}}^2/p_T^2) < 1.8 \quad (9)$$

are imposed. The requirement on ρ is imposed to prevent high values for m_{SD} while the p_T of the AK8 jet is low. In those cases, the cone size of $\Delta R = 0.8$ is too small to contain the full jet, affecting both the m_{SD} resolution and the efficiency to assign each AK8 jet to a W , Z , or H boson.

The DEEPAK8 jet tagging algorithm [146] is used to identify AK8 jets originating from a W , Z , or H boson. The algorithm consists of a staggered two-step deep neural network (NN) architecture, based on the properties of the clustered PF candidates, such as the p_T , charge, and angular distance to the jet axis, as well as track and secondary vertex information. The latter is used to infer whether a jet contains heavy-quark decays or not. The input features of both, the PF candidates and the secondary vertices and tracks are processed in two independent convolutional NNs. The outputs of these NNs are passed to a third, fully connected deep NN (DNN) to assign a jet to one of the following classes: single quark or gluon, W boson decaying to cq or qq , and H or Z boson, each decaying into bb , cc , or light flavor quarks. For the reported analysis two discriminants are of relevance, both discriminating between signal jets and jets from single quarks or gluons. A qq discriminant is built to identify the decay of a W or Z boson into light quarks, and a bb discriminant is built to identify the H and Z boson decays into bb .

The strategy of the search is to distinguish the signal, which is peaking in three distributions, namely m_{SD} of each selected AK8 jet and the dijet mass of the two AK8 jets m_{jj}^{AK8} , from the considered backgrounds that exhibit a smoothly falling spectrum in at least one of these observables. To guarantee that the m_{SD} distributions of the considered background

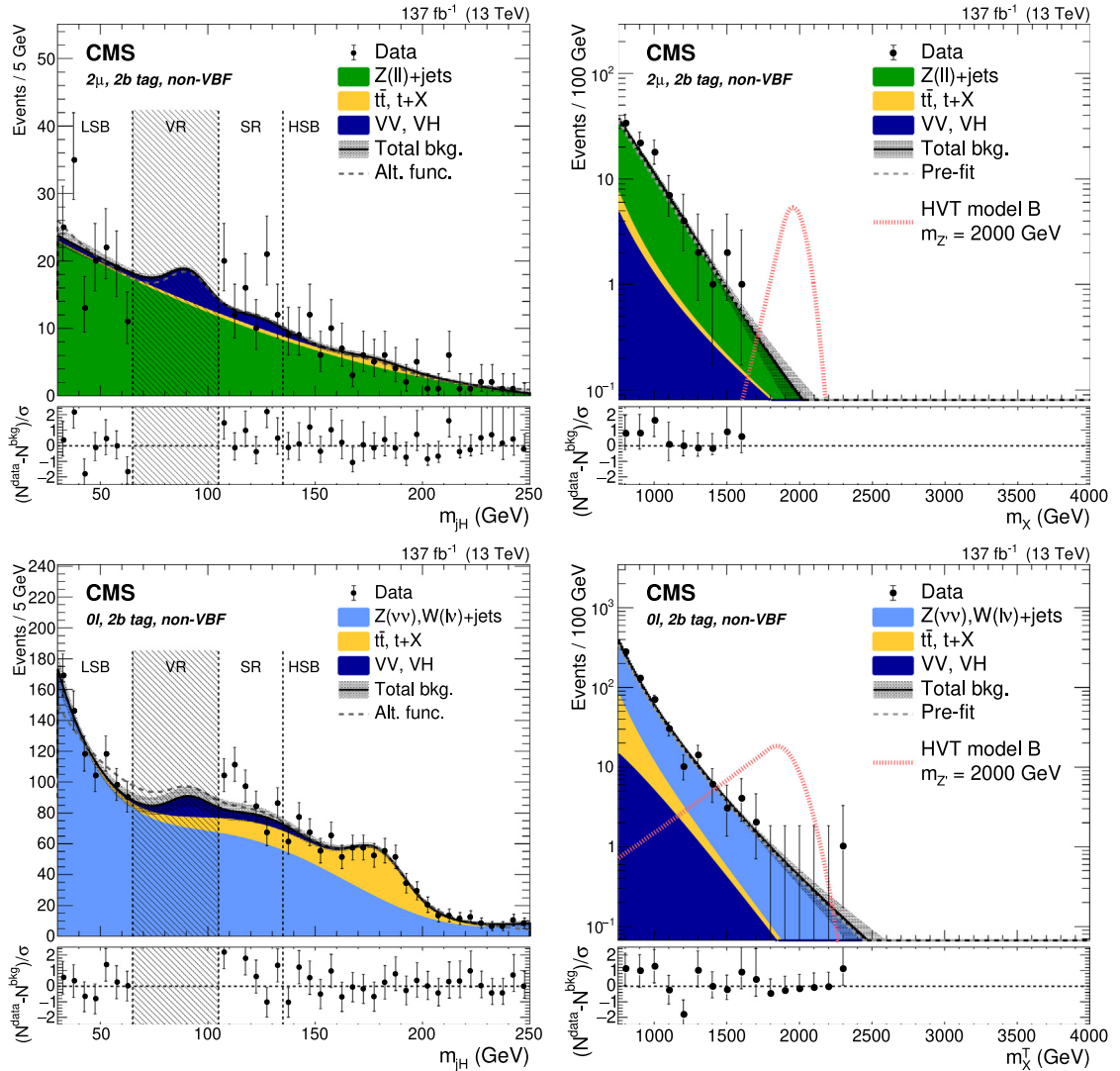


Fig. 16. Search for $X \rightarrow V H(bb)$: Distributions of (left) the jet soft drop mass of a boosted Higgs boson candidate, labeled m_{jH} , and (right) the mass or transverse mass of the X resonance candidate, labeled m_X and m_X^T , respectively, in the $Z(\ell\ell)H(bb)$ (upper) and $Z(vv)H(bb)$ channels (lower). The shaded area depicts a veto region excluded from the analysis to minimize the event overlap with dedicated searches in the VV decay channel. Source: Figures from Ref. [117].

processes are not kinematically biased by the selection based on the DEEPAK8 tagger, an adversarial training of the NNs is performed that leads to a reduced correlation between m_{SD} and the output of the tagger [146]. The remaining correlations are further suppressed by a DDT method [152] applied on the tagger output. Eventually, the selection of the output of the DEEPAK8 tagger is chosen such that it yields a constant tagging rate as a function of p_T and m_{SD} for the quark or gluon jets with the highest p_T in QCD multijet production, based on simulation.

The $q\bar{q}$ tagging efficiency, and the probability of hadronic t quark decays to be misidentified by the $q\bar{q}$ tagger are calibrated in a $t\bar{t}$ event selection enriched in hadronic W boson decays. The $b\bar{b}$ discriminant is calibrated in an event sample enriched with jets from $g \rightarrow b\bar{b}$ splitting using a double-muon tag, as described in Ref. [141].

To increase the sensitivity of the search after selection, all remaining events are assigned to one of 10 mutually exclusive event categories based on a VBF tag and the outputs of the $q\bar{q}$ and $b\bar{b}$ discriminants from each of the selected AK8 jets. The VBF tag is defined by requiring at least two AK4 jets with $p_T > 30$ GeV and $|\eta| < 5.0$ that do not overlap with the selected AK8 jets within $\Delta R < 1.2$. For the two AK4 jets, which are leading in p_T , $m_{jj} > 800$ GeV and a separation of $|\Delta\eta| > 4.5$ are required. The event categories target VV and VH decays.

Distributions of m_{SD} and m_{jj}^{AK8} in the non-VBF VH event category, where one of the selected AK8 jets (the V candidate) exhibits a high-purity classification score in the $q\bar{q}$ discriminant, while the other one (the H candidate) exhibits a high

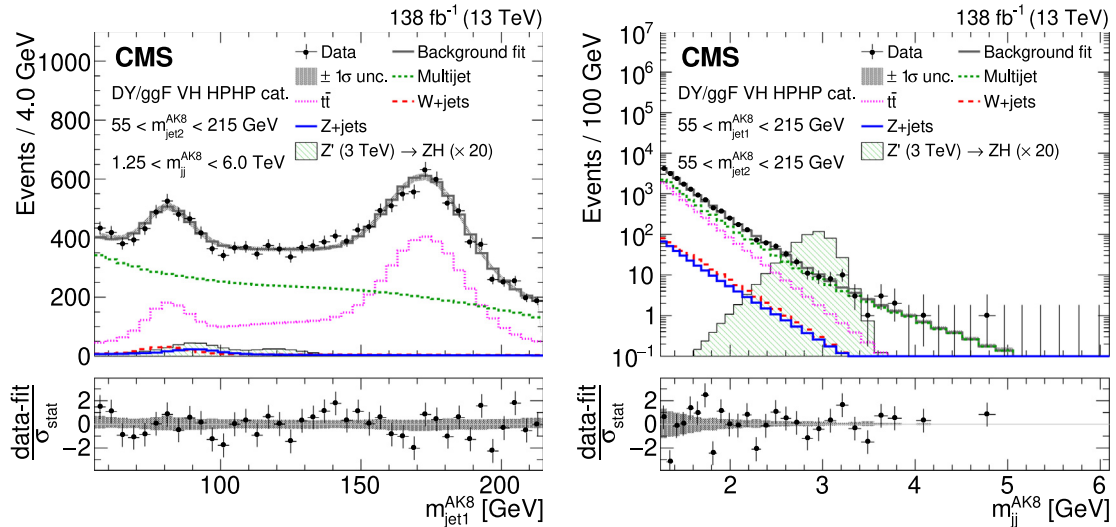


Fig. 17. Search for $X \rightarrow V(qq)H(bb)$: Distributions of (left) the soft drop mass m_{SD} variable, labeled as m_{jet1}^{AK8} , and (right) the dijet mass m_{jj}^{AK8} in the $V(qq)H(bb)$ channel [118]. The individual contributions of the background model are shown by open histograms with different colors and line styles. The signal of a Z' boson with a mass of 3 TeV decaying via $Z \rightarrow qq$ and $H \rightarrow bb$ is also shown, by a green filled histogram. Source: Figure from Ref. [118].

purity classification score in the bb discriminant, are shown in Fig. 17. To simplify the modeling of the three-dimensional (3D) $(m_{jj}^{AK8}, m_{SD}^{jet1}, m_{SD}^{jet2})$ shapes, the assignment of AK8 jets to “Jet 1” and “Jet 2” is performed randomly, so that both m_{SD} distributions exhibit the same shape. The largest background originates from QCD multijet production, which is estimated from a parametric functional form obtained through a forward-folding technique applied to a set of simulated samples at the level of stable particles [163]. This functional form can be constrained through nuisance parameters attached to physics-motivated alternative shapes. All other processes are obtained from simulation. The production of $t\bar{t}$ events is expected to contribute 40%, the expected background from W +jets and Z +jets production amounts to up to 4% of the selected events. Backgrounds from single t quark and diboson production are expected to contribute less than 1.5%. While all backgrounds are nonresonant in m_{jj}^{AK8} , some exhibit one or two resonant structures at different values in the m_{SD} distribution of only one or both AK8 jets. The signal is obtained from analytic functional forms as in the case of the analyses described in Section 2.3.2.

2.4. Search for resonances in the HH channel

This section describes searches for resonances decaying into two H bosons with a mass of 125 GeV. Various further decay mode combinations are covered by the more general searches in the $X \rightarrow YH$ analyses, and are discussed in Section 2.5.

2.4.1. The $X \rightarrow H(bb)H(WW)$ decay in resolved jet topology

For a pair of Higgs bosons, the $bbWW$ decay channel has the second-largest branching fraction of all HH decay modes, of about 24%. The analysis described in this section focuses on the single lepton (SL) $bb\ell\nu qq$ and dilepton (DL) $bb\ell\nu\ell\nu$ final states [119].

The data have been collected with a combination of single- and double-lepton triggers. The event selection requires one or two isolated leptons, in the second case with opposite signs. The analysis considers $H \rightarrow bb$ in both resolved and merged jet topologies, and requires suitable numbers of AK4 and AK8 jets. Jets associated with the $H \rightarrow bb$ candidate are b tagged by passing the medium working point of the DEEPJET algorithm [142] in the AK4 case, or the medium working point of the DEEPCSV algorithm [141] in case of AK8 subjects.

The selection vetoes pairs of leptons, which according to their invariant mass are likely to originate from quarkonia or Z boson decays. Overlap with events selected by the analysis in the $bb\tau\tau$ channel (discussed in Section 2.5.1) is removed by vetoing events containing at least one τ_h candidate passing the selection described in Ref. [122].

The events are classified into processes based on the output of multiclass deep neural networks (DNNs), separately trained for the SL and DL cases. The DNNs feature output nodes for a number of backgrounds and one signal node. The DNNs are trained on all signal samples; they are parameterized in the nominal signal mass and contain five background nodes for the SL and seven for the DL category. Depending on the highest scoring node, events are subdivided into signal and background categories. The network inputs include a number of high-level features, such as invariant masses

and the hadronic activity of the event, but in addition also the output of a Lorentz-boost network [164] performing automated feature engineering based on the four-momenta of selected leptons and jets. Due to similarity of the DNN score distributions for various background classes, they are merged into process groups prior to the signal extraction.

The signal categories are further divided into subcategories according to the b jet topology and multiplicity, referred to as resolved 1 b , resolved 2 b , and merged. The merged jet subcategories are excluded in the HH combination (Section 2.6) as they would overlap with the analysis described in Section 2.4.2. Background contributions dominantly originating from $t\bar{t}$ production are estimated from simulation, with two exceptions: contributions arising from jets misidentified as leptons are estimated with the misidentification-factor method [165], while the DY background is addressed with a different method using the 0 b CR in data and transfer factors determined in the Z boson peak region.

In the SL case, the signal extraction is performed by a simultaneous maximum likelihood fit to the distributions of the DNN outputs of the signal and the background process groups. In the DL case, the DNN output of the signal category is combined into an unrolled 2D variable with the output of a heavy-mass estimator (HME) [166], a variable that estimates the most likely invariant mass of the HH system considering the presence of two neutrinos and the $\vec{p}_T^{\text{miss}}$ measurement.

Examples of the signal extraction are shown in Fig. 18. The distribution in the DNN score (SL case) and the unrolled combination of the DNN score and the HME bin (DL case) are shown for the SR for the three event categories, for an assumed resonance mass of $m_X = 400$ GeV. The signal expected for a radion of this mass with a cross section of 1 pb is also displayed. The observed distributions agree well with the expectation from SM backgrounds and no significant signal is observed.

2.4.2. The $X \rightarrow H(bb)H(WW)$ decay in merged-jet topology

This study extends the search in the $bbWW$ channel towards higher X masses [120]. As in Section 2.4.1, the SL selection targets the $HH \rightarrow bbWW \rightarrow bb\ell\nu qq$ decay mode. The DL selection covers both the $HH \rightarrow bbWW \rightarrow bb\ell\nu\ell\nu$ and the $HH \rightarrow b\bar{b}\tau\tau \rightarrow bb\ell\nu\nu\ell\nu$ decay modes, and the latter comprises 30%–35% of the total expected DL signal yield. As this analysis targets resonance masses of $m_X > 0.8$ TeV, the H bosons emerge with a large Lorentz boost with respect to the laboratory rest frame, and their decay products are contained in collimated cones. For this reason, the hadronically decaying H and W bosons are each reconstructed with a single AK8 jet.

The signal is searched for in the two-dimensional distribution in the (m_{bb}, m_{HH}) mass plane, hence the kinematics of both Higgs boson candidates need to be reconstructed.

In the SL final state, the highest p_T lepton in the event is selected as the lepton candidate from the leptonic W decay. The $W(qq)$ decay is reconstructed with a high- p_T AK8 jet. The AK8 jet nearest to the lepton satisfying $\Delta R < 1.2$ is taken to be the W candidate. The $H \rightarrow WW$ decay chain is reconstructed using a likelihood-based technique, which provides an estimate of the neutrino momentum vector and also a correction to the p_T of the $W(qq)$ candidate jet.

In the DL final state, two opposite-sign light leptons with the highest p_T are taken to be the leptons arising from the $H \rightarrow WW$ decay. Due to the collimation of the Higgs boson decay products, the polar angles of the dineutrino and dilepton systems can be assumed to be the same. Using this assumption, and by approximating the invariant mass of the two neutrinos with its expected mean value of 55 GeV, the sum of the four-momenta of the two neutrinos is estimated using p_T^{miss} . These assumptions are guided by simulation studies. The leptons are required to be close by satisfying $\Delta R < 1$, and their invariant mass is required to be in the range of 6–75 GeV to reduce contamination from DY production. Events are required to have large p_T^{miss} , pointing in approximately the same direction in the transverse plane as the dilepton system, satisfying $\Delta\phi < \pi/2$.

In all considered final states, the $H \rightarrow bb$ decay is reconstructed as a single AK8 jet with two-prong substructure and high p_T . The double- b tagging is performed with the DEEPAK8 algorithm. The SR is defined by requiring m_{SD} of the $H \rightarrow bb$ candidate to be within 30–210 GeV. This allows us to also capture the neighboring background, which is important for the signal extraction described below. Events containing any b -tagged AK4 jets outside the $H \rightarrow bb$ candidate AK8 jet are vetoed, as they are likely to arise from $t\bar{t}$ production.

Events with the production of one or more top quarks constitute the majority of the background, where particles from a hadronic top quark decay are captured in the $H \rightarrow bb$ candidate jet, particularly in the SL final state. The invariant mass distributions of such backgrounds may resonate in m_t , m_W , or neither, depending on which daughter partons are captured, and the backgrounds are classified accordingly. Events originating from other processes, primarily from W +jets and QCD multijet production in the SL channel and DY production in the DL channel, are taken as a separate component.

Events are divided into twelve categories according to the lepton flavor, the purity of the $H \rightarrow bb$ flavor tagging, and, for the SL final state, the purity of the $H \rightarrow WW$ decay reconstruction. Results are extracted by performing a maximum likelihood fit in the 2D (m_{bb}, m_{HH}) mass plane. The background-only model is found to describe the observed distributions well. The distributions of events projected into m_{HH} for two selected categories in SL and DL final states are shown in Fig. 19. In both cases high purity of the $H \rightarrow bb$ flavor tagging (bT) is required. The chosen SL category is further characterized by a muon and a low purity (LP) requirement of the $H \rightarrow WW$ decay reconstruction. The DL category is characterized by different flavors.

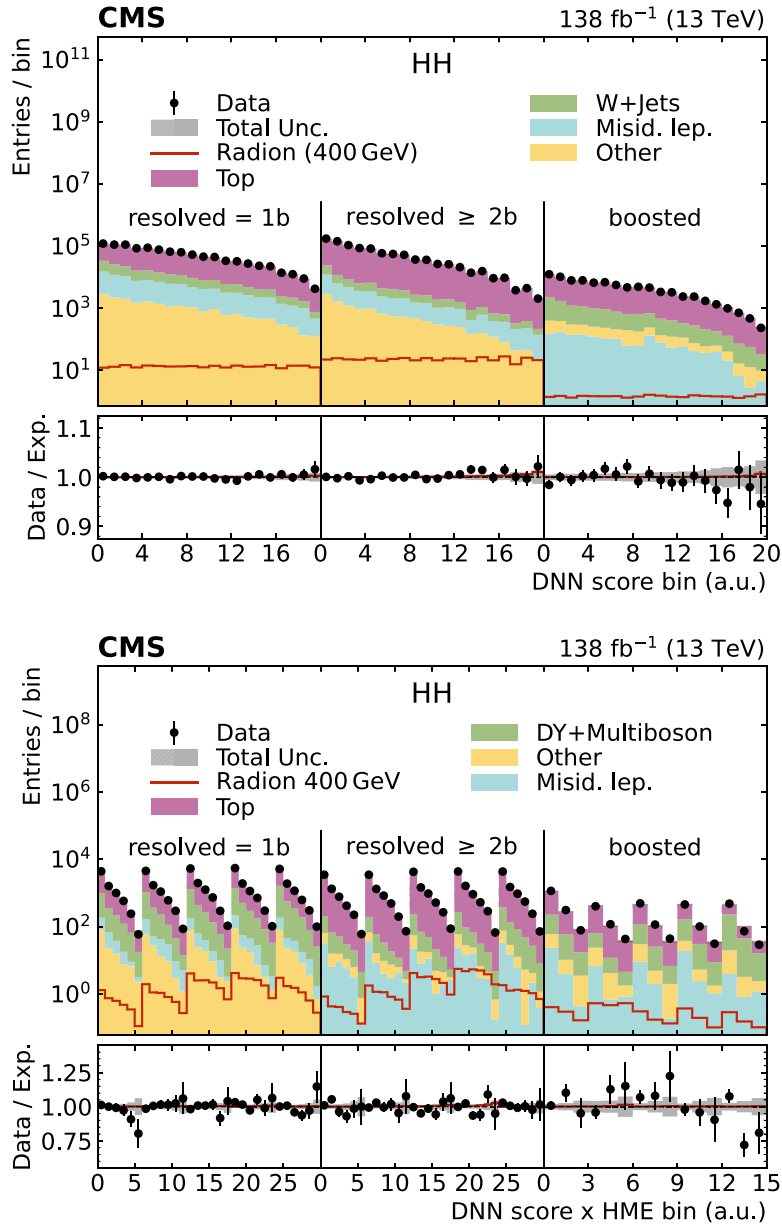


Fig. 18. Search for $X \rightarrow H(bb)H(WW)$: Distributions of the DNN output for events in the signal nodes of the (upper) SL and (lower) DL categories of the $H(bb)H(WW)$ analysis based on merged and resolved jets [119]. The distributions for a signal of a resonant radion with a mass of 400 GeV are also shown, by open red histograms.

Source: Figure from Ref. [119].

2.4.3. The $X \rightarrow HH$ decays into multilepton final states

The analysis of multilepton final states [121] does not assume at least one $H \rightarrow bb$ decay, unlike all other HH analyses discussed in this report, and thus gains access to various hitherto uncovered HH signatures. This search is focused on resonant HH production in the $WWWW$, $WW\tau\tau$, and $\tau\tau\tau\tau$ decay modes. The chosen final states provide a good compromise between a relatively large HH branching fraction and a clean leptonic event signature. The latter provides a series of almost background-free categories with low event counts, which are especially sensitive at low resonance masses. The data are collected using triggers combining single and multiple lepton and τ_h signatures.

The selected events are split into seven event categories with different multiplicities of reconstructed leptons and hadronically decaying taus, denoted as $2\ell ss$ (same sign), 3ℓ , 4ℓ , $3\ell + 1\tau_h$, $2\ell + 2\tau_h$, $1\ell + 3\tau_h$, and $4\tau_h$. The lower multiplicity $2\ell ss$ and 3ℓ categories also require additional jets, as expected from hadronic W boson decays. To reduce the impact of backgrounds involving the decay of top quarks, such as $t\bar{t}$ production, and to avoid overlap with other HH

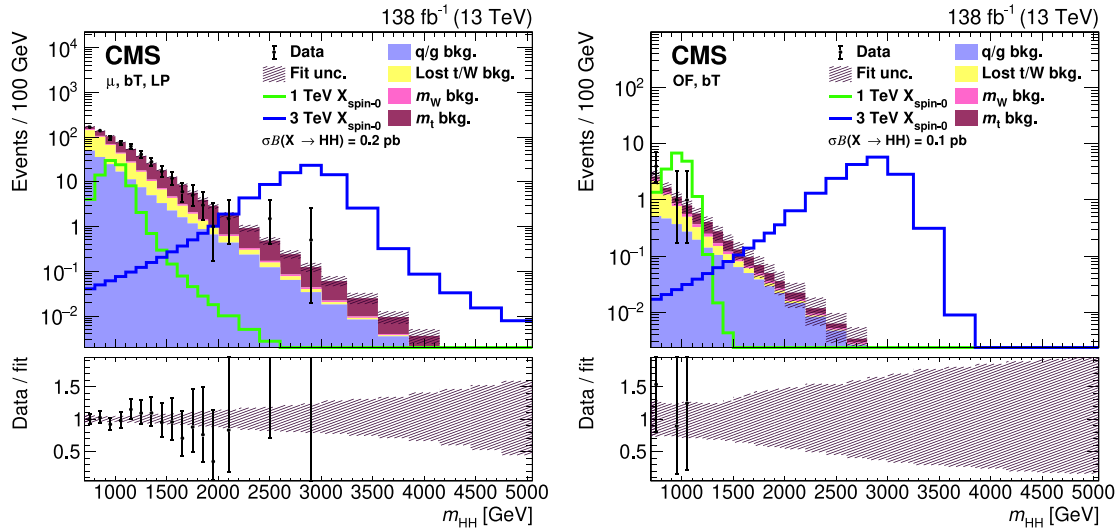


Fig. 19. Search for $X \rightarrow H(bb)H(WW)$: Distributions of the m_{HH} variable, in the (left) SL and (right) DL categories of the $H(bb)H(WW)$ analysis with merged jets [120]. Expected signal distributions from a spin-0 resonance with a mass of 1 or 3 TeV are also shown, by the open green and blue histograms.

Source: Figure from Ref. [120].

analyses, events with b jets identified with the DEEPJET algorithm [142] are explicitly vetoed. Similarly, events with two opposite-sign same-flavor lepton pairs and a combined invariant mass below 140 GeV are vetoed to avoid overlap with the $HH \rightarrow bbZZ$ signature, the analysis of which is not included in this Report. To exclude phase space regions enriched in low mass resonances, which are not well modeled in simulation, and to reduce the impact of backgrounds involving Z boson decays, events containing DL pairs with a mass of $m_{\ell\ell} < 12$ GeV or in the vicinity of the Z boson mass are vetoed as well.

The main backgrounds arise from genuine multiboson processes, such as ZZ production in all categories, as well as WZ production in the $2\ell ss$ and 3ℓ categories. These backgrounds, as well as smaller backgrounds, such as contributions from DY , $t\bar{t}$, and single H production together with backgrounds from photon conversions in the detector material are estimated from the simulation. Background events from charge misidentification in the $2\ell ss$ category, however, are also estimated from data extrapolating events with opposite-sign electrons from $Z \rightarrow ee$ decays into the SR. In most categories, backgrounds arising from jets misidentified as either leptons or τ_h also play an important role; these are estimated with the misidentification-rate factor method [165].

The signal extraction is based on maximum likelihood fits to the distributions of boosted decision tree (BDT) discriminants. The BDTs are trained to discriminate signal from background in each of the seven categories and for each resonance spin assumption (spin 0 or 2). The BDTs are parameterized in the nominal resonance mass.

The selection of BDT input variables is optimized for each signal category, and includes kinematic variables of ℓ and τ_h candidates as well as angular separations and invariant masses of their combinations. Also, the reconstructed HH mass is used. The fits also include two kinematic distributions in control regions enriched in the dominant prompt backgrounds from ZZ and WZ production.

As an example of the SR composition, Fig. 20 shows the BDT output in the two highest signal yield categories $2\ell ss$ and 3ℓ for a spin-2 particle with $m_X = 750$ GeV. A small excess is observed in the rightmost bin of both distributions, amounting to a local significance of about 2.1 standard deviations in both categories. This leads to a mild local excess of the observed limits corresponding to about 1.5–2 standard deviations for masses above 600 GeV, which is visible in Fig. 27.

2.5. Search for resonances in the YH channel

Recently, direct searches for new physics in the Higgs sector have been extended towards YH decays, where Y denotes another unknown bosonic resonance. Such decays are expected, e.g., in 2HDM+S models like the NMSSM, where X and Y can be identified with additional heavy or light Higgs bosons. In cases where Y carries a large singlet component its couplings to SM particles are suppressed and its dominant production at the LHC proceeds via the decay $X \rightarrow YH$. The first analysis presenting such a search was performed in $Y(bb)H(\tau\tau)$ final states [122], covering mass ranges of $260 < m_X < 3000$ GeV and $60 < m_Y < 2800$ GeV. Later on, this analysis was complemented by similar searches in the $Y(bb)H(bb)$ [124] and $Y(bb)H(\gamma\gamma)$ [123] final states. The search in the $Y(bb)H(bb)$ final state covers mass ranges of $900 < m_X < 4000$ GeV and $60 < m_Y < 600$ GeV, targeting kinematic regimes where both bb decays are reconstructed

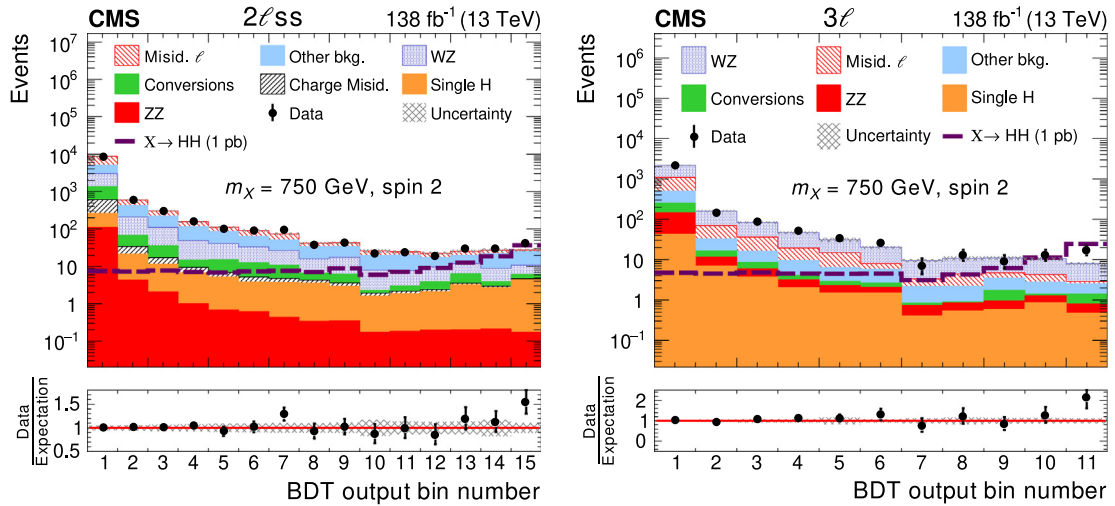


Fig. 20. Search for $X \rightarrow HH$ in multi-lepton final states: Distributions of the BDT classifier output for events in the (left) $2\ell ss$ and (right) 3ℓ categories of the $H(WW + \tau\tau)H(WW + \tau\tau)$ analysis in multilepton final states [121]. The expected signal for a spin-2 resonance with a mass of 750 GeV is shown by the open dashed histogram. The signal is normalized to a cross section of 1 pb. The distributions of the estimated background processes and corresponding uncertainties are shown after a fit of the signal plus background hypothesis to the data.
Source: Figure from Ref. [121].

as large- R AK8 jets. The $Y(bb)H(\gamma\gamma)$ analysis covers mass ranges of $300 < m_X < 1000$ GeV and $90 < m_Y < 800$ GeV, where the upper bound on m_X is implied by the requirement that it should be possible to resolve the b quarks originating from the Y decay as two distinct AK4 jets. All final states have the $Y(bb)$ decay in common. The $H(bb)$ decay utilizes the large branching fraction of the H boson to b quarks; the $H(\tau\tau)$ decay comprises the second largest branching fraction with advantageous reconstruction and identification properties; the $H(\gamma\gamma)$ decay contributes through the excellent mass resolution of the ECAL.

2.5.1. The $X \rightarrow Y(bb)H(\tau\tau)$ decays

The analysis in $Y(bb)H(\tau\tau)$ final states [122] evolved from an analysis of $H \rightarrow \tau\tau$ [167], adding loose requirements on the $Y \rightarrow bb$ decay where the b quarks are reconstructed as AK4 jets. For the $H(\tau\tau)$ decay the $e\tau_h$, $\mu\tau_h$, and $\tau_h\tau_h$ final states are considered. These final states have been shown to have the largest sensitivity to this signature, while the contribution from $\tau\tau$ decays into an e and μ , mostly due to the large background from $t\bar{t}$ production in the kinematic phase space with additionally selected b jets is marginal.

The trigger selection of the events proceeds via the presence of high- p_T electrons, muons, or τ_h decays, or combinations of those at the trigger level. Due to the trigger requirements evolving with time, the offline p_T thresholds range from 25–33 GeV for electrons, from 20–25 GeV for muons, and from 30–40 GeV for τ_h candidates, the latter depending also on the $\tau\tau$ final state. The τ_h candidates are identified using the DEEPTAU algorithm [158], as discussed in Section 2.2. Jets are b tagged using the DEEPTAU algorithm, with a working point of 80% efficiency with a misidentification rate for light-flavor or gluon jets of $\approx 1\%$ [141,142]. For the event selection, a $\tau\tau$ pair in one of the targeted $\tau\tau$ final states and at least one b jet are required. Events that contain only one b jet and no other jet are discarded from the analysis. If more than two b jets are found, the $Y(bb)$ decay is built from those jets that are leading in p_T . If only one b jet exists, the Y candidate is built from this b jet and the jet with the highest b jet score of the DEEPTAU algorithm, even if this lies below the threshold of the chosen working point. The energies of the jets used to form the Y candidate are corrected using the multivariate energy-momentum regression [168].

Depending on the $\tau\tau$ final state, all selected events are then passed through one of three NNs exploiting multiclass classification to distinguish signal, for given values of m_X and m_Y , from four background classes: (i) events with genuine τ pairs in the final state; (ii) events with quark- or gluon-induced jets misidentified as τ_h candidates; (iii) $t\bar{t}$ events where the intermediate W bosons in the decay chain decays into any combination of electrons and muons or into a single τ lepton and an electron or muon (not included in (i) or (ii)); (iv) events from remaining background processes that are of minor importance for the analysis and not yet included in any of the previous classes. This last class comprises single H , single t quark, and diboson production, as well as Z boson decays into electrons or muons. For single H production, rates and branching fractions as predicted by the SM are assumed.

Inputs to the NNs are 20–25 features, of which the following have been identified to be most discriminating, according to an unambiguous metric as given in Ref. [169]: the invariant masses of the bb , $\tau\tau$, and $bb\tau\tau$ systems, and the χ^2 -value of a kinematic fit to the data of the signal hypothesis for given values of m_X and m_Y . Since the discrimination depends on the signal hypothesis, individual NNs have been trained for 68 groups of kinematically adjacent and similar signal

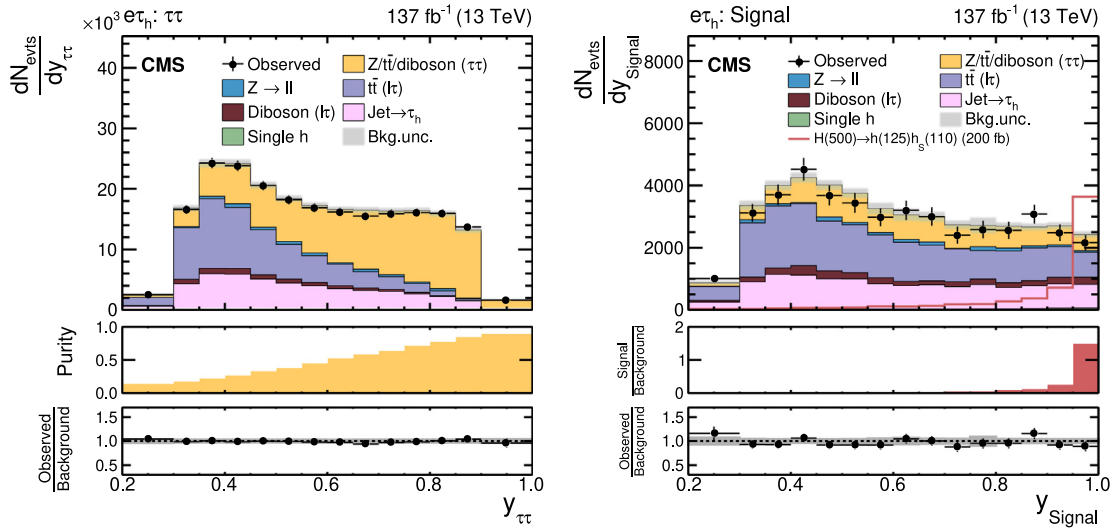


Fig. 21. Search for $X \rightarrow Y(bb)H(\tau\tau)$: Distributions of the NN output scores y_i , in different event categories after NN classification, based on a training for a resonance X with $m_X = 500$ GeV and a resonance Y with $100 \leq m_Y < 150$ GeV in the $e\tau_h$ final state of the $H(\tau\tau)Y(bb)$ analysis [122]. Shown are the (left) $\tau\tau$ and (right) signal categories. For these figures, the data of all years have been combined. The uncertainty bands correspond to the combination of statistical and systematic uncertainties after the fit of the signal plus background hypothesis for $m_X = 500$ GeV and $m_Y = 110$ GeV to the data. In the lower panels of the figures the (left) purity and (right) fraction of the expected signal over background yields for a signal with a cross section of 200fb, as well as the ratio of the obtained yields in data over the expectation based on only the background model, are shown. Source: Figure from Ref. [122].

hypotheses. Each event has been assigned to the class with the highest NN output score. Eventually, the NN output scores have been chosen as discriminating variables for a maximum likelihood fit in 45 individual event categories, split by $\tau\tau$ final state and data-taking year. Typical output distributions are shown in Fig. 21.

2.5.2. The $X \rightarrow Y(bb)H(\gamma\gamma)$ decays

As in the previous case, the analysis in the $Y(bb)H(\gamma\gamma)$ final state [123] starts from the well-identified $H \rightarrow \gamma\gamma$ decay complemented by the selection of two additional AK4 jets to form the $Y(bb)$ candidate.

The trigger selection proceeds through the requirement of two photons with thresholds of $p_T > 30$ GeV for the leading (γ_1) and $p_T > 18$ GeV for the subleading (γ_2) photon in p_T , for data taken in 2016. For data taken in the years 2017–2018, the requirement on γ_2 is raised to $p_T > 22$ GeV because of the larger amount of PU in data. The photons are required to pass identification and isolation criteria, already through the trigger selection, and the mass of the two photons is required to be $m_{\gamma\gamma} > 90$ GeV.

In the offline selection, the photons from which the H candidate is formed are required to be well contained in the ECAL and tracker fiducial volumes of $|\eta| < 2.5$, excluding the transition region of $1.44 < |\eta| < 1.57$ between the ECAL barrel and endcaps, and to fulfill kinematic requirements of $100 < m_{\gamma\gamma} < 180$ GeV, $p_T^{\gamma_1}/m_{\gamma\gamma} > 1/3$, and $p_T^{\gamma_2}/m_{\gamma\gamma} > 1/4$. In addition to the photons at least two AK4 jets originating from the same PV as the photons are required, where the assignment of the photons to the PV is achieved with the help of an MVA technique, as described in Ref. [170]. The jets must fulfill identification requirements as described in Section 2.2, have $p_T > 25$ GeV and $|\eta| < 2.4$ or 2.5, for the data taken in 2016 and 2017–2018, respectively, and be separated from each of the selected photons by $\Delta R > 0.4$. Of all jets in an event that match these criteria, those with the highest sum of their DEEPIET discriminant scores are chosen to form the Y candidate with a requirement on the dijet mass of $70 < m_{jj} < 1200$ GeV. The lower bound on m_{jj} is implied by the kinematic turn-on in the m_{jj} distribution, the upper bound is defined by the transition towards Lorentz-boosted regimes, where the bb system may not be resolved by two spatially separated AK4 jets.

The X candidate is reconstructed from the jets and photons forming the H and Y candidates. To improve the resolution, its mass m_X is estimated from

$$\tilde{m}_X = m_{\gamma\gamma jj} - (m_{\gamma\gamma} - m_H) - (m_{jj} - m_Y), \quad (10)$$

where $m_{\gamma\gamma jj}$ is the mass calculated from the two jets and the two photons. It is corrected by subtracting $m_{\gamma\gamma}$ and m_{jj} , with their values replaced by the nominal values of the masses m_H and m_Y . This estimate has been shown to lead to a 30 to 90% improvement in signal resolution compared to $m_{\gamma\gamma jj}$ alone, in the high- and low- m_X regimes, respectively. For the signal extraction, events are required to be located inside a window in $\tilde{m}_X$, depending on the m_X hypothesis under test. The width of this window has been defined such that it contains at least 60% of the signal.

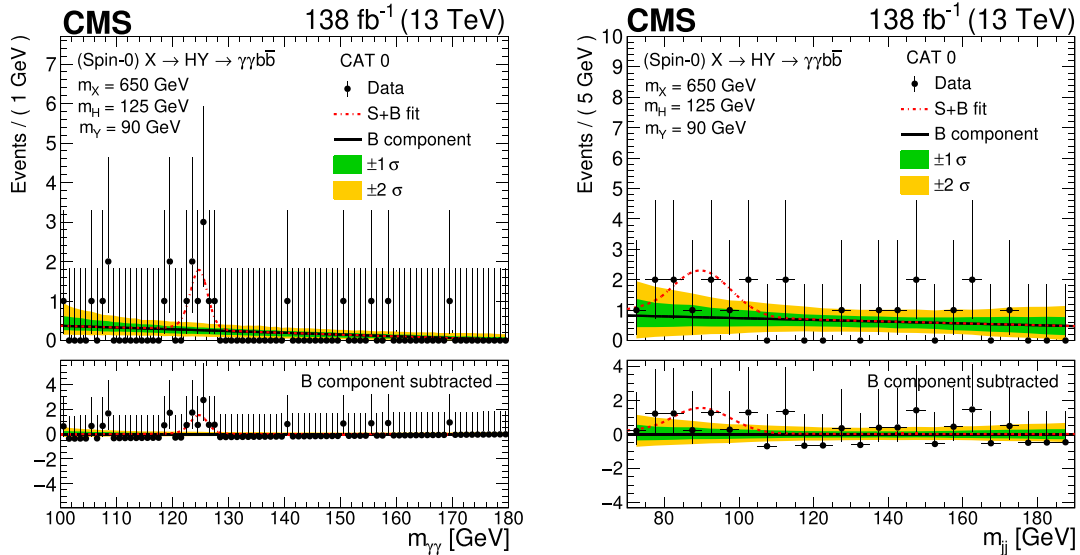


Fig. 22. Search for $X \rightarrow Y(bb)H(\gamma\gamma)$: Marginal distributions of the (left) $m_{\gamma\gamma}$ and (right) m_{jj} variables, in the high-purity SR (labeled “CAT 0”) of the $Y(bb)H(\gamma\gamma)$ analysis [123]. The figure is shown, for a hypothesis of $m_X = 650$ GeV and $m_Y = 90$ GeV, for which the largest excess of events over the background model is observed. In the lower panels, the numbers of background-subtracted events are shown after the fit of the background model to the data.

Source: Figure from Ref. [123].

Resonant backgrounds to the analysis originate from single H production, which is strongly suppressed already by the selection in $\tilde{m}_X$. For hypotheses of $m_X < 550$ GeV a sizeable contribution from $t\bar{t}H$ production is further reduced by an NN-based discriminant developed for the search for nonresonant $H(\gamma\gamma)H(bb)$ production [171], exploiting the decays of W bosons arising from the $t\bar{t}$ decay chains.

Nonresonant backgrounds in this search mostly originate from the production of one (γ +jets) and two ($\gamma\gamma$ +jets) photons in association with jets. To separate these backgrounds from the signal a BDT with three output classes, one for each background and one for signal, and 22 input features is used. The input features comprise kinematic and identification observables of the selected jets and photons, estimates of the mass, energy, and p_T resolutions, and an estimate of the p_T density from PU. For training, six exclusive kinematic regions are defined, based on the hypothesized values of m_X and m_Y , where in each region all contained signal samples and the two background processes in question, as obtained from simulation, are used with equal weight. These training regions are defined to resemble similar kinematic properties for signals inside the given m_X – m_Y window. For each kinematic region, three event categories are defined, based on the output of the corresponding BDT. These categories are introduced to indicate regimes of varying signal purity. For each m_X hypothesis the signal is inferred from an unbinned likelihood fit of a parametric model to the data in the 2D discriminating distributions given by the values of $m_{\gamma\gamma}$ and m_{jj} , in each of the BDT categories. The data are found to be compatible with the SM predictions. In Fig. 22 the marginal distributions of $m_{\gamma\gamma}$ and m_{jj} in the BDT category with the highest expected signal purity for a selection corresponding to $m_X = 650$ GeV and $m_Y = 90$ GeV, are shown together with the results of the fit to the data. For these mass values, the largest deviation from the background-only hypothesis is observed, with a local (global) significance of 3.8 (below 2.8) standard deviations. A hypothesized signal for $m_X = 650$ GeV and $m_Y = 90$ GeV with an arbitrary normalization is also shown.

2.5.3. The $X \rightarrow Y(bb)H(bb)$ decays in merged jet topology

This analysis in the $Y(bb)H(bb)$ final state [124] explicitly targets ranges in m_X and m_Y where both, the $Y(bb)$ and $H(bb)$ decays can be reconstructed with AK8 jets, as described in Section 2.2.

The trigger selection proceeds through a logical OR of a mixture of trigger paths requiring the presence of high- p_T AK8 jets, large values of H_T , or combinations of those. In addition, b tagging requirements and a requirement on the mass of the two leading jets, in cases where more than one AK8 jet is present in an event, are imposed. This setup aims at a trigger efficiency close to 100% for the offline selected events. Residual corrections to the trigger efficiency have been derived from CRs. These corrections usually range below 5%.

In the offline selection, the events are required to contain at least two AK8 jets with $p_T > 350$ GeV and $|\eta| < 2.4$ for the data taken in 2016 and $p_T > 400$ GeV and $|\eta| < 2.5$ for data taken in 2017–2018. The dominant backgrounds for this analysis arise from $t\bar{t}$ production in the all-hadronic decay of the intermediate W bosons and QCD multijet production. To further suppress the latter an additional pairwise requirement of $|\Delta\eta| < 1.3$ for the selected AK8 jets is imposed. Eventually the two leading jets in p_T are identified as the H and Y candidates, where requirements of

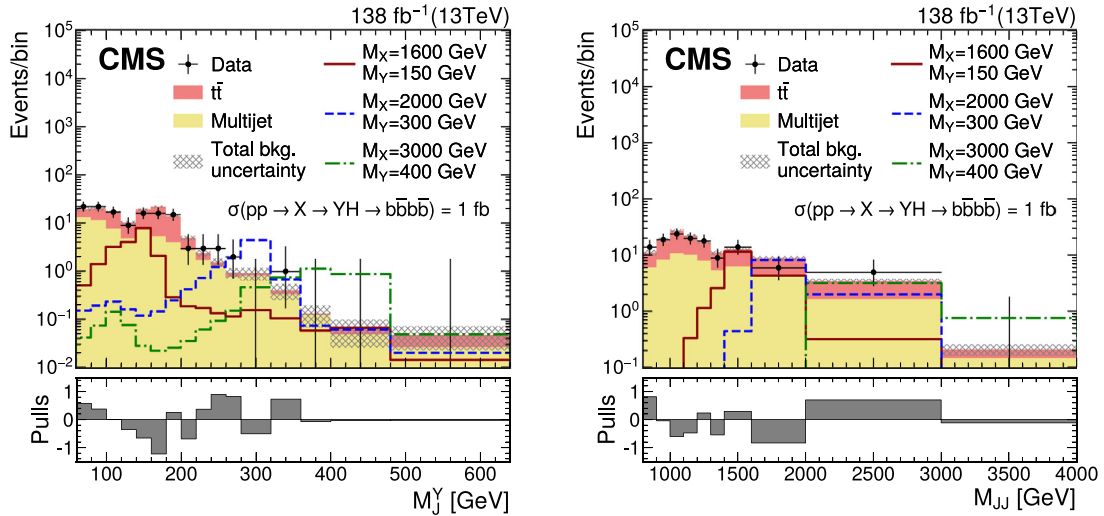


Fig. 23. Search for $X \rightarrow Y(bb)H(bb)$: Distributions of the (left) soft-drop mass of the boosted Y candidate, labeled M_J^Y , and (right) the dijet mass of the Y and H candidates, M_{JJ} , in the high-purity SR of the $Y(bb)H(bb)$ analysis with two merged bb jets [124]. The distributions as expected for signals with three different values of m_X and m_Y (labeled M_X and M_Y) are also shown. In the lower panels the statistical pull in each bin is displayed.

Source: Figure from Ref. [124].

$110 < m_{SD} < 140$ GeV for the H candidate and $m_{SD} > 60$ GeV for the Y candidate are imposed. When both AK8 jets satisfy the first mass requirement, the Y jet is chosen at random. The H and Y candidates are then passed to the PARTICLENET algorithm [147] to discriminate the decays of a boosted resonance, $H(bb)$ or $Y(bb)$, from light-flavor quark or gluon jets.

Based on the output of the PARTICLENET algorithm for each of the AK8 jets, a loose and a tight SR, with varying expected signal purity, are defined. In addition, corresponding sideband regions for the estimation of the background from QCD multijet production and a series of regions to validate this background estimate are constructed. The background from $t\bar{t}$ production is estimated from simulation and monitored in a dedicated CR in data, obtained from a selection of either an isolated electron or muon with $p_T > 40$ GeV and $|\eta| < 2.4$, and an AK4 jet tagged as a b jet with the DEEPIET algorithm, with a distance of $\Delta R < 1.5$ from the selected lepton. For this purpose, a working point of the DEEPIET algorithm with an efficiency of 90% with a misidentification rate of $\approx 10\%$ has been chosen. In addition, requirements of $p_T^{\text{miss}} > 60$ GeV and $H_T > 500$ GeV are imposed. The lepton, p_T^{miss} , and the b -tagged jet provide the signature of a leptonically decaying t quark. A hadronically decaying t quark candidate is reconstructed from an AK8 jet fulfilling the same kinematic requirement as in the SR and $m_{SD} > 60$ GeV. In addition the selected AK8 jet must have a distance of $\Delta R > 2$ from the selected lepton. This selection reaches a purity in $t\bar{t}$ events of greater than 90%. The signal is obtained from a fit of the signal and background models to the observed 2D distribution in the (m_J^Y, m_{JJ}) plane, where m_J^Y is the soft-drop mass of the Y boson candidate, and m_{JJ} is the invariant mass of the H and Y boson candidates. The loose and tight SRs are fit jointly with the corresponding CRs that constrain the QCD multijet and $t\bar{t}$ backgrounds. Distributions in m_J^Y and m_{JJ} in the high-purity signal region are shown in Fig. 23.

2.6. Statistical combination

Combinations are performed based on the HH and YH decay channels presented in this report, and the results will be presented in Section 3. A combination of VH decay channels is foreseen at a later date as various analyses are still ongoing. The combination is performed by integrating the signal extraction procedures of the respective decay channels into a combined likelihood analysis determining a single combined signal strength. In the HH case, this combined signal strength measures the product $\sigma(pp \rightarrow X)\mathcal{B}(X \rightarrow HH)$. It is linked to the signal strength in each individual HH decay mode combination by the product of the corresponding H branching fractions, where the SM values for a Higgs boson with $m_H = 125$ GeV are used. In the YH case, the combined signal strength measures the product $\sigma(pp \rightarrow X)\mathcal{B}(X \rightarrow YH)\mathcal{B}(Y \rightarrow bb)$. It is connected to the signal strength in each YH decay mode by the corresponding SM H branching fraction. The branching fraction $\mathcal{B}(Y \rightarrow bb)$ is unknown, model dependent, and no attempt is made to correct for it. This way of combination is possible because all considered YH channels share the $Y \rightarrow bb$ decay mode.

For this combined analysis, those systematic variations that should act in the same way for each individual search in consideration, are treated as correlated. A typical example of this kind is the uncertainty in the integrated luminosities of the used data sets. According to the values of m_X and m_Y , different channels may contribute at different levels of relative sensitivity. This is due to differences in the selection efficiency, the acceptance of the CMS detector, the trigger efficiency

and the branching fractions. Therefore a combination might be either dominated by one channel or benefit from the joint effect of many channels with similar sensitivity depending on the phase-space region.

For the $X \rightarrow HH$ decay, the combination is performed separately for the spin-0 and spin-2 hypotheses on the X boson. For the $X \rightarrow YH$ case, spin-0 is assumed for both the X and Y bosons. For all measurements described in the following, the H boson mass is fixed to $m_H = 125$ GeV. In case of the $Y(bb)H(\tau\tau)$ analysis (Section 2.5.1), for which no limits at $m_Y = 125$ GeV are available, we use $m_Y = 130$ GeV instead to estimate the result for the HH case. This is justified by the limited mass resolution. We make this particular choice because a comparison of the limits with $m_Y = 120$ GeV and $m_Y = 130$ GeV shows that the latter choice yields more conservative limits. Theoretical uncertainties in the branching fractions and in the HH cross sections are taken into account [35].

The used grids in points of m_X and (m_X, m_Y) can differ across the various analyses. In general, the combination is performed only for the points common to all analyses considered in the combination.

As theoretical systematic uncertainties, we consider normalization uncertainties related to PDF, QCD scale, and α_s in the total cross section for the main backgrounds and for the single H production process, which follows the recommendations by the LHC cross section working group [35]. These uncertainties are considered to be uncorrelated across processes, and fully correlated across channels that share the same process.

3. Upper limits on the cross sections

We now turn to the results of the searches for heavy resonances X decaying into VH , HH , and YH channels. Each search features at least one instance of the H boson at a mass of 125 GeV originating from the decay of a heavy resonance X . The analyses are performed in a variety of final states with complementary sensitivity in the masses m_X and m_Y .

The observed data for all searches are found to be in agreement with the SM expectations in the corresponding SRs. We set upper limits on the product of the production cross section of the resonance and the branching fraction, $\sigma\mathcal{B}$. The upper limits are set at 95% CL, using the CL_s criterion [172–174]. Statistical combinations of the different HH and YH analyses are performed as described in Section 2.6 to extract the maximum information from the data.

3.1. The $X \rightarrow VH$ decays

Five searches for VH resonances are presented in Section 2.3. These target final states with 0, 1, and 2 leptons, originating from the decay of the vector boson (W or Z) produced together with the H boson. The H boson is assumed to either decay to bb or $\tau\tau$. The results are shown as upper limits on $\sigma\mathcal{B}$ as functions of the resonance mass X . This can either be a scalar particle, which can occur, e.g., in 2HDM models, or a vector boson resonance, like W' and Z' , as predicted in the HVT models.

Fig. 24 shows the upper limits on $\sigma(pp \rightarrow A)\mathcal{B}(A \rightarrow ZH)$ as functions of the mass of the CP -odd Higgs boson A , using H decays to bb [115] and $\tau\tau$ [114], obtained with the data set recorded in 2016. This plot also shows the expected cross sections for A bosons in two typical 2HDM scenarios. These feature a drop beyond the $t\bar{t}$ threshold because of the $A \rightarrow t\bar{t}$ channel opening up.

Figs. 25 and 26 show the upper limits on $\sigma\mathcal{B}$ for spin-1 W' and Z' resonances, as a function of the masses $m_{W'}$ and $m_{Z'}$, respectively. The limits are derived for DY (left) and VBF (right) production separately. The exclusion limits reach values of $\sigma\mathcal{B}$ below 0.1 and 0.3 fb for the DY and VBF topologies, respectively. In DY production the results from searches with leptons in the final state yield a stronger exclusion for $m_{W'}$ masses below 1.7 TeV and $m_{Z'}$ below 3.2 TeV. For higher masses, the fully hadronic final state shows higher sensitivity. The interpretations of these upper limits on $\sigma\mathcal{B}$ in HVT models will be discussed in detail in Section 4.3.

3.2. The $X \rightarrow HH$ decays

The six searches for $X \rightarrow HH$ discussed in Section 2.4 target a variety of final states with b jets, photons, light leptons, and τ_h leptons. The searches study spin-0 and spin-2 resonances in the mass range 0.28–4.5 TeV. We denote the spin-0 resonance as X since interpretations in warped extra dimension and extended Higgs sector models are both possible. We denote the spin-2 resonance as G having a graviton in mind.

Fig. 27 shows the upper limits on $\sigma\mathcal{B}$ as functions of the resonance mass for both spin hypotheses. The exclusion in terms of $\sigma\mathcal{B}$ extends down to 0.2 fb for both spin scenarios probed. The best sensitivity at low masses is obtained by the diphoton search, while at high masses the two searches with b -tagged merged jets show the best sensitivity. The results of the statistical combination as described in Section 2.6 are shown as red lines. These combined results are presented again separately in Fig. 28 along with the ± 1 and ± 2 s.d. intervals on the expected limits. No deviation larger than 2 s.d. from the expected limits is observed. Large improvements in sensitivity relative to the best individual channel are achieved in the range of $m_X \sim 0.5$ –1 TeV, where many channels contribute with about the same weight to the combination. Below masses of 0.32 TeV and above 0.8 TeV, this combination gives the strongest observed limits to date on resonant HH production. A recent combination of HH searches performed by the ATLAS Collaboration can be found in Ref. [175].

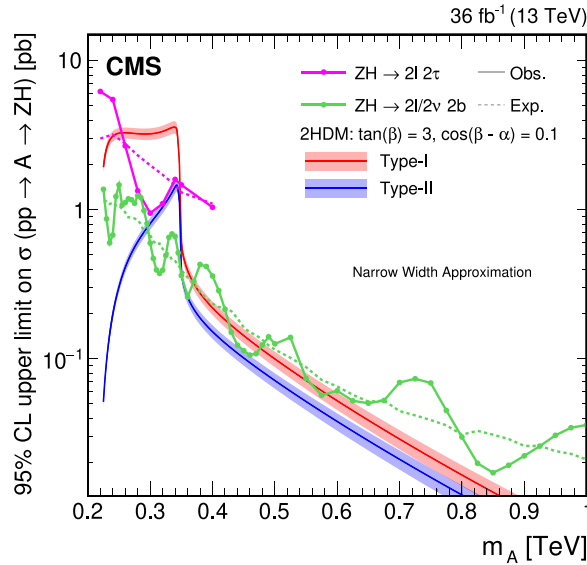


Fig. 24. Search for $X \rightarrow ZH$: Observed and expected 95% CL upper limits on the product of the cross section σ for the production of an A boson, via gluon-gluon fusion and the branching fraction $\mathcal{B}$ for the $A \rightarrow ZH$ decay. The limits are given in pb as functions of m_A . The markers connected with solid lines (dashed lines) indicate the observed (expected) limits. The green (magenta) lines refer to the $Z(\ell\ell + \nu\nu)H(bb)$ [115] ($Z(\ell\ell)H(\tau\tau)$ [114]) analysis. The red and blue solid lines indicate the product $\sigma\mathcal{B}$ as expected by the 2HDM Type I and Type II models, respectively, for the parameters $\tan\beta = 3$ and $\cos(\beta - \alpha) = 0.1$. The shaded areas associated with these predictions indicate the corresponding model uncertainties. Source: The results and model predictions have been adapted from Refs. [114,115].

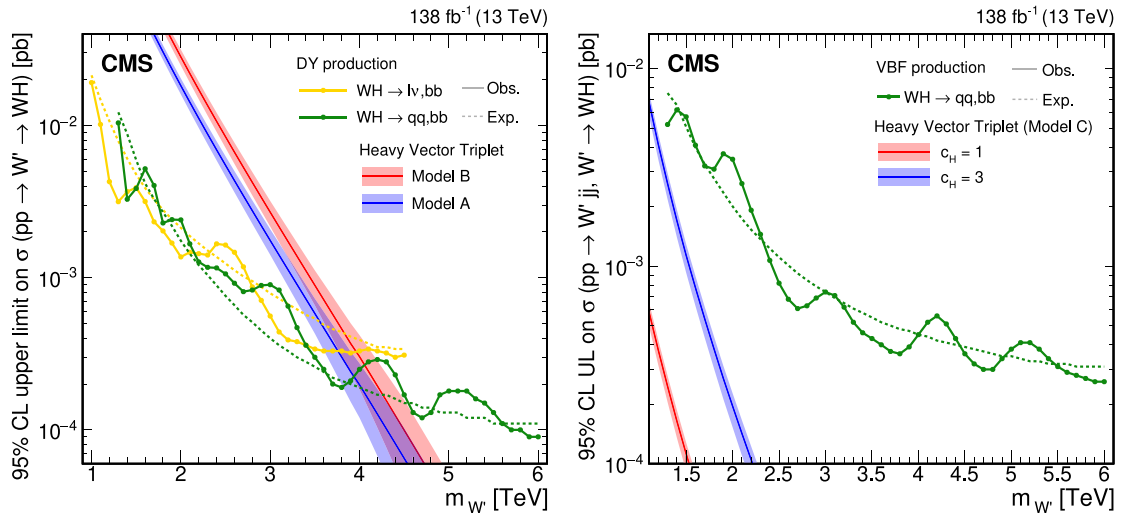


Fig. 25. Search for $X \rightarrow WH$: Observed and expected 95% CL upper limits on the product of the cross section σ for the production of a W' spin-1 resonance, via (left) DY production or (right) vector boson fusion and the branching fraction $\mathcal{B}$ for the $W' \rightarrow WH$ decay. The solid lines represent the observed and the dotted lines the expected limits. The theory predictions from the heavy vector triplet models A, B, and C are also shown.

3.3. The $X \rightarrow YH$ decays

Three searches target the $X \rightarrow YH$ decay. Two are dedicated to lower masses with the H boson decaying to $\gamma\gamma$ or $\tau\tau$ with two b-tagged AK4 jets for the reconstruction of the Y boson (as discussed in Section 2.5). The search in the fully hadronic final state with two double-b-tagged AK8 jets targets the high-mass regime. As the Y boson decays to $b\bar{b}$ in all cases considered, this allows for a direct comparison of the results from these three searches, without the assumption of a specific model. Furthermore, this makes a model-independent combination possible, where only the branching fractions of the H boson need to be taken into account.

Figs. 29 and 30 show the upper limits on $\sigma\mathcal{B}$ as functions of the m_Y for $m_X \leq 1$ TeV and for $m_X \geq 1.2$ TeV, respectively. The results have been achieved by adjusting each channel to the corresponding SM branching fraction of the H boson

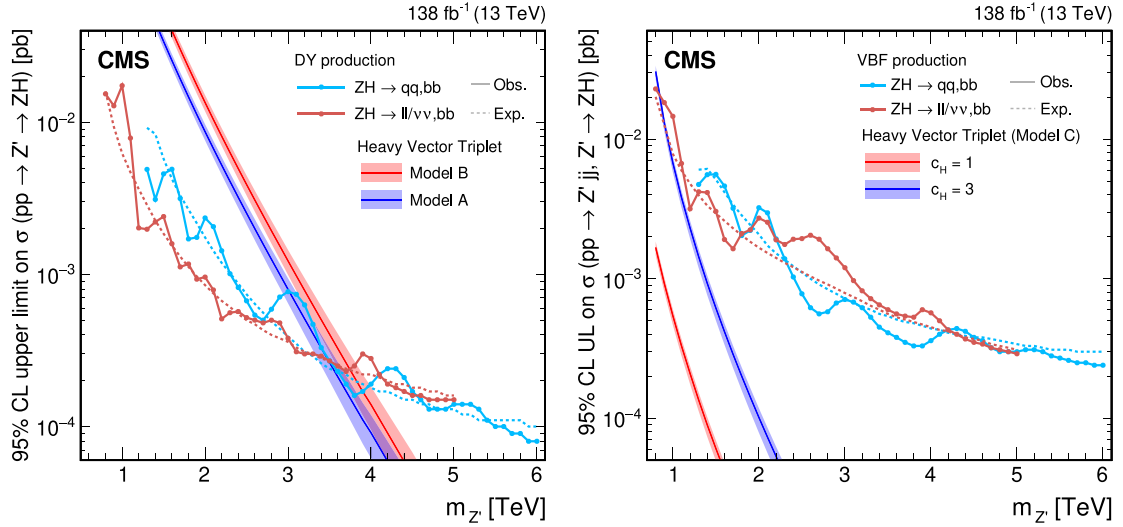


Fig. 26. Search for $X \rightarrow ZH$: Observed and expected 95% CL upper limits on the product of the cross section σ for the production of a Z' spin-1 resonance, via (left) DY production or (right) vector boson fusion and the branching fraction $\mathcal{B}$ for the $Z' \rightarrow ZH$ decay. The solid lines represent the observed and the dotted lines the expected limits. The theory predictions from the heavy vector triplet models A, B and C are also shown.

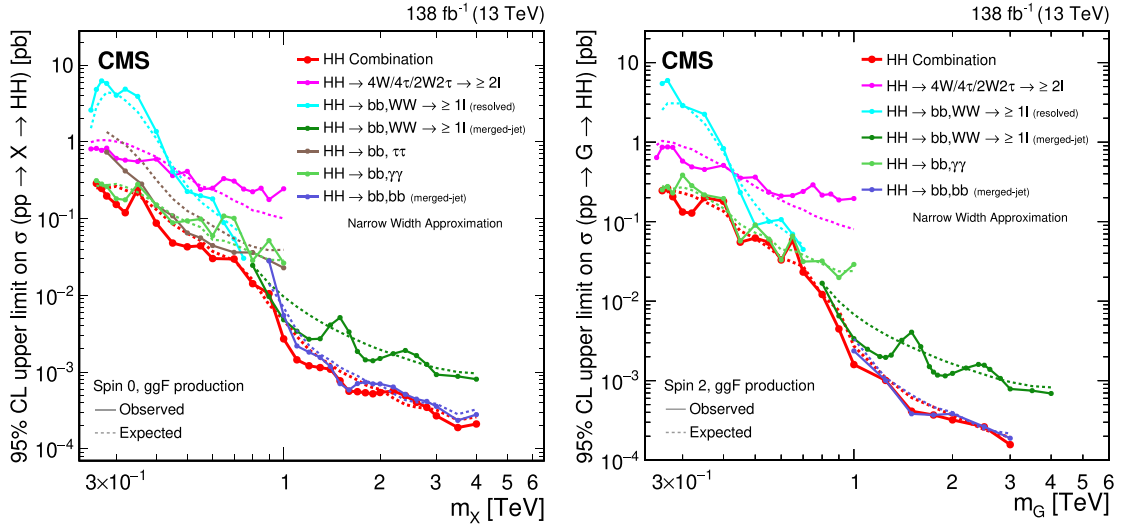


Fig. 27. Search for $X \rightarrow HH/G \rightarrow HH$: Observed and expected 95% CL upper limits on the product of the cross section σ for the production of a (left) spin-0 resonance X and (right) a spin-2 resonance G , via gluon-gluon fusion and the branching fraction $\mathcal{B}$ for the corresponding HH decay. The results of the individual analyses presented in this report, corrected for the branching fractions of the respective H decay modes, and the result of their combined likelihood analysis are shown. The observed limits are indicated by markers connected with solid lines and the expected limits by dashed lines.

decay under consideration. No correction has been made for the unknown branching fraction of $Y \rightarrow bb$, which is the same in all searches.

At low m_X , the $Y(bb)H(\tau\tau)$ and $Y(bb)H(\gamma\gamma)$ analyses provide the best sensitivity. For $m_X = 1$ TeV and higher, the $Y(bb)H(bb)$ in the merged jet topology dominates for small and medium values of m_Y . At the largest values of m_Y , however, approaching the kinematic limit, the sensitivity of the $Y(bb)H(bb)$ analysis is reduced because the Lorentz boost of the Y boson rest frame is too small for the fragmentation products of the two b quarks to merge into a single jet.

The three analyses are statistically combined as described in Section 2.6, and the resulting expected and observed limits are shown in Figs. 29 and 30. Covering the full mass grid, however, is beyond the scope of this Report. This combination is shown as an example for the given mass points, and a separate publication in the near future will include a full combination featuring a larger set of decay modes. The typical exclusion upper limits on $\sigma\mathcal{B}$ are about 50, 5, and

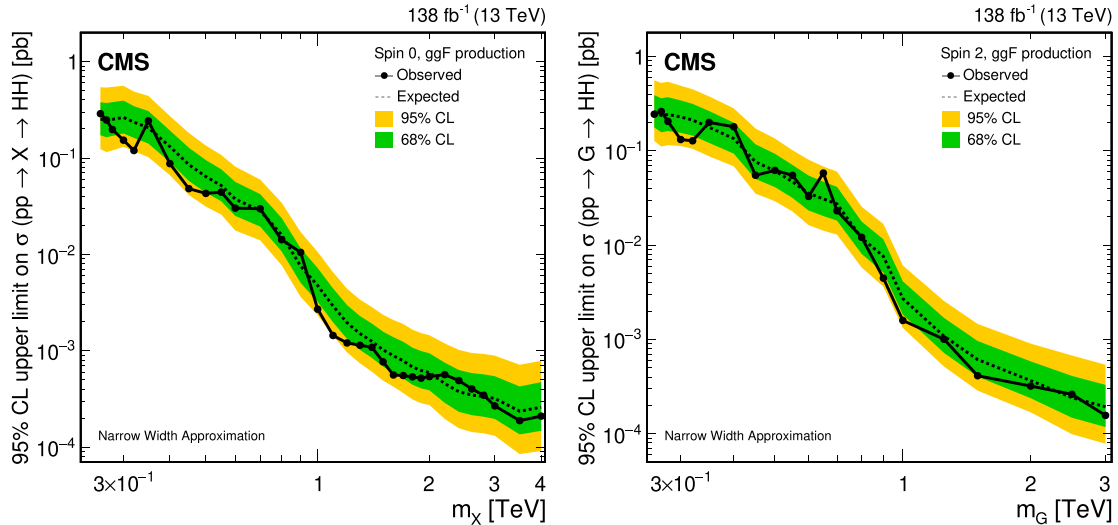


Fig. 28. Search for $X \rightarrow HH/G \rightarrow HH$: Observed and expected 95% CL upper limits on the product of the cross section σ for the production of a (left) spin-0 resonance X and (right) a spin-2 resonance G , via gluon-gluon fusion, and the branching fraction B for the corresponding HH decay, as obtained from the combined likelihood analysis of all contributing individual analyses presented in this report and shown in Fig. 27. In addition to the limit from the combined likelihood analysis the 68 and 95% central intervals for the expected upper limits in the absence of a signal are shown as colored bands.

0.3 fb for $m_X = 0.5, 1$, and 3 TeV, respectively. No excess larger than two s.d. above the expected limit is observed at any of these mass points. A two-dimensional representation of the experimental limits in the (m_X, m_Y) parameter space is shown as part of the interpretation in Section 4.1.3.

4. Model-specific interpretation

We interpret the results of the individual searches and their combinations in specific models. The interpretations highlight the coverage of the analyses in the corresponding parameter space and show which regions are excluded by the current data. The first three subsections address how the measurements can constrain the parameter space of models with an extended Higgs sector, warped extra dimensions, and in a HVT framework. Section 4.4 is dedicated to studies going beyond the narrow-width approximation (NWA), where we investigate the effects of non-negligible resonance widths and interference.

4.1. Extended Higgs sector models

4.1.1. The MSSM

The decays $X \rightarrow HH$ and $A \rightarrow ZH$ can have sizeable branching fractions in models with two complex Higgs doublets. However, as discussed previously, the branching fractions get suppressed when approaching the alignment limit, where the H boson becomes SM-like. Searches for HH and ZH can nonetheless set important constraints in these models, in particular at low to intermediate values of $\tan \beta$, and for masses below or near the $t\bar{t}$ threshold, $m_{X/A} \lesssim 350$ GeV.

Fig. 31 shows exclusion regions in the $(m_A, \tan \beta)$ plane of the hMSSM [64–66,69]. For this and the following model interpretations, the version numbers of the corresponding tools are documented in Ref. [69]. The branching fractions are obtained with HDECAY [178,179]. The gluon fusion cross section is obtained with SusHi [180,181], which includes higher-order QCD corrections [182–188] and EW effects from light quarks [189,190]. The $X \rightarrow HH$ searches result in an exclusion for $\tan \beta \lesssim 6$ for m_A just above the HH production threshold of 250 GeV, decreasing to $\tan \beta \lesssim 1$ for $m_A \approx 600$ GeV. This is complementary to the exclusion regions from searches for fermionic decays, such as $A \rightarrow \tau\tau$, which exclude regions of large $\tan \beta$. The $A \rightarrow ZH$ search in the $H \rightarrow \tau\tau$ channel provides sensitivity for $220 < m_A < 350$ GeV and excludes regions below $\tan \beta = 3.6$ for $m_A \lesssim 330$ GeV. Compared to other direct searches, there is a unique sensitivity of the $X \rightarrow HH$ searches for $m_A \gtrsim 450$ GeV and $\tan \beta < 5$. At the same time, the constraints derived from the measurements of the H boson couplings are somewhat more stringent, albeit these place only indirect constraints on this model.

The frequently used M_h^{125} benchmark model is not very suitable for interpretations of results from $X \rightarrow HH$ searches as these exclude regions at low $\tan \beta$ where the SM-like scalar has a mass inconsistent with 125 GeV and thus with the observed H boson. Instead, we choose to interpret these results in the $M_{h,\text{LEFT}}^{125}$ scenario [67,69]. Higgs boson masses and mixings are obtained with FEYNHIGGS [15,191–197]. The branching fraction calculations make use of both FEYNHIGGS, HDECAY, and PROPHECY4F [198,199]. The cross section for gluon fusion production is obtained from the same tools and

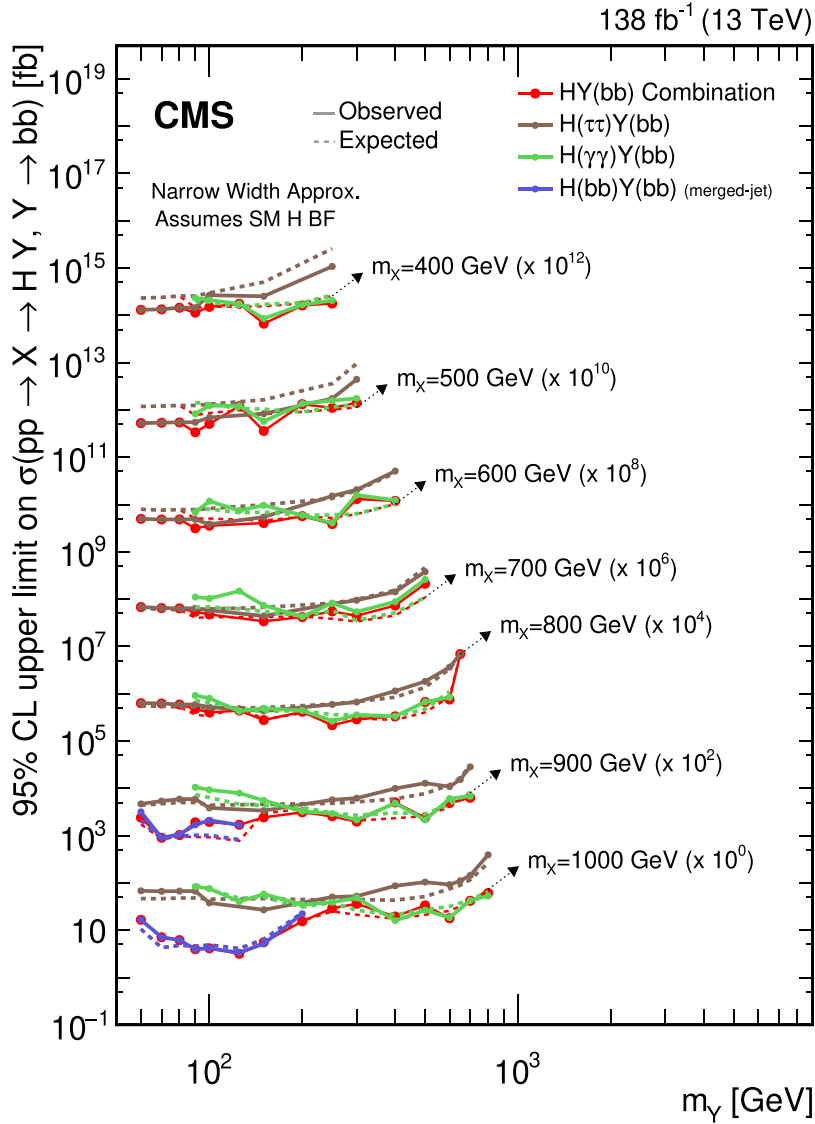


Fig. 29. Search for $X \rightarrow YH$: Observed and expected upper limits, at 95% CL, on the product of the cross section σ for the production of a resonance X via gluon–gluon fusion and the branching fraction $\mathcal{B}$ for the $X \rightarrow Y(bb)H$ decay. For the branching fractions of the $H \rightarrow \tau\tau$, $H \rightarrow \gamma\gamma$ and $H \rightarrow bb$ decays, the SM values are assumed. The results derived from the individual analyses presented in this report and the result of their combined likelihood analysis are shown as functions of m_Y and m_X for $m_X \leq 1\text{TeV}$. Observed limits are indicated by markers connected with solid lines, expected limits by dashed lines. For presentation purposes, the limits have been scaled in successive steps by two orders of magnitude, each. For each set of graphs, a black arrow points to the m_X related legend.

predictions as in the hMSSM scenario. The resulting exclusion regions in the $(m_A, \tan\beta)$ plane are shown in Fig. 32. In this scenario, the parameter regions excluded by the HH combination are found not to be in conflict with the measured H boson mass. For $m_A \gtrsim 400\text{GeV}$ the results from the combination provide unique exclusions. Otherwise, the overall picture is similar to the hMSSM scenario.

4.1.2. The 2HDM

Exclusion limits in the 2HDM are derived from the results of the search for $A \rightarrow ZH(bb)$ [115]. The 2HDM cross sections and branching fractions are computed with 2HDMC [62] and SusHi, respectively. The light H boson mass is set to 125 GeV and $m_X = m_{H^\pm} = m_A$ is used. The Z boson branching fractions are set to the measured values [48]. Fig. 33 shows the constraints in the $(\tan\beta, \cos(\beta - \alpha))$ plane for $m_A = 300\text{GeV}$ [115]. The search excludes nearly the whole region of low $\tan\beta$ in all four 2HDM scenarios, except for a narrow region around $\cos(\beta - \alpha) = 0$ for which the branching fraction goes to zero, another narrow region at negative $\cos(\beta - \alpha)$ for the Type I and lepton-specific scenarios, and at

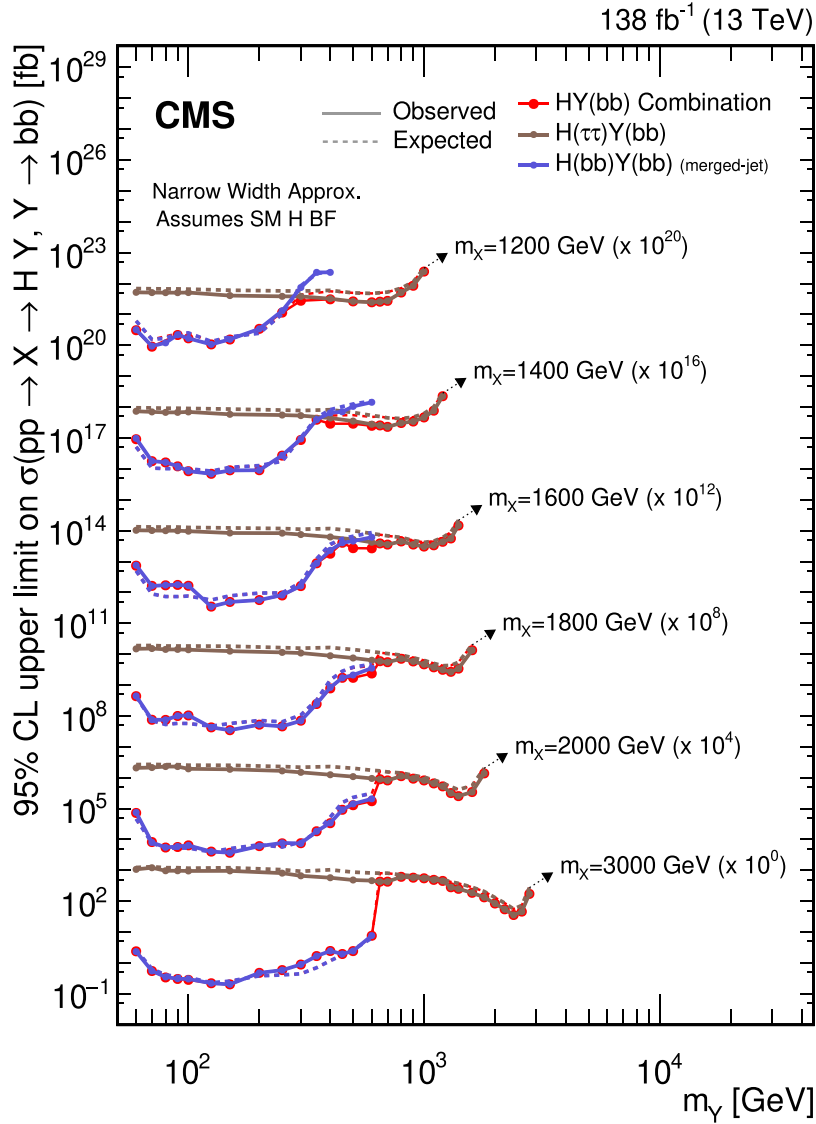


Fig. 30. Search for $X \rightarrow YH$: Observed and expected upper limits, at 95% CL, on the product of the cross section σ for the production of a resonance X via gluon–gluon fusion and the branching fraction B for the $X \rightarrow Y(bb)H$ decay. For the branching fractions of the $H \rightarrow \tau\tau$ and $H \rightarrow bb$ decays, the SM values are assumed. The results derived from the individual analyses presented in this report and the result of their combined likelihood analysis are shown as functions of m_Y and m_X for $m_X \geq 1.2$ TeV. Observed limits are indicated by markers connected with solid lines, expected limits by dashed lines. For presentation purposes, the limits have been scaled in successive steps by four orders of magnitude, each. For each set of graphs, a black arrow points to the m_X related legend.

positive $\cos(\beta - \alpha)$ for the other two scenarios. At high $\tan\beta$, the excluded region widens in the Type II and flipped scenarios, whereas there is no sensitivity in the Type I and lepton-specific scenarios because the production cross section for the A boson becomes too small.

4.1.3. The NMSSM and TRSM models

The searches for $X \rightarrow YH$ decays are interpreted in the NMSSM and TRSM models. In both models, there are various free parameters besides the masses of the additional X and Y bosons that affect the cross sections and branching fractions. To check whether the searches are sensitive to a point in the (m_X, m_Y) plane, a parameter scan is performed to determine the maximally allowed cross section, taking all previous constraints on the models into account.

In the NMSSM case, we obtain the maximally allowed cross section values for $\sigma(X \rightarrow YH \rightarrow bbbb)$ from the scans in Ref. [200], which are based on version 5.6.2 of the program NMSSMTOOLS [200,201]. These numbers are divided by the corresponding branching fraction $B(H \rightarrow bbb)$ to obtain an approximation for the maximally allowed values

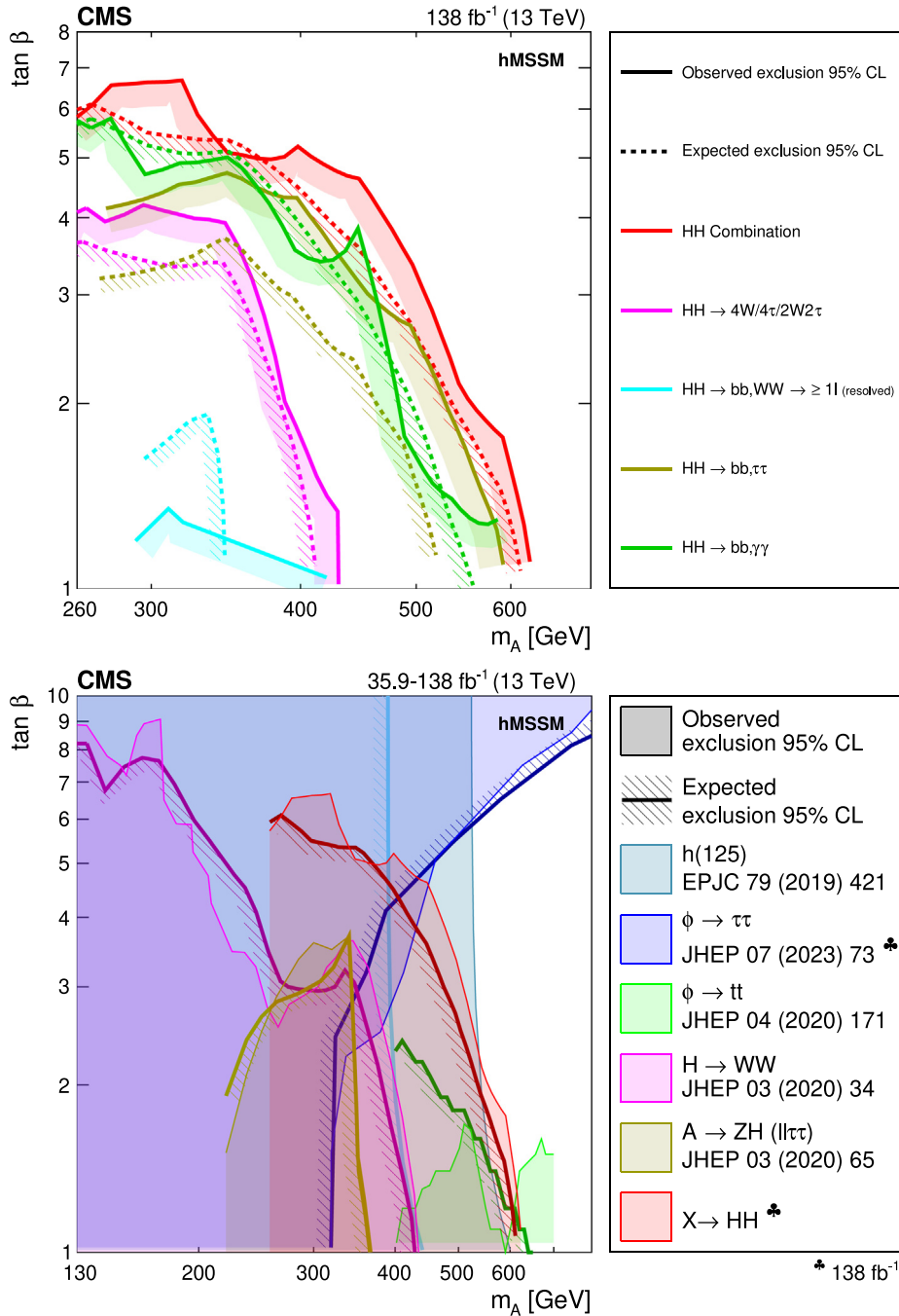


Fig. 31. Interpretation of the results from the searches for the $X \rightarrow HH$ decay, in the hMSSM model. In the upper part of the figure, the observed and expected exclusion contours at 95% CL, in the $(m_A, \tan \beta)$ plane, from the individual HH analyses presented in this report and their combined likelihood analysis are shown. In the lower part of the figure, a comparison of the region excluded by the combined likelihood analysis shown in the upper part of the figure with selected results from other searches for the production of heavy scalar bosons in the hMSSM, in $\tau\tau$ [71], $t\bar{t}$ [176] and WW [177] decays is shown. Also shown, are the results from one representative search for $A \rightarrow ZH$ [114] and indirect constraints obtained from measurements of the coupling strength of the observed H boson [50]. Results not marked by a club symbol are based on an integrated luminosity of 35.9 fb⁻¹.

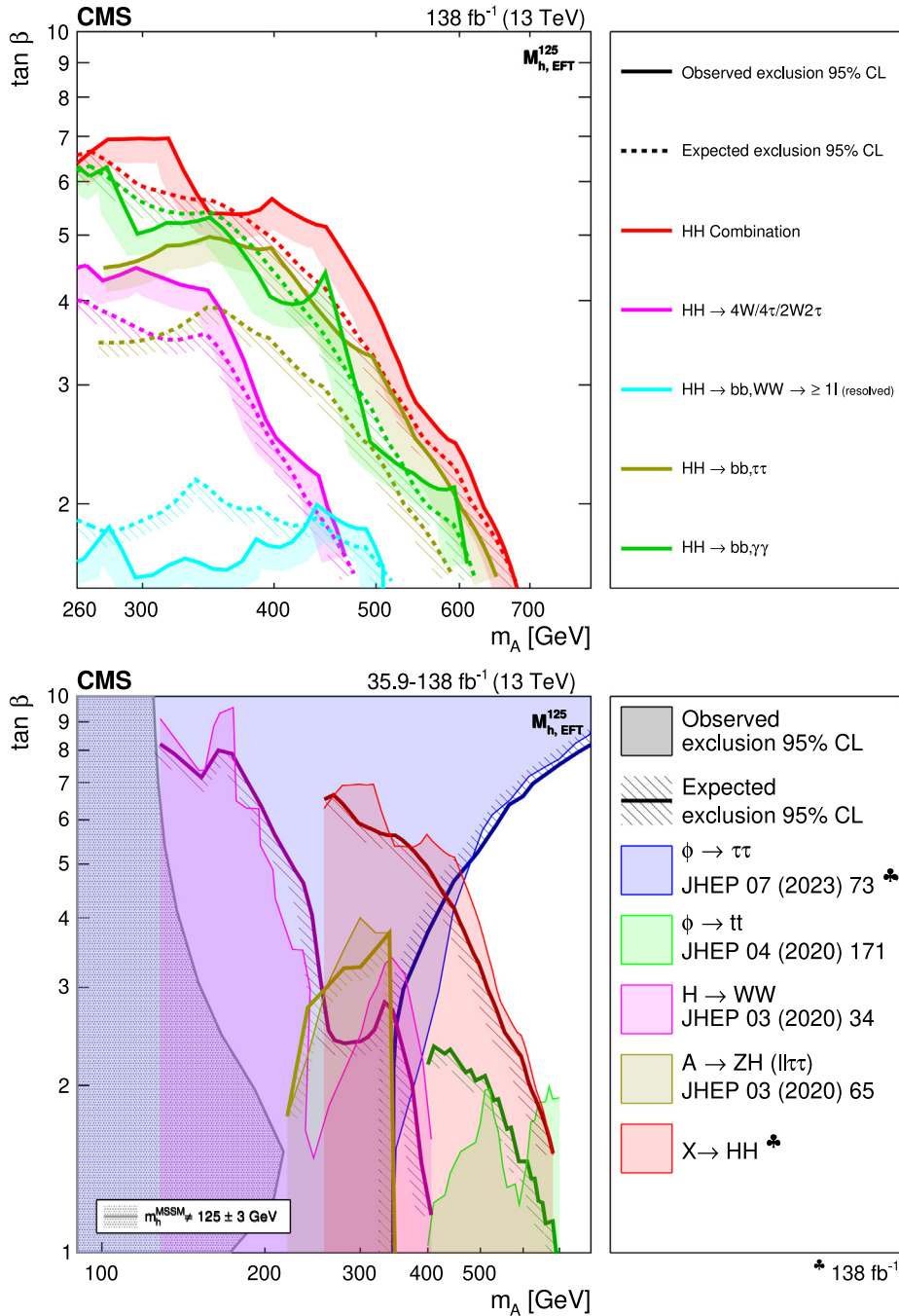


Fig. 32. Interpretation of the results from the searches for the $X \rightarrow HH$ decay, in the $M_{h,\text{EFT}}^{125}$ benchmark scenario. In the upper part of the figure, the observed and expected exclusion contours at 95% CL are shown, in the $(m_A, \tan \beta)$ plane from the individual HH analyses presented in this report and their combined likelihood analysis. In the lower part of the figure, a comparison of the region excluded by the combined likelihood analysis shown in the upper part of the figure with selected results from other searches for the production of heavy scalar bosons in the $M_{h,\text{EFT}}^{125}$ scenario, in $\tau\tau$ [71], $t\bar{t}$ [176] and WW [177] decays is shown. Also shown, are the results from one representative search for $A \rightarrow ZH$ [114]. The parameter region in which the mass of the lightest MSSM Higgs boson does not coincide with 125 GeV within a 3 GeV margin is indicated by the dark hatched area. Results not marked by a club symbol are based on an integrated luminosity of 35.9 fb⁻¹.

of $\sigma(X \rightarrow Y(bb)H)$. Uncertainties arising from the precision of the measured branching fractions of the H boson are neglected.

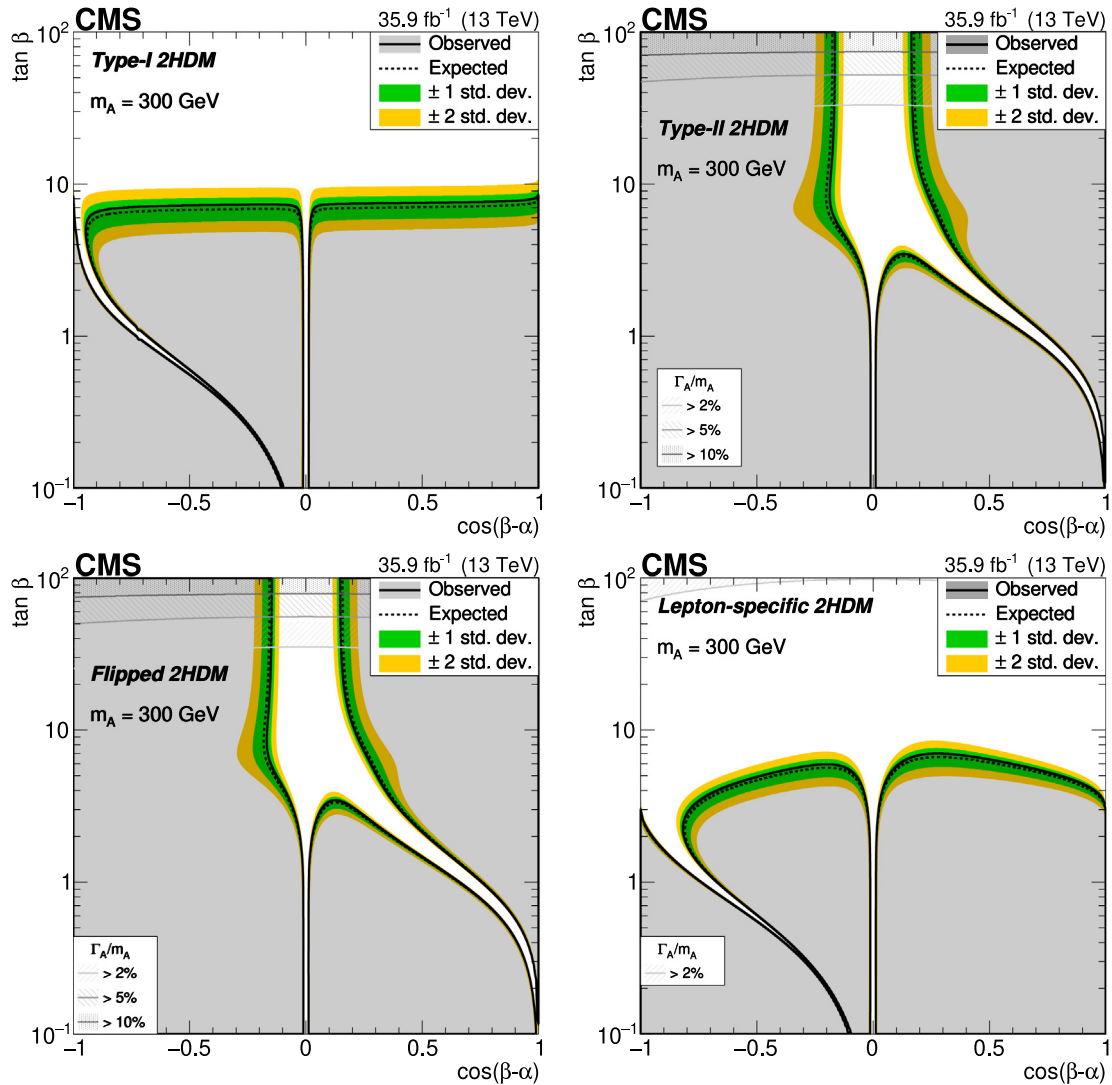


Fig. 33. Interpretation of the results of the $A \rightarrow ZH(bb)$ analysis [115], in the (upper left) Type I, (upper right) Type II, (lower left) flipped, and (lower right) lepton-specific 2HDM models. In each case observed and expected exclusion contours at 95% CL, in the plane defined by $\cos(\beta - \alpha)$ and $\tan \beta$, are shown. The excluded regions are represented by the shaded gray areas. The 68 and 95% central intervals of the expected exclusion contours in the absence of a signal are indicated by the green and yellow bands. Contours are derived from the projection on the corresponding 2HDM parameter space for $m_A = 300$ GeV. The regions of parameter space where the natural width of the A boson Γ_A is comparable to or larger than the experimental resolution and thus the narrow-width approximation is not valid are represented by hatched gray areas.
Source: Figure from Ref. [115].

Fig. 34 shows the observed and expected upper limits at 95% CL on σB of the combined $X \rightarrow YH$ searches (upper panel), together with the maximally allowed model values (lower panel). While the experimental limits appear to touch the model predictions in several places, there is not much additional exclusion. This is expected because many relevant measurements, including the CMS searches for $X \rightarrow Y(bb)H(\tau\tau)$ and $X \rightarrow Y(bb)H(bb)$ presented in this article, are already accounted for in this version of NMSSMTOOLS, which lowers the maximally allowed NMSSM cross sections correspondingly. Therefore, no new constraints are expected from these channels compared to those in the original publications [123,124,167].

Comparisons of the measured limits for $X \rightarrow Y(bb)H(bb)$ in merged final states with the maximally allowed TRSM values can be found in Ref. [124]. The measurement excludes part of the allowed TRSM parameter space in a wedge-shaped region between $m_X \approx 1000\text{--}1300$ GeV and around $m_Y \approx 125$ GeV. An interpretation of the $X \rightarrow Y(bb)H(\tau\tau)$ measurements within the TRSM benchmark planes can be found in Ref. [202].

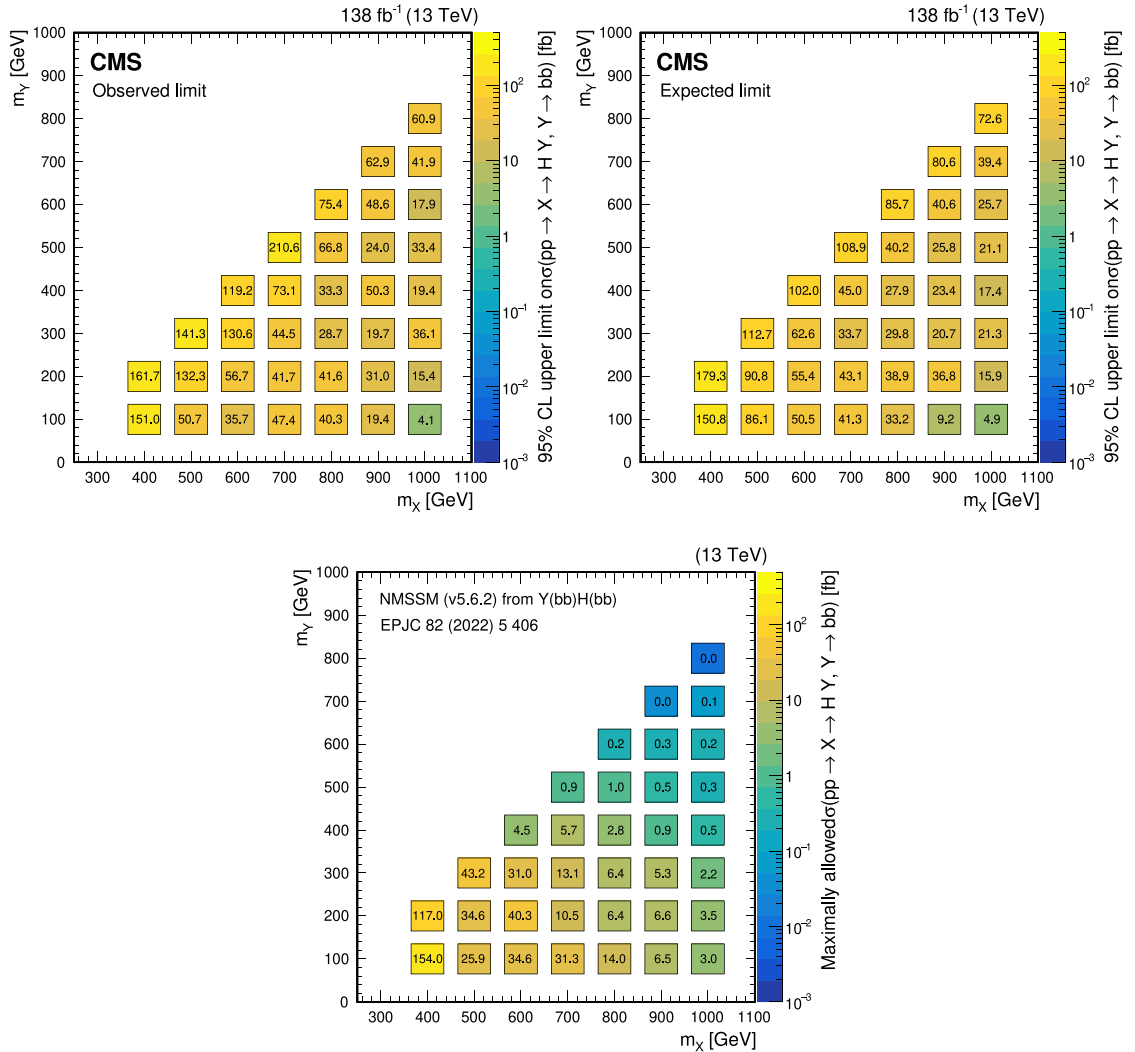


Fig. 34. (Upper left) Observed and (upper right) expected upper limits at 95% CL, on the product of the cross section σ for the production of a resonance X via gluon–gluon fusion and the branching fraction $\mathcal{B}$ for the $X \rightarrow Y(bb)H$ decay, as obtained from a combined likelihood analysis of the individual analyses presented in this report and shown in Fig. 29. The results are presented in a plane defined by m_X and m_Y . The limits have been evaluated in discrete steps corresponding to the centers of the boxes. The numbers in the boxes are given in fb. The corresponding maximally allowed values of $\sigma \mathcal{B}$ in the NMSSM are also shown for comparison (lower plot), as adapted from Ref. [200].

4.1.4. The real-singlet extension

The additional scalar boson X predicted in the real-singlet model has the same relative couplings to SM particles as the SM H boson. Most searches for $X \rightarrow HH$ assume that the width of the X boson is much smaller than the reconstructed mass resolution, such that the NWA holds. We use the real-singlet model for a dedicated study of nonnegligible width and interference effects and present the results in Section 4.4. The corresponding model interpretations for the $X \rightarrow HH$ combination in the real-singlet model are presented there.

4.2. Warped extra dimensions

The measured upper limits on resonant HH production can also be interpreted in the context of WED models (as discussed in Section 1.2.2). Fig. 35 (left) shows the lower limit on the bulk radion ultraviolet cutoff parameter Λ_R as a function of the radion mass m_R for all presented HH analyses and their combination. The individual analyses with the best sensitivity are from the searches of $X \rightarrow H(bb)H(\gamma\gamma)$ for $m_X \lesssim 1 \text{ TeV}$, and $X \rightarrow H(bb)H(bb)$ for $m_X \gtrsim 1 \text{ TeV}$. In the regions $0.5 \lesssim m_X \lesssim 1 \text{ TeV}$ and $1 \lesssim m_X \lesssim 1.5 \text{ TeV}$, the $X \rightarrow H(bb)H(\tau\tau)$ and $X \rightarrow H(bb)H(WW)$ analyses contribute significantly to the combination. In the mass region below 1 TeV, the expected lower limit from the combination ranges from 8 to 10 TeV, with observed limits reaching up to 12 TeV. The strongest exclusion limits of about 12 TeV expected and 16 TeV

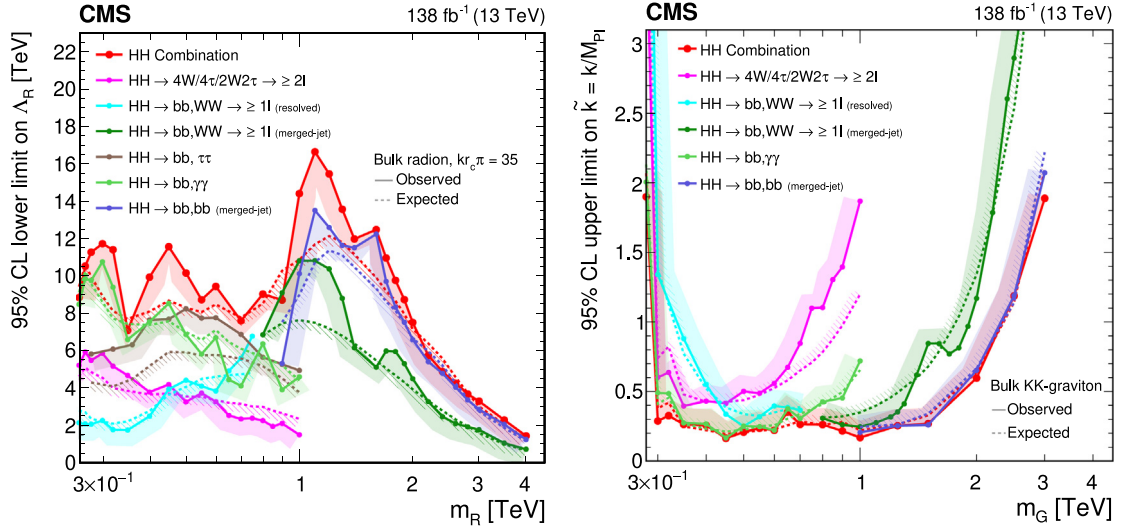


Fig. 35. Observed and expected limits, at 95% CL, on the parameters of models with warped extra dimensions, as obtained from the $X \rightarrow HH$ analyses presented in this report and their combined likelihood analysis. Shown are lower limits (left) on the bulk radion ultraviolet cutoff parameter Λ_R , as a function of the radion mass m_R , and upper limits (right) on the parameter $\tilde{k}$ of the spin-2 bulk graviton G , as a function of m_G . Excluded areas are indicated by the direction of the hatching along the exclusion contours.

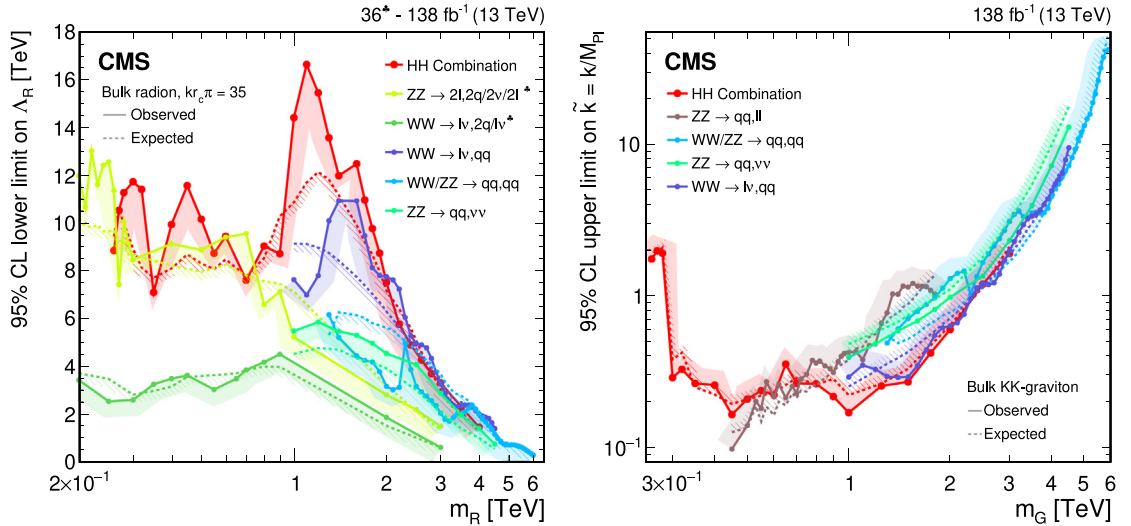


Fig. 36. Observed and expected limits, at 95% CL, on the parameters of models with warped extra dimensions, as obtained from the combined likelihood analysis of the individual $X \rightarrow HH$ analyses presented in this report and shown in Fig. 35. The exclusion contours obtained from the combined likelihood analysis are compared to similar exclusions obtained from individual searches in the decays $Z(\ell\ell)Z(qq/\nu\nu/\ell\ell)$ [203], $W(\ell\nu)W(\ell\nu/qq)$ [177], $W(\ell\nu)W(qq)$ [116], $V(qq)V(qq)$ [118], and $Z(\nu\nu)Z(qq)$ [204], in case of the radion interpretation, and from individual searches in the decays $Z(qq)Z(\ell\ell)$ [205], $V(qq)V(qq)$ [118], $Z(\nu\nu)Z(qq)$ [204], and $W(\ell\nu)W(qq)$ [116], in the case of the graviton interpretation. Excluded areas are indicated by the direction of the hatching along the exclusion contours.

observed are reached near $m_R = 1.2$ TeV. The combination improves the sensitivity over the full mass range probed. Fig. 35 (right) shows the corresponding upper limits of the parameter $\tilde{k}$ of the spin-2 bulk graviton G . The combination excludes values of $\tilde{k}$ larger than about 0.3 at 95% CL for the large mass range $0.3 < m_G < 1.5$ TeV.

We compare the limits obtained from the HH combination with limits from searches for $X \rightarrow ZZ$ [203–205] and $X \rightarrow WW$ [116,118,177] in Fig. 36. The HH combination is found to be very competitive, and it places stronger constraints on the WED models in some mass regions. For radions, shown on the left, the HH combination shows about the same

sensitivity as the $Z(\ell\ell)Z(qq/vv/\ell\ell)$ final state [203] for $m_R \lesssim 1$ TeV. The HH combination has the best sensitivity in the region $1 < m_R < 2$ TeV, and for higher masses it has a comparable sensitivity as searches in final states from hadronic and semileptonic WW decays [116,118]. For gravitons, the HH combination places the best upper limits on $\tilde{k}$ for $250 < m_G < 450$ GeV and $700 < m_G < 2000$ GeV.

4.3. Heavy vector triplet models

The three searches for $X \rightarrow V\text{H}$ introduced in Section 2.3 probe for a new vector boson V' (either W' or Z') in final states with 0, 1, and 2 leptons. The resulting upper limits on $\sigma\mathcal{B}$ presented in Section 3.1 are now interpreted in the HVT model. The theoretical cross sections are calculated at NLO in QCD with the models detailed in Ref. [28,30]. The theory predictions with the corresponding $\mathcal{B}(W' \rightarrow \text{WH})$ and $\mathcal{B}(Z' \rightarrow \text{ZH})$ in the models A, B, and C, where couplings of V' to bosons are enhanced, have been shown in Figs. 25 and 26. The upper limits on $\sigma\mathcal{B}$ are translated into lower limits on the vector boson masses. The W' and Z' masses are excluded up to 4.1 and 3.9 TeV, respectively, in model B interpretations.

Fig. 37 shows the upper limits on the DY production cross section of V' in the WH and ZH channels, compared to those obtained from VV [116,118,204,205] and fermion pair production channels [206–209] assuming branching fractions of the HVT models A and B. The corresponding theory predictions are overlaid. The all-jets channels are sensitive to both W' and Z' production and are thus interpreted in combined V' production. While in model A, searches for fermion pair production dominate the sensitivity, in model B, where couplings of V' to bosons are large, the VV and $V\text{H}$ searches are most sensitive.

For four resonance mass hypotheses, the cross section exclusion limits from DY production are translated into two-dimensional upper limits on the coupling parameters for fermions and bosons of the HVT model. Fig. 38 shows only the constraints from $V\text{H}$ production, while Fig. 39 includes also VV and fermion pair production channels for comparison. The constraints from $V\text{H}$ searches are most stringent, apart from the region with small boson couplings, where the complementary searches with fermion final states provide stronger constraints.

In model C, where the V' is produced exclusively via VBF, the data set is not sufficient to exclude couplings below $g_H = 3$ in any range of $m_{V'}$. The corresponding results are shown in Fig. 40.

4.4. Effects of finite width and interference in resonant HH production

Most of the HH and $V\text{H}$ analyses performed by the CMS Collaboration make use of the NWA, where the width of BSM particles is neglected and no interference with nonresonant Higgs boson pair production occurs. However, in general, interference effects can strongly impact the HH cross section [210,211]. The interference can be either constructive or destructive, enhancing or decreasing the HH production rate [212,213], and have a nonnegligible effect in BSM exclusion limits. We study the impact of the interference between nonresonant and resonant production in the inclusive $p\bar{p} \rightarrow \text{HH}$ production, which can receive contributions from resonant $X \rightarrow \text{HH}$ production. This work provides the first measure of interference effects, identifying phase space regions where the NWA is valid. We use as a benchmark a simplified scenario based on the real-singlet model introduced in Section 1.2.1, as it includes the smallest number of additional free parameters [214]. We note that interference effects are model dependent and may be different for other BSM scenarios.

For this specific study, we modify the singlet model by not imposing the $\mathbb{Z}_2$ symmetry. The $\mathbb{Z}_2$ symmetry precludes terms of odd powers of the additional singlet scalar field, which are known to be responsible for a stronger first-order EW phase transition [215,216]. Exploiting EW symmetry breaking on the singlet model scalar potential, we are left with two mixing states. After mass diagonalization, the identification of one of the states with the SM H boson reduces the number of uncorrelated parameters further from seven to five. The other state is associated with a new particle X. The couplings of the H boson and the X particle are given by

$$g_{Hkk} = g_{Hkk}^{\text{SM}} \cos \alpha \quad \text{and} \quad g_{Xkk} = -g_{Hkk}^{\text{SM}} \sin \alpha, \quad (11)$$

where α is the mixing angle, and k represents any SM particle. For $m_X > 2m_H$ the width of the X resonance can be calculated as

$$\Gamma_X = \sin^2 \alpha \Gamma^{\text{SM}}(m_X) + \frac{\lambda_{\text{HHX}}^2 \sqrt{1 - 4m_H^2/m_X^2}}{8\pi m_X}, \quad (12)$$

where λ_{HHX} is the trilinear coupling between two H bosons and the new particle X, and $\Gamma^{\text{SM}}(m_X)$ represents the width of a scalar boson of mass m_X with the same decay modes as the SM H boson. The latter has been calculated by interpolating the values published in Ref. [35]. In addition to α , m_X and λ_{HHX} , this singlet model also depends on the trilinear H coupling modifier $k_\lambda \equiv \lambda_{\text{HHH}}/\lambda_{\text{HHH}}^{\text{SM}}$, and on an additional scalar coupling.

We use the MADGRAPH5_amc@NLO generator version 2.9.7 [217], to simulate inclusive HH events in the singlet model at LO. A custom universal FEYNRULES [218] output (UFO) model based on Ref. [215] adds a heavy scalar boson to the SM with couplings to SM particles as defined in Eq. (11). The samples are created according to the following parameter grid with $k_\lambda = 1$:

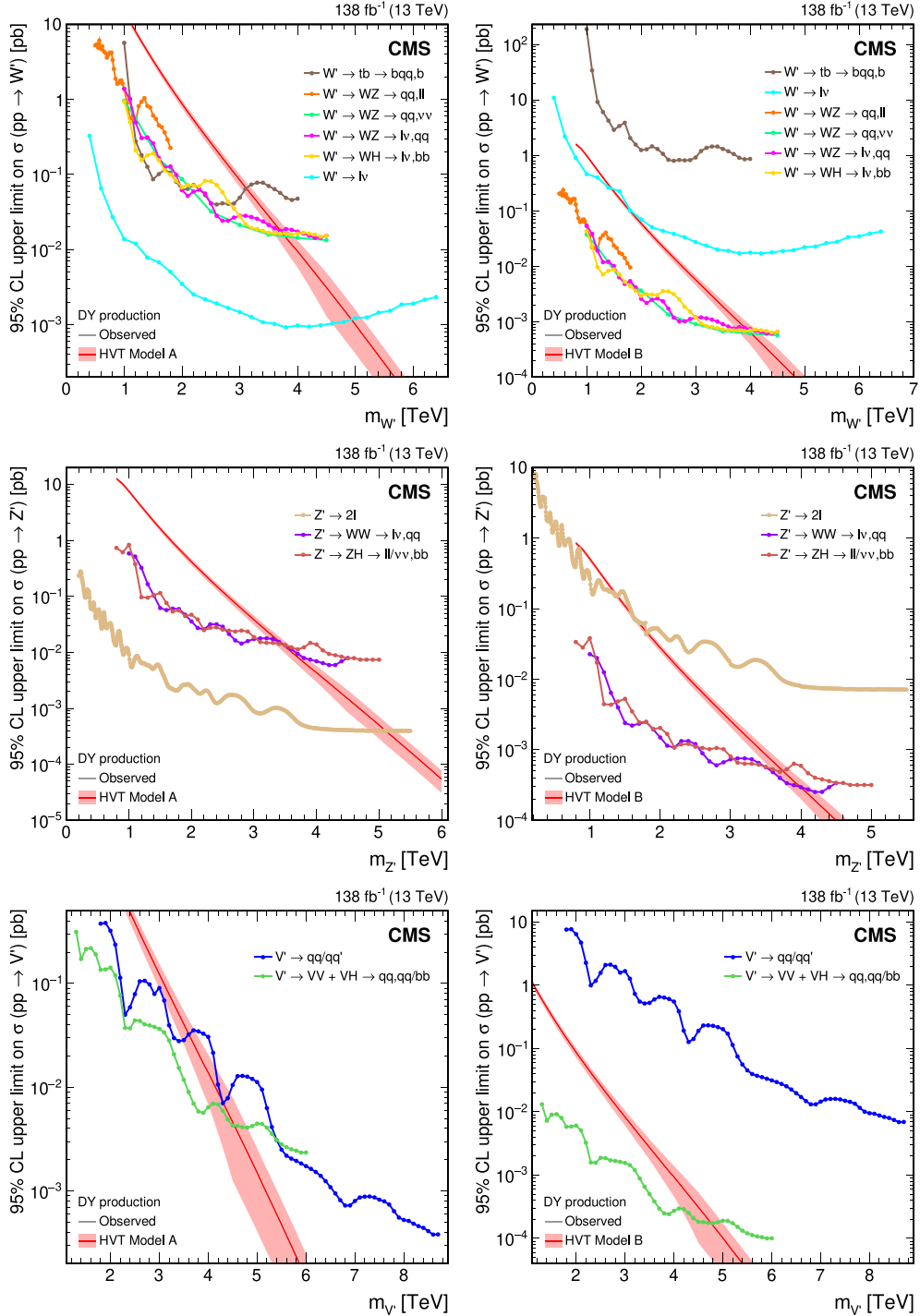


Fig. 37. Observed upper limits, at 95% CL, on the Drell-Yan production cross section of (upper) W' , (middle) Z' , and (lower) combined V' spin-1 resonances assuming branching fractions of the heavy vector triplet models (left) A and (right) B. The theory predictions from these models are also shown. Results from the VH [116–118] and VV channels [116,118,204,205], as well as results from dijet [209], $t\bar{b}$ [207], $\ell\bar{\ell}$ [206], and $\ell\nu$ [208] final states are shown for comparison.

- m_X [GeV]: 280, 300, 400, 500, 600, 700, 800, 900, 1000,
- $\sin \alpha$: 0.00, 0.10, 0.20, 0.30, 0.40, 0.50, 0.60, 0.70, 0.80, 0.90, 0.95, 0.99,
- λ_{HHX} [GeV]: -600, -500, -400, -300, -200, -100, -50, 0, 50, 100, 200, 300, 400, 500, 600,

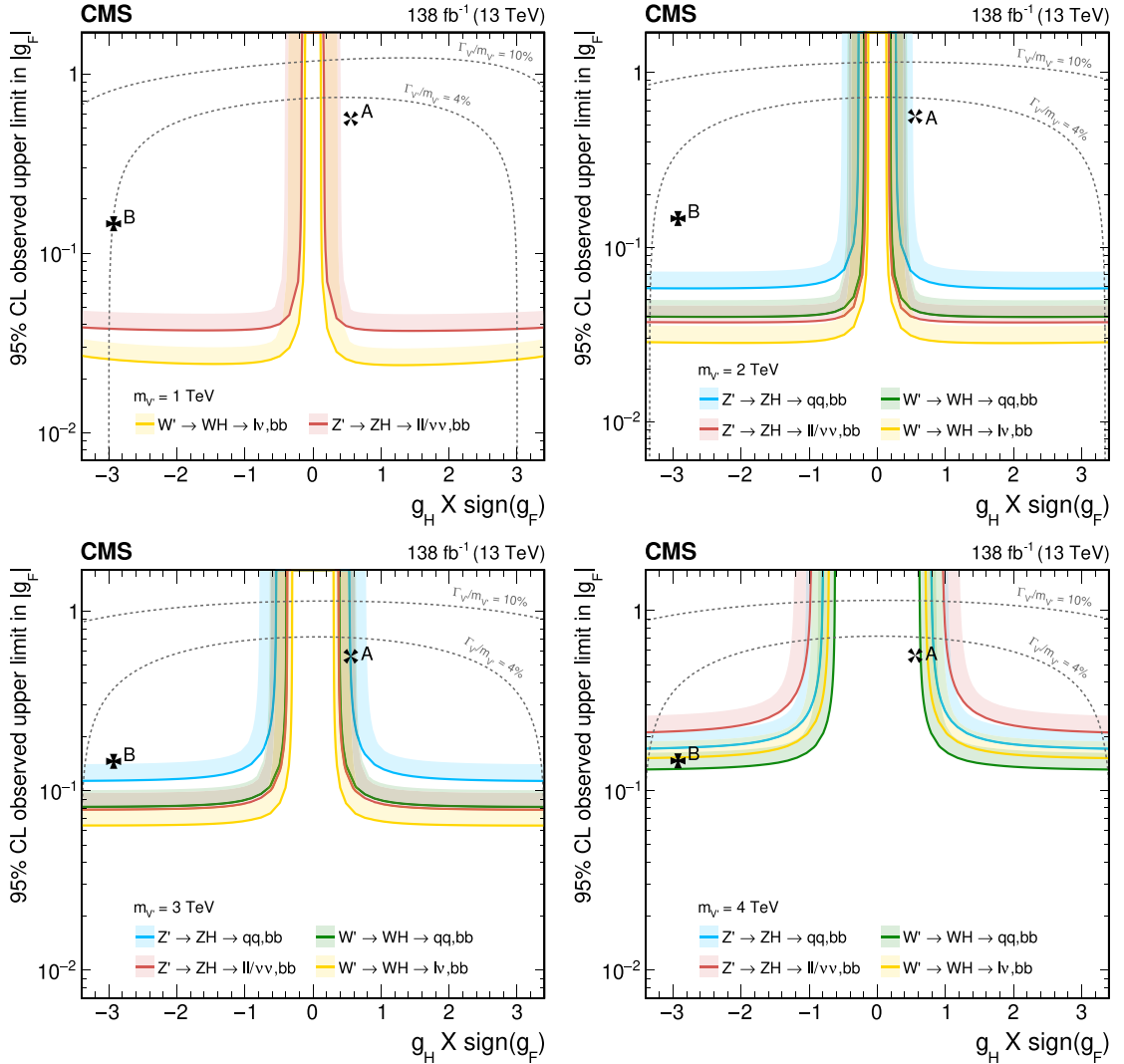


Fig. 38. Observed upper limits, at 95% CL, on the v' couplings g_F and g_H within the HVT model for v' masses of (upper left) 1, (upper right) 2, (lower left) 3, and (lower right) 4 TeV, from DY production, derived from VH channels of Refs. [116–118] discussed in this report. Excluded areas are indicated by the direction of the shading along the exclusion contours. The dotted lines denote coupling values above which the relative width of the resonance, $\Gamma_{v'}/m_{v'}$, exceeds 4 and 10%. These dotted lines are to be compared with the experimental resolution to identify where the narrow width approximation no longer applies. The experimental resolution in final states with jets decreases as a function of resonance mass from 7% at 1 TeV to as low as 4% at 4 TeV. The couplings corresponding to the heavy vector triplet models A and B are indicated by cross markers.

where m_X is chosen based on the signal samples used in the HH combination presented in Section 3.2. The resonant, nonresonant, and total cross sections for each combination of grid points are generated separately. We perform a parameter scan in the parameters m_X , $\sin \alpha$, and λ_{HHX} of the interference ratio defined as

$$R_{\text{int}} = \frac{\sigma_{\text{full}} - (\sigma_{\text{resonant-only}} + \sigma_{\text{nonresonant}})}{\sigma_{\text{resonant-only}} + \sigma_{\text{nonresonant}}}. \quad (13)$$

We obtain the nonresonant cross section by setting the coupling g_{Xkk} defined in Eq. (11) to zero, and the resonant-only cross section by setting the coupling g_{Hkk} to zero. The variable R_{int} provides information concerning the relative strength of the interference between the SM and BSM processes. The larger the deviation of R_{int} from zero, the stronger the modification of the cross section due to the interference. We consider the gluon fusion production mode due to its dominant contribution to the cross section. The UFO model and procedure are validated using the program HPAIR [213,219] where the results varying k_λ in the nonresonant scenario are found to agree with the NLO predictions of Ref. [4].

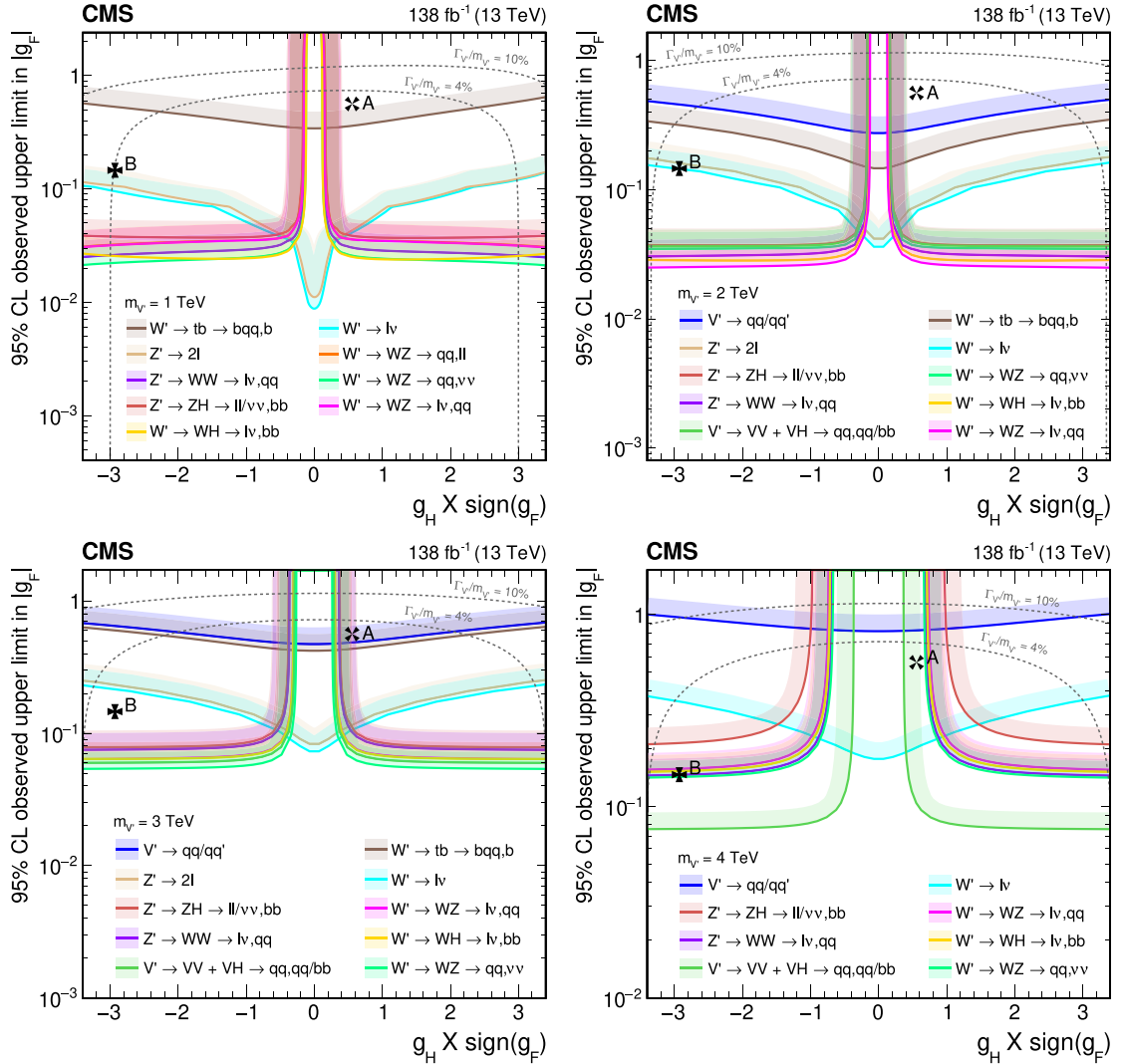


Fig. 39. Observed upper limits, at 95% CL, on the v' couplings g_F and g_H within the HVT model for v' masses of (upper left) 1, (upper right) 2, (lower left) 3, and (lower right) 4 TeV, from DY production, derived from VH channels of Refs. [116–118] discussed in this report and the VV channels of Refs. [116,118,204,205], as well as results from dijet [209], $t\bar{t}$ [207], $\ell\bar{\ell}$ [206] and $\ell\nu$ [208] final states. Excluded areas are indicated by the direction of the shading along the exclusion contours. The dotted lines denote coupling values above which the relative width of the resonance, $\Gamma_{v'}/m_{v'}$, exceeds 4 and 10%. These dotted lines are to be compared with the experimental resolution to identify where the narrow width approximation no longer applies. The experimental resolution in final states with jets decreases as a function of resonance mass from 7% at 1 TeV to as low as 4% at 4 TeV. The couplings corresponding to the heavy vector triplet models A and B are indicated by cross markers.

Exact conclusions from this study naturally depend on the allowed size of R_{int} and the relative width Γ_X/m_X . In the following, we choose as benchmark points $R_{\text{int}} = \pm 10$ and $\pm 20\%$, and $\Gamma_X/m_X = 5, 10$ and 20% . The corresponding contours and exclusion limits derived from the HH combination in the singlet model are shown in Fig. 41.

Contours of positive (green) and negative (blue) interference ratios are shown as solid (for $R_{\text{int}} = \pm 10\%$) and dashed (for $R_{\text{int}} = \pm 20\%$) lines. They are found to swap positions at $m_X = 400$ GeV, likely because of the peak of the nonresonant HH distribution. The dotted lines denote coupling value combinations beyond which the relative width of the resonance, Γ_X/m_X , exceeds 5 and 10%, respectively, implying the narrow width approximation not being accurate anymore. For a given m_X , the quadratic dependence of Γ_X on both $\sin\alpha$ and λ_{HHX} according to Eq. (12) leads to elliptical isolines of constant Γ_X/m_X . The experimental bound from the HH combination discussed in Section 3.2 is obtained from the 95% CL upper limit on $\sigma(\text{pp} \rightarrow X)\mathcal{B}(X \rightarrow \text{HH})$, with the X production cross section growing with increasing $\sin\alpha$, and $\mathcal{B}(X \rightarrow \text{HH})$ growing with increasing λ_{HHX} . We note that large values of $\sin\alpha$, corresponding to regions where the H boson is less SM-like, also tend to be excluded by precision measurements of the H boson [215].

For most of the studied mass points, sizeable interference ratios occur only in parameter regions to which the current measurements are either not yet sensitive, or at too large values of $\sin\alpha$. In particular, for large resonance masses, where

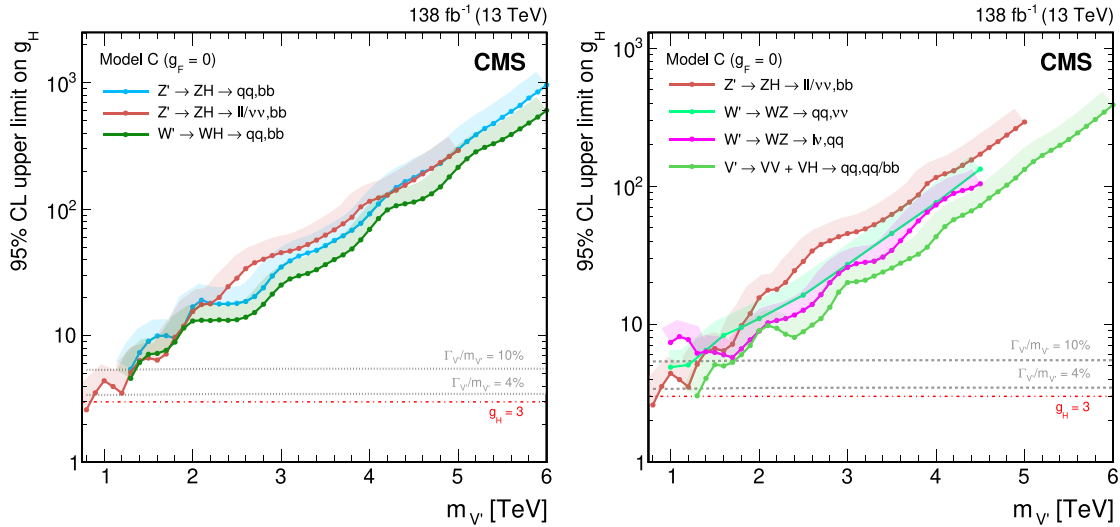


Fig. 40. Observed upper limits, at 95% CL, on the coupling g_H within the heavy vector triplet model, as a function of the V' mass. The limits are shown for the vector boson fusion production mode in the context of model C, in which $g_F = 0$. The results are shown (left) for the WH and ZH analyses of Refs. [116–118], individually, and for a combination with the WZ final states of Refs. [116,118,204] (right), where the WH and ZH results from all-hadronic final states have been combined with the corresponding VV channels. The dotted lines denote coupling values above which the relative width of the resonance, $\Gamma_{V'}/m_{V'}$, exceeds 4 and 10%. These dotted lines are to be compared with the experimental resolution to identify where the narrow width approximation no longer applies. The experimental resolution in final states with jets decreases as a function of resonance mass from 7% at 1 TeV to as low as 4% at 4 TeV.

interference effects tend to grow, they are far below the current sensitivity and might only play a role when the full data set from HL-LHC becomes available [220], as discussed in Section 5.3. However, there are regions at intermediate m_X where the interpretation of NWA-based limits for HH derived in the singlet model would solicit some care already in the Run 2 combination (e.g., $m_X = 500$ GeV, $\sin \alpha = 0.2$ and $\lambda_{HHX} = 400$ GeV). It is important to note that such interpretations are generally model dependent.

The differential cross sections as a function of m_{HH} are shown for representative points from the $(\sin \alpha, \lambda_{HHX})$ parameter space in Fig. 42 for $m_X = 280$ GeV, and in Fig. 43 for $m_X = 500$ GeV. The parameters are chosen such that $\Gamma_X/m_X = 5\%$, which is well below the detector resolution for resonance masses below 1 TeV, and $R_{\text{int}} = \pm 10\%$ or $\pm 20\%$, so that sizeable interference effects are expected. The lineshapes show points in parameter space where the R_{int} contours intersect with lines of constant $\Gamma_X/m_X = 5\%$ in Fig. 41.

The mass points of $m_X = 280$ and 500 GeV have been chosen because these values are on the left- and right-hand side of the peak in the m_{HH} distribution for nonresonant SM HH production. The total signal contribution of the resonance, including the interference effect, can be assessed as the difference between σ^{full} (red graph) and $\sigma^{\text{nonresonant}}$ (green graph). In the $m_X = 280$ GeV case, the resonance peak is at a mass where the non-resonant background is low in comparison; hence the central part of the peak is not much affected in its shape, and a classical bump hunt should still work. However, the total cross section is modified as specified by R_{int} . For a precision measurement, which is not yet in our reach, a distortion of the signal shape, either a peak-dip or peak-tail pattern depending on the relative sign of the amplitudes, would have to be taken into account. At $m_X = 500$ GeV, in the top panels of Fig. 43, the signal shape is found to be strongly modified by the interference effect. However, this occurs in a parameter region still relatively far away from the regions currently probed, as can be seen in Fig. 41. Although the expected interference effects clearly depend on the underlying model, they can be expected to be of mounting importance in the future as the LHC data set increases.

5. Discovery potential at the HL-LHC

The HL-LHC [221] is planned to start in 2029 and aims to deliver a pp collision data set corresponding to about 3000 fb^{-1} of integrated luminosity in the baseline scenario, and up to 4000 fb^{-1} in the ultimate scenario, at an unprecedented center-of-mass energy of 14 TeV. The CMS detector will be upgraded to cope with the large size of 140 (200) PU events on average for the baseline (ultimate) scenario. The upgraded detector will also meet the challenges from the adverse effects due to the radiation dose to which the detector components are exposed, which is one order of magnitude higher than at the current LHC. Furthermore, major improvements of the software for the online and offline event reconstruction are under development to fully exploit the potential of the upgraded detector. Searches for scalars X decaying to HH or YH are among the most relevant targets of research at the HL-LHC, and thus projection studies

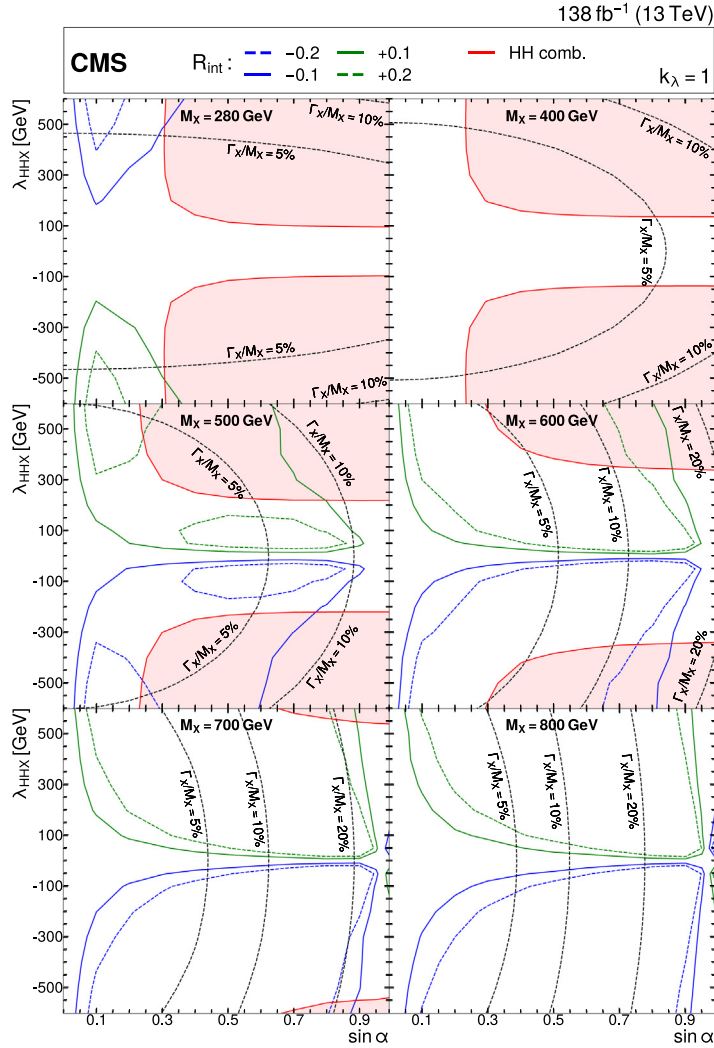


Fig. 41. Contours of the variable R_{int} as defined in Eq. (13) and discussed in the text, in the $(\sin \alpha, \lambda_{\text{HHX}})$ plane for the singlet model with $k_\lambda = 1$ and different resonance masses m_X between (upper left) 280 and (lower right) 800 GeV. Contours are shown for R_{int} values of (dashed blue) -0.2 , (solid blue) -0.1 , (solid green) $+0.1$, and (dashed green) $+0.2$. Regions that are excluded, at 95% CL, from the combined likelihood analysis of the HH analyses presented in this report are indicated by red filled areas. Dashed black lines indicate constant relative widths of 5, 10, and 20%.

are very important to motivate the ongoing hardware and software upgrades. Meanwhile, such studies can provide an estimate of the sensitivity to the relevant BSM theories which can be achieved with the HL-LHC data.

This section describes the perspectives for the searches for X boson resonances decaying to HH or YH at the HL-LHC, in the most sensitive decay channels $b\bar{b}\gamma\gamma$, $b\bar{b}\tau\tau$, and $b\bar{b}b\bar{b}$, in the baseline scenario of the HL-LHC. Using the combined likelihood method, individual channels are statistically combined to exploit their complementarity in sensitivity to different regions in parameter space of the tested BSM theories. The expected upper limits at 95% CL on the cross sections of the BSM processes of interest are provided as functions of the masses of the BSM scalars. The expected exclusion in the parameters of the relevant BSM theories is estimated, as well as the expected discovery significance for benchmark BSM signals.

5.1. Methodology for estimation of the discovery potential

The projection studies are based on the resonant HH and YH searches in the most sensitive channels from the CMS Run 2 data set corresponding to an integrated luminosity of 138 fb^{-1} , as summarized in Table 2. Descriptions of the Run 2 HH and YH searches are given in the sections indicated in the table.

Using the same approach as studies in Ref. [220], searches using the Run 2 data set are projected to an integrated luminosity of 3000 fb^{-1} . Where appropriate, the signal cross sections have been scaled to the center-of-mass energy

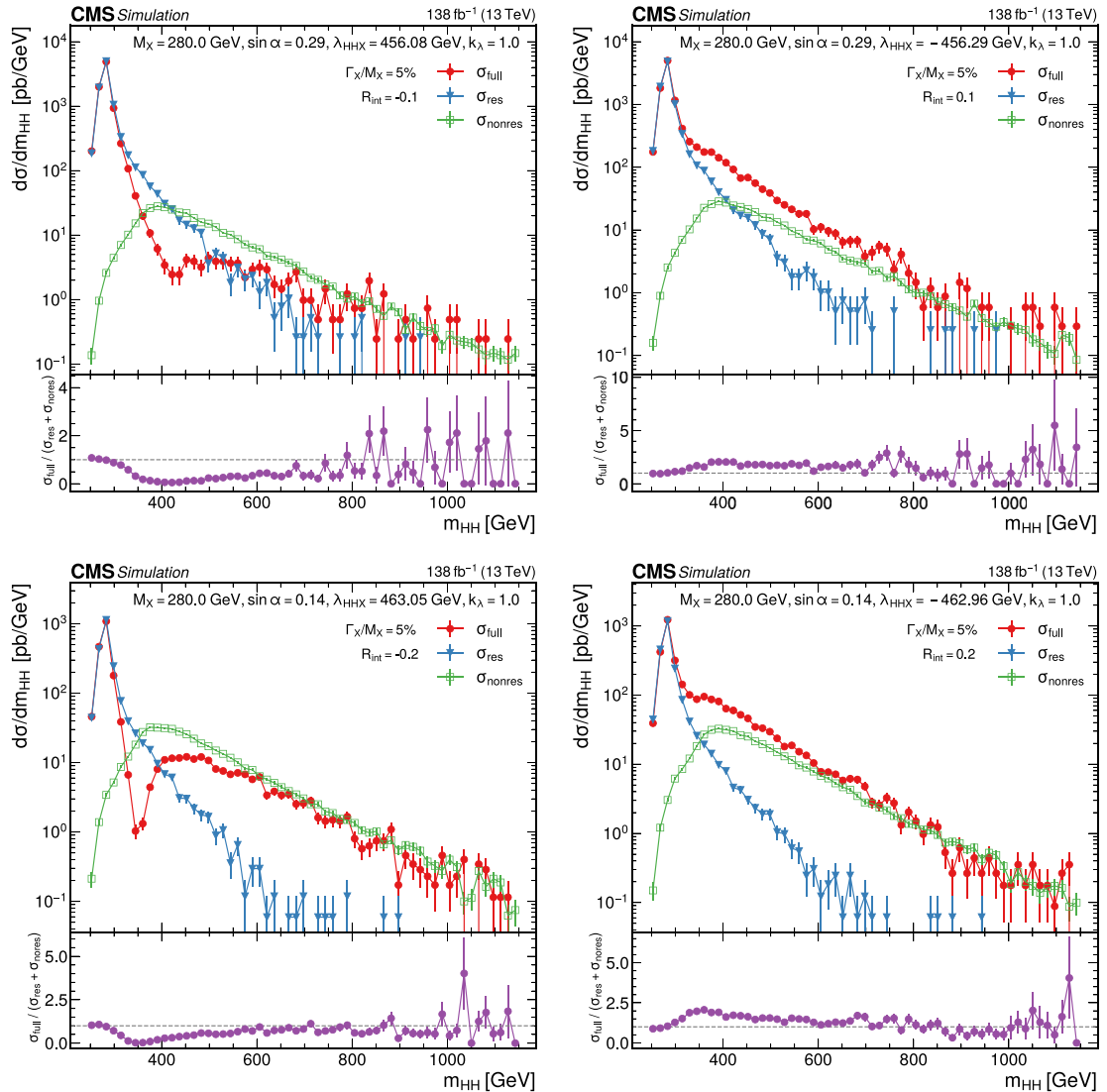


Fig. 42. Expected differential cross sections for HH production, as a function of m_{HH} , for the real-singlet model with $m_X = 280\text{ GeV}$ and $\Gamma_X/m_X = 5\%$. The parameters $\sin\alpha$ and λ_{HHX} have been chosen such that (upper row) $R_{\text{int}} = \pm 10\%$ and (lower row) $R_{\text{int}} = \pm 20\%$, for (left) negative and (right) positive values of R_{int} . The total cross section for HH production σ^{full} (red line, labeled as σ_{full}) is compared to the cross sections $\sigma^{\text{resonant-only}}$ (blue line, labeled as σ_{res}) and $\sigma^{\text{nonresonant}}$ (green line, labeled as σ_{nonres}) considering only resonant and nonresonant production. In the lower panels the ratio of σ^{full} over $(\sigma^{\text{resonant-only}} + \sigma^{\text{nonresonant}})$ is shown.

Table 2

Searches for resonant HH and Υ H production considered for the projection study.

Final state	Reference	Section
$b\bar{b}\tau\tau$	[122]	2.5.1
$b\bar{b}\gamma\gamma$	[123]	2.5.2
$b\bar{b}b\bar{b}$ (merged-jet)	[124]	2.5.3

of 14 TeV [35]. As the upgraded CMS detector will ensure a performance comparable to Run 2, the efficiency in the reconstruction and identification of photons, leptons, jets and b jets, as well as the resolution in their energy and momentum measurements are assumed to be unchanged. The experimental sensitivity expected at the HL-LHC is derived using the following three systematic uncertainty scenarios.

S1: All the systematic uncertainties are assumed to remain the same as in Run 2. This is an over-conservative scenario because the CMS detector upgrade, the progress in the reconstruction techniques, and the very

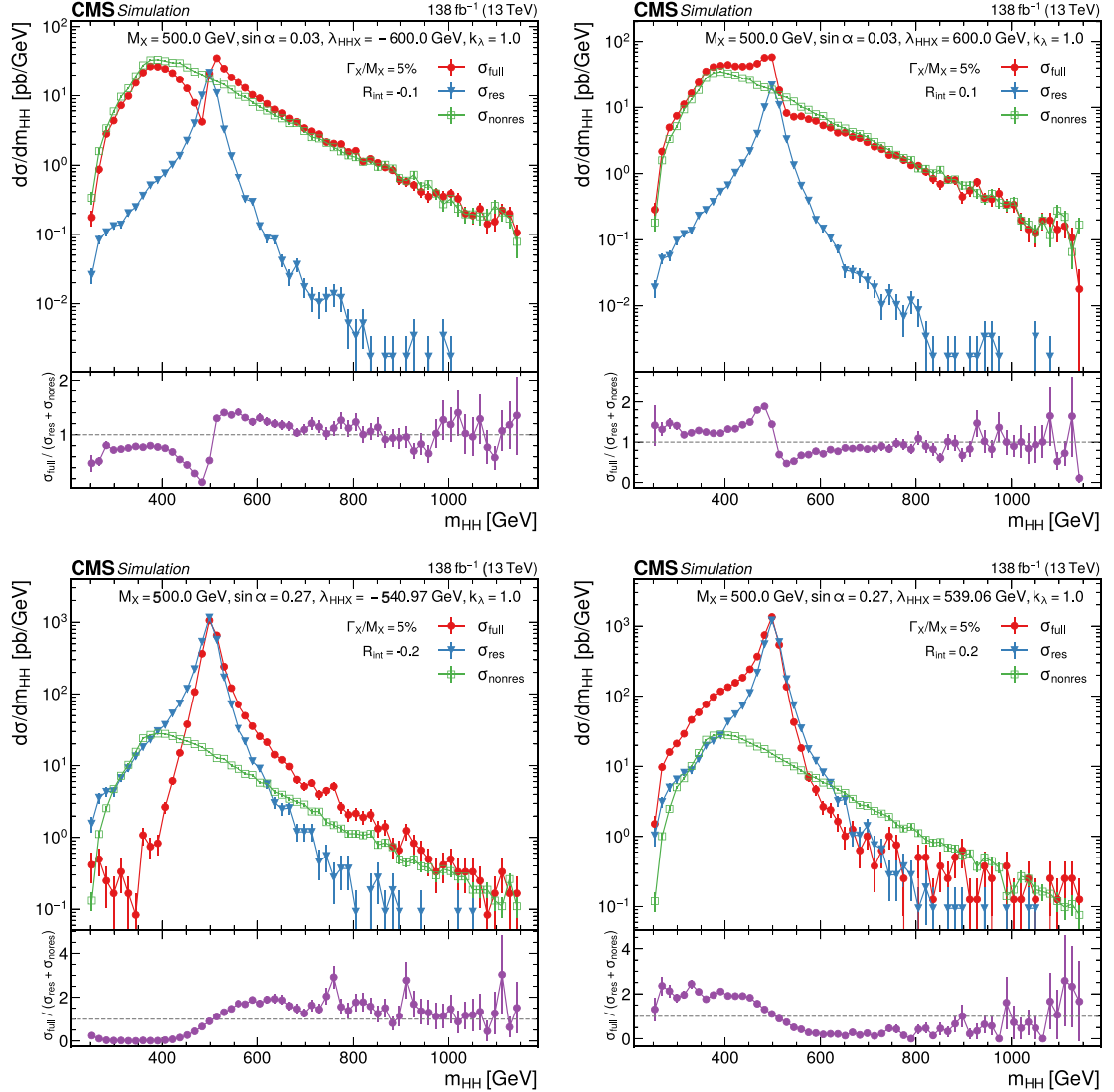


Fig. 43. Expected differential cross sections for HH production, as a function of m_{HH} for the real-singlet model with $m_X = 500$ GeV and $\Gamma_X/m_X = 5\%$. The parameters $\sin \alpha$ and λ_{HHX} have been chosen such that (upper row) $R_{\text{int}} = \pm 10\%$ and (lower row) $R_{\text{int}} = \pm 20\%$, for (left) negative and (right) positive values of R_{int} . The total cross section for HH production σ_{full} (red line, labeled as σ_{full}) is compared to the cross sections $\sigma_{\text{resonant-only}}$ (blue line, labeled as σ_{res}) and $\sigma_{\text{nonresonant}}$ (green line, labeled as σ_{nonres}) considering only resonant and nonresonant production. In the lower panels the ratio of σ_{full} over $(\sigma_{\text{resonant-only}} + \sigma_{\text{nonresonant}})$ is shown.

large data set available for the experimental calibrations are expected to bring a substantial reduction of several systematic uncertainties. Furthermore, progress in the theory calculations is expected to reduce the uncertainties in the predictions.

S2: The theory uncertainties are halved, while the experimental uncertainties are set according to the recommendations of Ref. [222].

Statistical only: The results are derived considering only the statistical uncertainty in data.

The projected results from the channels considered are statistically combined following the same procedure as adopted for the Run 2 combination which is described in Section 2.6. In particular, the systematic uncertainties affecting multiple channels, such as the uncertainties in the luminosity and on the b jet identification efficiency, are treated as correlated among all the input channels.

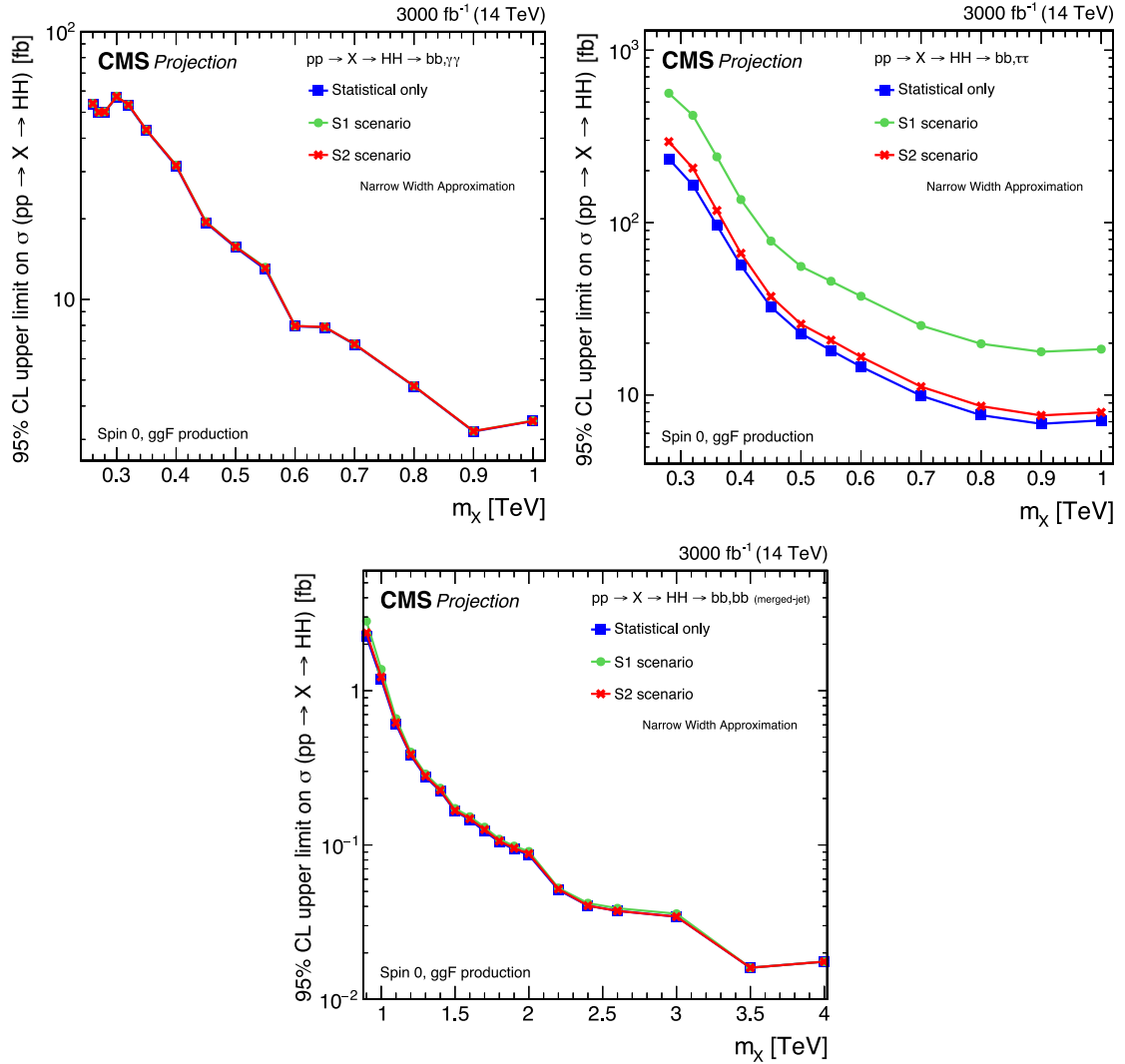


Fig. 44. Expected upper limits at 95% CL, on the product of the cross section for the production of a spin-0 resonance X and the branching fraction $B(X \rightarrow HH)$, as functions of m_X from the (upper left) $bb\tau\tau$ [122], (upper right) $bb\gamma\gamma$ [123], and (lower) $bbbb$ with two merged $b\bar{b}$ jets [124] analyses discussed in this report, projected to an integrated luminosity of 3000 fb^{-1} under the assumption of different systematic uncertainty scenarios, as discussed in the text. All estimates include the anticipated statistical uncertainties.

5.2. Discovery potential for $X \rightarrow HH$

The expected upper limits at 95% CL on the $X \rightarrow HH$ cross section from the channels considered projected to 3000 fb^{-1} are shown for the three different systematic uncertainty scenarios in Fig. 44. The projected upper limits from the $bb\gamma\gamma$ decay mode range between 60 and 3 fb for m_X within 300–1000 GeV. The overall impact of the systematic uncertainties on the $bb\gamma\gamma$ upper limits is below 1% because of the small uncertainty on the background modeling thanks to the estimation procedure based on the fit to the data in sideband regions.

The $bb\tau\tau$ channel provides upper limits on the cross section at 95% CL between 300 and 7 fb for m_X within 300–1000 GeV. The systematic uncertainty with the largest impact in the S1 scenario has its origin in the limited size of the MC simulation used for the background estimation. In the S2 scenario, the statistical uncertainties on the simulated events are assumed to be negligible and the main systematic uncertainties arise from the efficiencies of the b jet and τ identification and misidentification.

The $bbbb$ channel in the boosted regime, in the following simply referred to as $bbbb$, covers m_X values between 900 and 4000 GeV, and is expected to provide upper limits between 0.04 and 0.02 fb at an integrated luminosity of 3000 fb^{-1} . The impact of the systematic uncertainties is very small, as the sensitivity of the analysis is mainly limited by the statistical uncertainty in the data.

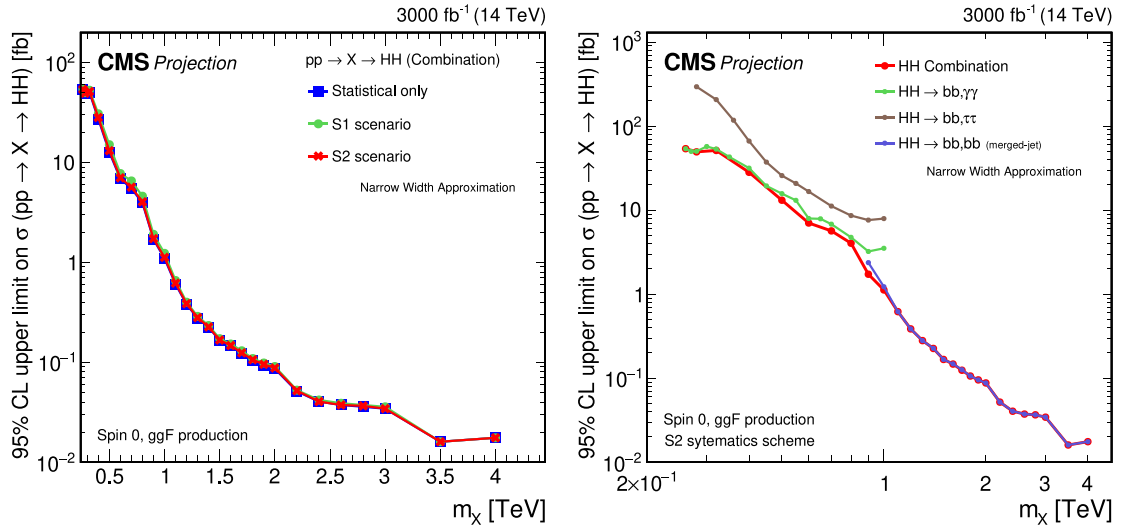


Fig. 45. Expected upper limits at 95% CL, on the product of the cross section for the production of a spin-0 resonance X and the branching fraction $\mathcal{B}(X \rightarrow HH)$, as a function of m_X , for an integrated luminosity of 3000 fb^{-1} and the combination of the three analyses shown in Fig. 44. Shown are the effects of the different systematic uncertainty scenarios (left), and the reach of the individual analyses for the S2 systematic scenario (right). All estimates include the anticipated statistical uncertainties.

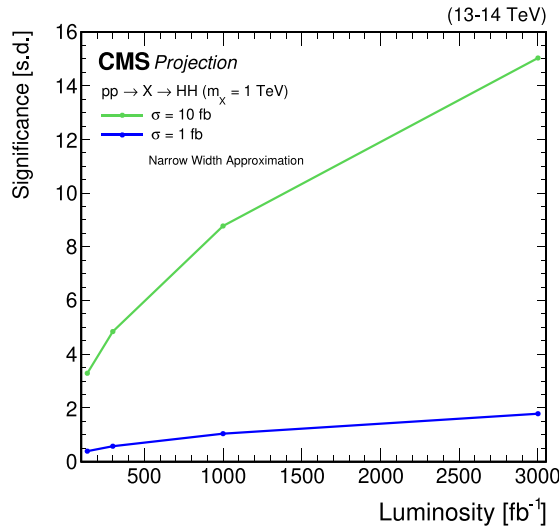


Fig. 46. Expected discovery significance for a spin-0 resonance X with $m_X = 1 \text{ TeV}$ and cross sections of 1 and 10 fb, obtained for the combined likelihood analysis of the resonant HH searches as discussed in Section 5 and shown in Figs. 44 and 45, shown as function of the integrated luminosity.

The results of the combination of the resonant HH searches considered are shown in Fig. 45 in the different systematic uncertainty scenarios, and in comparison to the results from the individual channels. The $bb\gamma\gamma$ channel is found to dominate the sensitivity in the region $m_X < 500 \text{ GeV}$; around 900 GeV the channel with the best sensitivity is $bbbb$, followed by $bb\gamma\gamma$ and $bb\tau\tau$. For $m_X > 1000 \text{ GeV}$ the only channel considered is $bbbb$ which is expected to be the most sensitive in this kinematic region. Thanks to the small impact of the systematic uncertainties on the $bb\gamma\gamma$ and $bbbb$ channels, the differences between the three systematic uncertainty scenarios are rather small.

5.2.1. Perspectives for the discovery of BSM benchmark signals

The expected significance for the discovery of a benchmark BSM signal from a spin-0 resonance with a mass of 1 TeV is calculated for several signal cross sections and represented as a function of the integrated luminosity in Fig. 46. Based on the three channels considered in this projection, the significance of a signal of $X \rightarrow HH$ with a cross section of 10 fb corresponds to about three standard deviations at Run 2, while an integrated luminosity of 300 fb^{-1} would yield 4.8

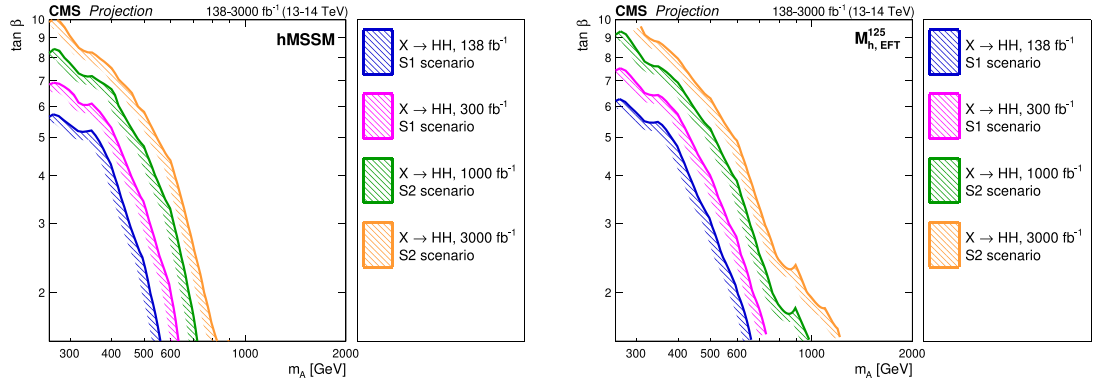


Fig. 47. Expected exclusion contours at 95% CL, in the $(\tan\beta, m_A)$ plane of the (left) hMSSM and (right) $M_{h,EFT}^{125}$ scenarios obtained from the combined likelihood analysis of the HH searches discussed in Section 4.1 and shown in Figs. 31 and 32, for different integrated luminosities and compared to the Run 2 result obtained at $\sqrt{s} = 13$ TeV. The projections assume $\sqrt{s} = 14$ TeV.

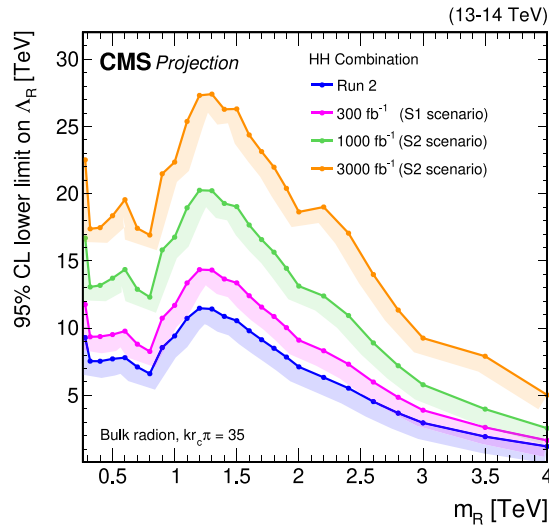


Fig. 48. Expected lower limit at 95% CL, on Λ_R in the warped extra dimensions bulk scenario for the production of a radion R , as a function of m_R . The limits are derived from the combined likelihood analysis of the HH searches discussed in Section 4.2 and shown in Fig. 35, for different values of the integrated luminosity. Excluded areas are indicated by the direction of the hatching along the exclusion contours.

standard deviations, indicating an attractive discovery potential already for Run 3 and its combination with Run 2. The significance of the same signal would reach about 15 standard deviations at 3000 fb⁻¹. A signal with a cross section of 3 fb would be sufficient to reach an observation at the level of five standard deviations with 3000 fb⁻¹.

5.2.2. Perspectives for MSSM scenarios

The projected exclusion limits at 95% CL of the hMSSM and $M_{h,EFT}^{125}$ benchmark scenarios from resonant HH searches are shown in Fig. 47. The S1 systematic uncertainty scenario is used for the Run 2 result and conservatively also for the result with 300 fb⁻¹, while the S2 systematic uncertainty scenario is used for the projected 1000 and 3000 fb⁻¹ results. Over the full accessible range in $\tan\beta$, the exclusion in m_A increases by about 250–300 GeV when moving from the Run 2 integrated luminosity to 3000 fb⁻¹, for both the hMSSM and $M_{h,EFT}^{125}$ scenarios. This exclusion from the resonant HH searches will complement the searches for X decaying to a pair of fermions or vector bosons.

5.2.3. Perspectives for the WED bulk scenario

The expected lower limits at 95% CL on the bulk radion parameter Λ_R as a function of the radion mass m_R are shown in Fig. 48. The limits are obtained from the combination of resonant HH searches in the WED bulk scenario. The S1 systematic uncertainty scenario is used for the Run 2 result and conservatively also for the result with 300 fb⁻¹, while the S2 systematic uncertainty scenario is used for the projected 1000 and 3000 fb⁻¹ results. Over the full range in m_R , the limit on Λ_R is expected to increase by a factor of at least two with the full HL-LHC data set.

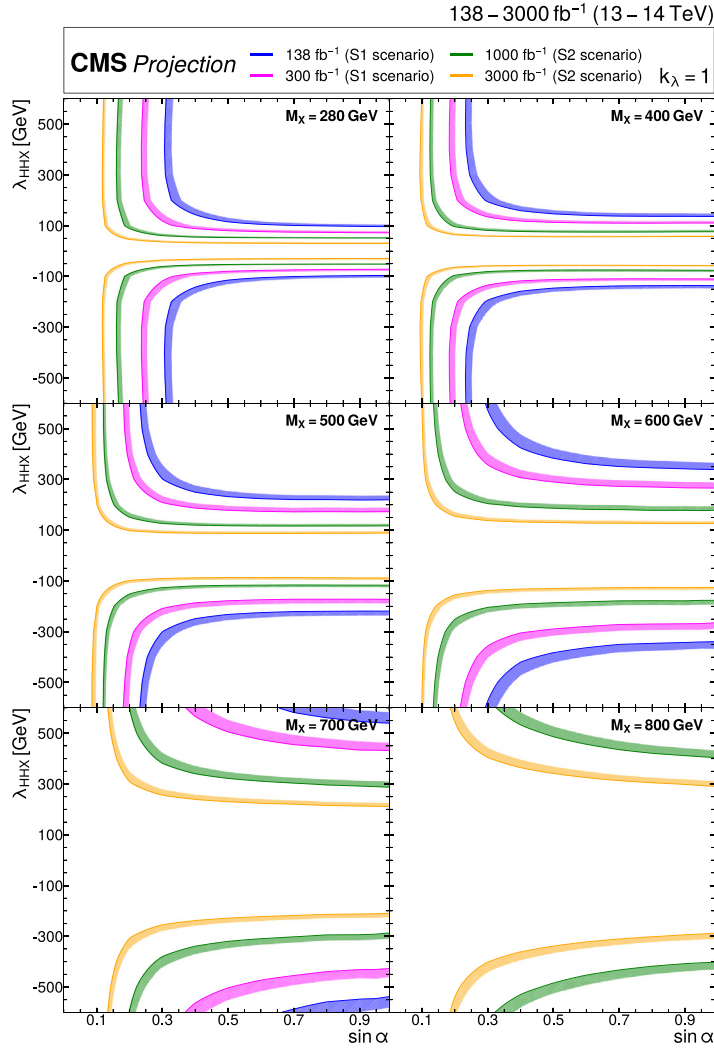


Fig. 49. Exclusion contours at 95% CL, in the $(\sin \alpha, \lambda_{HHX})$ plane for $k_\lambda = 1$ in the real-singlet model. These contours are obtained from the combined likelihood analysis of the HH searches discussed in Section 4.1 for (upper left to lower right) $m_X = 280, 400, 500, 600, 700$, and 800 GeV. The expected limits from the Run 2 dataset have been projected to integrated luminosities of $300, 1000$, and 3000 fb^{-1} . Excluded areas are indicated by the direction of the hatching along the exclusion contours.

5.2.4. Perspectives for the singlet scenarios

In the singlet model of Section 4.4 with $k_\lambda = 1$, limits are derived in the $(\sin \alpha, \lambda_{HHX})$ plane from the combination of resonant HH searches. Resonance masses between 280 and 800 GeV are probed using Run 2 data and projected to integrated luminosities corresponding to $300, 1000$, and 3000 fb^{-1} . Projected exclusion regions at 95% CL are shown in Fig. 49. The HL-LHC dataset of 3000 fb^{-1} has the potential to considerably expand the present exclusion regions in the $(\sin \alpha, \lambda_{HHX})$ plane for all values of m_X . Compared to the present limits, the largest improvement is observed for large masses, $m_X = 600$ GeV and higher, where large regions of the $(\sin \alpha, \lambda_{HHX})$ plane can be probed.

5.3. Discovery potential for $X \rightarrow YH$

The upper limits on the cross section for $X \rightarrow YH$ are also projected to an integrated luminosity of 3000 fb^{-1} for the three systematic uncertainty scenarios. The projections are derived for the individual channels in the $b\bar{b}\gamma\gamma$, $b\bar{b}\tau\tau$, and $b\bar{b}b\bar{b}$ final states, and for a combination with the assumption of SM H boson branching fractions, where we use the same procedure as for the HH projections. The differences between the upper limits in the S1, S2, and statistical-only scenarios are analogous to the findings for the corresponding channels in the $X \rightarrow HH$ projections.

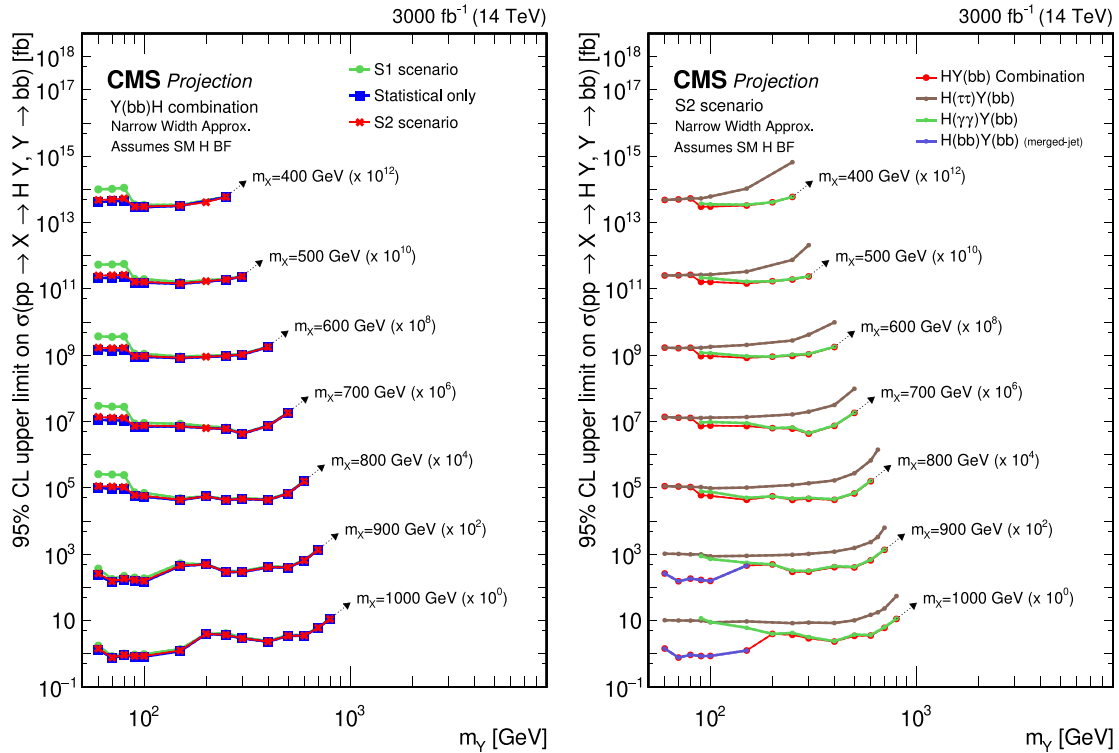


Fig. 50. Expected upper limits at 95% CL, on the product of the cross section σ for the production of a resonance X via gluon-gluon fusion and the branching fraction B for the $X \rightarrow Y(bb)H$ decay, as functions of m_Y , for $m_X \leq 1$ TeV. For the branching fractions of the $H \rightarrow \tau\tau$, $H \rightarrow \gamma\gamma$ and $H \rightarrow bb$ decays, the SM values are assumed. The limits are obtained from the combined likelihood analysis of all analyses discussed in Section 3.3 and shown in Fig. 29, projected to an integrated luminosity of 3000 fb^{-1} . Shown are the projections for the combined likelihood analysis for different systematic uncertainty scenarios (left), and the projections for the combined likelihood analysis and the individual contributing analyses assuming the S2 scenario (right). For presentation purposes, the limits have been scaled in successive steps by two orders of magnitude. For each set of graphs, a black arrow points to the m_X related legend.

The results of the $X \rightarrow YH$ projections are presented in Figs. 50 and 51 for m_X up to and above 1 TeV, respectively. The regions of the (m_X, m_Y) parameter space with the largest ratios of m_Y/m_X correspond to a Y particle with low transverse momentum, and can be probed with the $bb\gamma\gamma$ channel. In the regions with small ratios of m_Y/m_X , the Y particle receives a large Lorentz boost, such that the $bbbb$ boosted channel has the highest sensitivity and only this final state is considered. In the intermediate region, the $bb\gamma\gamma$ and $bb\tau\tau$ channels provide comparable sensitivity and about equal weight in the combination.

Selected bins of the projections from the YH combination are used for presenting expected upper limits as functions of m_X and m_Y , and are shown in Fig. 52. In comparison with Fig. 34, the improvement is clearly visible.

5.3.1. Perspectives for the NMSSM and TRSM

We compare the maximally allowed cross sections predicted by the NMSSM model scans with the expected upper limits at 95% CL on the $X \rightarrow YH$ cross sections, projected to an integrated luminosity of 3000 fb^{-1} . The NMSSM model scans are obtained with NMSSMTools version 5.6.2 [200], as described in Section 4.1.3, and take many relevant experimental constraints from Run 2 into account. Fig. 53 shows the projected exclusion contours for the final states $Y(bb)H(\gamma\gamma)$ (upper left), $Y(bb)H(\tau\tau)$ (upper right), and $Y(bb)H(bb)$ in the merged-jet topology (lower left). The maximized model σB values may depend non-monotonically on m_Y which can be reflected in the contours. Substantial regions of the parameter space can be excluded in the probed mass region with $m_X = 500\text{--}1000$ GeV and $m_Y = 100\text{--}350$ GeV, as well as up to $m_Y = 200$ GeV for $m_X = 1100\text{--}1500$ GeV. This indicates a huge increase in sensitivity for the HL-LHC compared to the results from Run 2. Similarly, we compare the predictions of the TRSM model [12] with the projected results from the $Y(bb)H(bb)$ channel in the merged-jet topology in Fig. 53 (lower right), which results in a sizeable exclusion region for $m_X = 900\text{--}1500$ GeV and $m_Y = 110\text{--}135$ GeV.

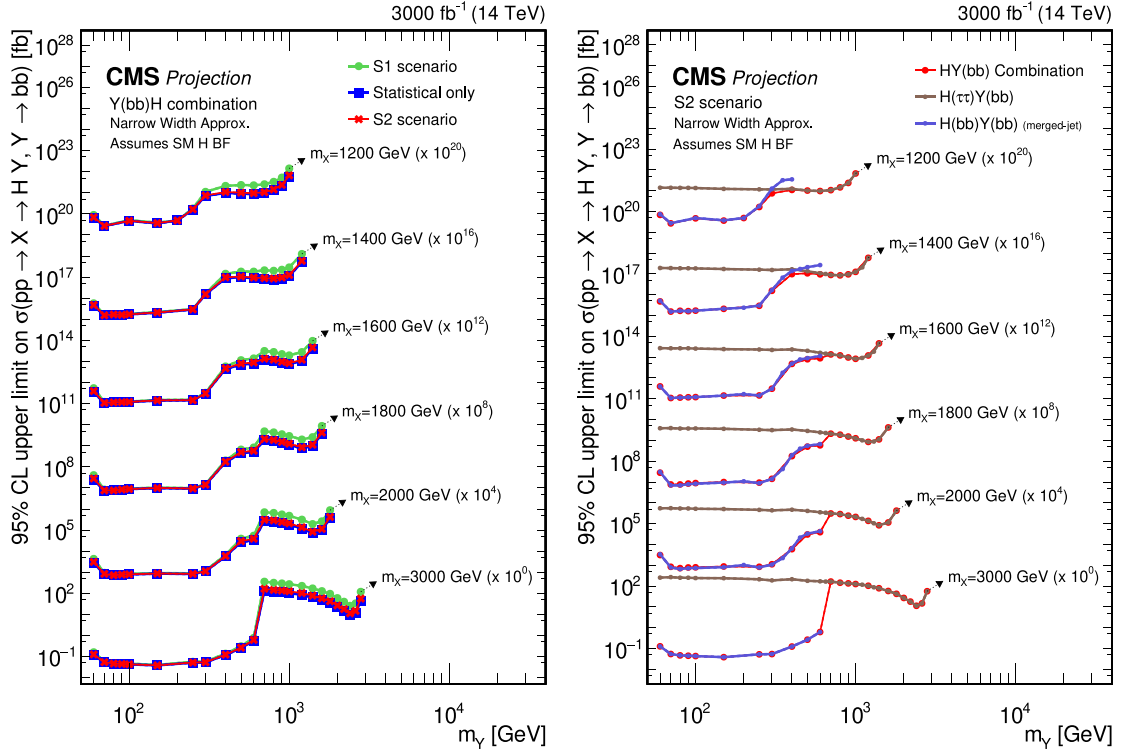


Fig. 51. Expected upper limits at 95% CL, on the product of the cross section σ for the production of a resonance X via gluon–gluon fusion and the branching fraction $\mathcal{B}$ for the $X \rightarrow Y(bb)H$ decay, as functions of m_Y , for $m_X \geq 1.2$ TeV. For the branching fractions of the $H \rightarrow \tau\tau$ and $H \rightarrow bb$ decays, the SM values are assumed. The limits are obtained from the combined likelihood analysis of all analyses discussed in Section 3.3 and shown in Fig. 30, projected to an integrated luminosity of 3000 fb^{-1} . Shown are the projections for the combined likelihood analysis for different systematic uncertainty scenarios (left), and the projections for the combined likelihood analysis and the individual contributing analyses assuming the S2 scenario (right). For presentation purposes, the limits have been scaled in successive steps by four orders of magnitude. For each set of graphs, a black arrow points to the m_X related legend.

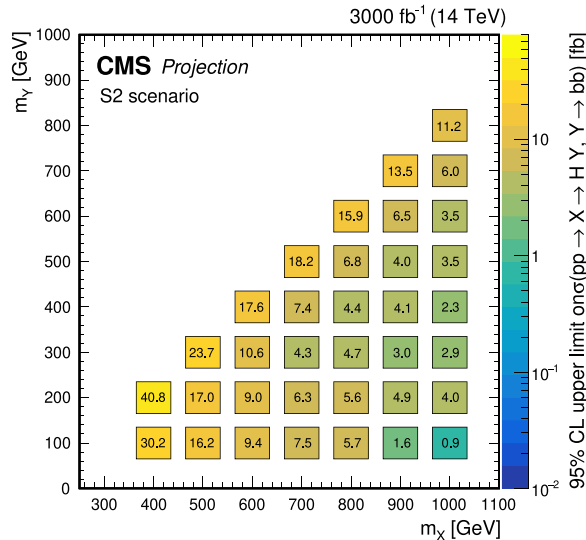


Fig. 52. Expected upper limits at 95% CL on the product of the cross section σ for the production of a resonance X via gluon–gluon fusion and the branching fraction $\mathcal{B}$ for the $X \rightarrow Y(bb)H$ decay, as obtained from the combined likelihood analysis of the individual analyses presented in Section 3.3 and Fig. 29. The results are shown in the plane spanned by m_Y and m_X for $m_X \leq 1$ TeV, and projected to an integrated luminosity of 3000 fb^{-1} , assuming the S2 systematic uncertainty scenario. The numbers in the boxes are given in fb.

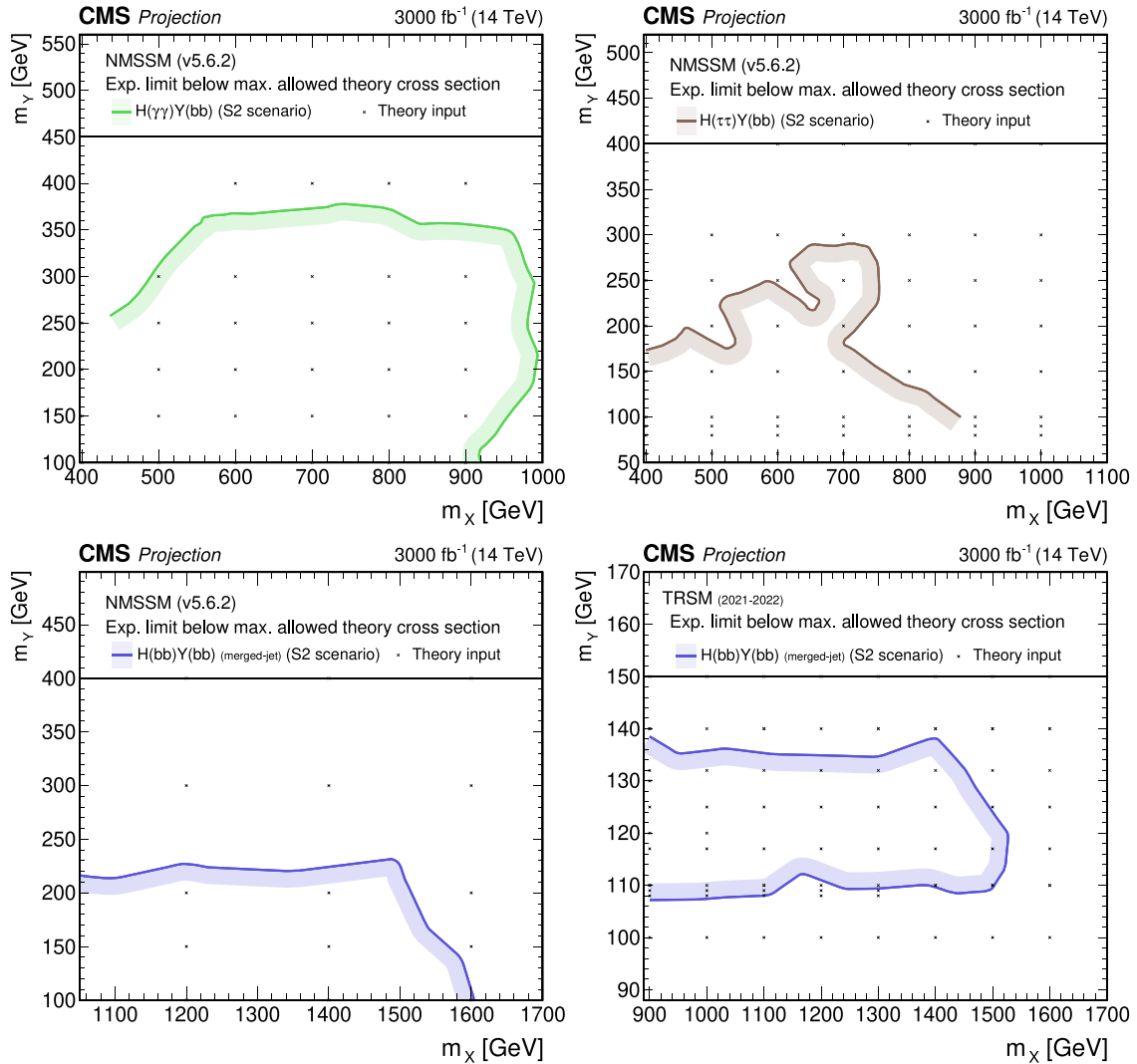


Fig. 53. Interpretation of the upper limits at 95% CL, on the product of the cross section σ for the production of a resonance X via gluon-gluon fusion and the branching fraction B for the $X \rightarrow Y(bb)H$ decay, obtained from the projections to an integrated luminosity of 3000 fb^{-1} of the (upper left) $Y(bb)H(\gamma\gamma)$ [123], (upper right) $Y(bb)H(\tau\tau)$ [122], and (lower row) $Y(bb)H(bb)$ [124] analyses, assuming the S2 systematic uncertainty scenario. The projected limits are mapped onto the (m_X, m_Y) plane, and compared with the maximally allowed cross sections of the NMSSM (left and upper right), and TRSM models (lower right) discussed in Section 4.1.3. The points indicate the available theory predictions. The mass dependences of both the projected experimental limits and the maximally allowed theory cross sections have been interpolated to obtain approximate exclusion contours. The NMSSM predictions based on NMSSMTools version 5.6.2 are adapted from Ref. [200], whereas the TRSM is described in Ref. [12]. In both cases, the model predictions have been scaled to $\sqrt{s} = 14 \text{ TeV}$.

6. Summary

The analyses searching for the production of the Higgs (H) boson through decays of heavy resonances, performed by the CMS Collaboration using the Run 2 data set, are reviewed. This Report covers final states with two bosons with at least one an H boson, namely an H boson and a vector boson (VH), a pair of H bosons (HH), and an H boson joined by a new boson Y (YH), where V represents a W or a Z boson.

The analyses cover a wide range of H boson decay modes, in particular, decays into photons, b quarks, τ leptons, and W bosons. The Y boson is exclusively searched for in b quark final states. Topologies involving both resolved and merged jet objects are used to cover a wide range of the phase space. Multivariate methods are employed in various ways to improve the performance.

The results are presented as summary plots which show the sensitivity of all channels in direct comparison. For the HH and YH final states, the results obtained by combining all decay channels are presented for the first time.

The results are interpreted in the context of various beyond-the-standard model scenarios for resonances decaying into VH , HH and YH final states. These include various extended Higgs sector models, warped extra-dimension models, and heavy vector triplet models. The results from resonant H boson production searches are compared with results from searches in other channels.

While all presented analyses assume the validity of the narrow-width approximation, a dedicated study of the impact of finite width and interference is performed for the first time in CMS for the real singlet extension of the standard model. This study shows the modification of the HH cross section and line shape in regions of the parameter space where the narrow-width approximation is not valid anymore.

The expected sensitivity of the analyses in the HH and YH final states is estimated for future data sets with integrated luminosities of 300, 1000, and 3000 fb^{-1} , the last number corresponding to the baseline scenario of the High-Luminosity LHC (HL-LHC) over its full lifetime. The expected upper limits for resonant HH production for the HL-LHC scenario range from about 50 fb at a resonance mass of 300 GeV to nearly 0.01 fb for masses of 3 TeV and above. The exclusions in terms of $\tan \beta$ in the $m\text{SSM}$ and $M_{h,\text{EFT}}^{125}$ scenarios are expanded by almost a factor of two compared to the Run 2 data set.

This review shows how the specific strengths of many different experimental signatures can be combined to chart very thoroughly the territory where resonant Higgs boson production might reveal beyond the standard model physics, and gives a promising outlook towards the achievement potential of future measurements in this sector.

Declaration of competing interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

Data availability

Release and preservation of data used by the CMS Collaboration as the basis for publications is guided by the [CMS data preservation, re-use and open access policy](#).

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PID2020-113304RB, PID2020-116262RB and PID2020-113341RB-I00, and Plan de Ciencia, Tecnología e Innovación de Asturias, Spain; the Ministry of Science, Technology and Research, Sri Lanka; the Swiss Funding Agencies (ETH Board, ETH Zurich, PSI, SNF, UniZH, Canton Zurich, and SER); the Ministry of Science and Technology, Taipei; the Ministry of Higher Education, Science, Research and Innovation, and the National Science and Technology Development Agency of Thailand; the Scientific and Technical Research Council of Turkey, and Turkish Energy, Nuclear and Mineral Research Agency; the National Academy of Sciences of Ukraine; the Science and Technology Facilities Council, UK; the US Department of Energy, and the US National Science Foundation.

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Appendix A. The CMS Collaboration

Yerevan Physics Institute, Yerevan, Armenia

A. Hayrapetyan, A. Tumasyan¹

Institut für Hochenergiephysik, Vienna, Austria

W. Adam^{1b}, J.W. Andrejkovic^{1b}, T. Bergauer^{1b}, S. Chatterjee^{1b}, K. Damanakis^{1b}, M. Dragicevic^{1b}, P.S. Hussain^{1b}, M. Jeitler^{1b}, N. Krammer^{1b}, A. Li^{1b}, D. Liko^{1b}, I. Mikulec^{1b}, J. Schieck^{1b}, R. Schöffbeck^{1b}, D. Schwarz^{1b}, M. Sonawane^{1b}, S. Templ^{1b}, W. Waltenberger^{1b}, C.-E. Wulz^{1b}

Universiteit Antwerpen, Antwerpen, Belgium

M.R. Darwish^{1b}, T. Janssen^{1b}, P. Van Mechelen^{1b}

Vrije Universiteit Brussel, Brussel, Belgium

N. Breugelmans, J. D'Hondt^{1b}, S. Dansana^{1b}, A. De Moor^{1b}, M. Delcourt^{1b}, F. Heyen, S. Lowette^{1b}, I. Makarenko^{1b}, D. Müller^{1b}, S. Tavernier^{1b}, M. Tytgat^{1b}, G.P. Van Onsem^{1b}, S. Van Putte^{1b}, D. Vannerom^{1b}

Université Libre de Bruxelles, Bruxelles, Belgium

B. Clerbaux^{1b}, A.K. Das, G. De Lentdecker^{1b}, H. Evard^{1b}, L. Favart^{1b}, P. Gianneios^{1b}, D. Hohov^{1b}, J. Jaramillo^{1b}, A. Khalilzadeh, F.A. Khan^{1b}, K. Lee^{1b}, M. Mahdavihorrami^{1b}, A. Malara^{1b}, S. Paredes^{1b}, L. Thomas^{1b}, M. Vanden Beemden^{1b}, C. Vander Velde^{1b}, P. Vanlaer^{1b}

Ghent University, Ghent, Belgium

M. De Coen^{1b}, D. Dobur^{1b}, G. Gokbulut^{1b}, Y. Hong^{1b}, J. Knolle^{1b}, L. Lambrecht^{1b}, D. Marckx^{1b}, G. Mestdach, K. Mota Amarilo^{1b}, C. Rendón, A. Samalan, K. Skovpen^{1b}, N. Van Den Bossche^{1b}, J. van der Linden^{1b}, L. Wezenbeek^{1b}

Université Catholique de Louvain, Louvain-la-Neuve, Belgium

A. Benecke^{ID}, A. Bethani^{ID}, G. Bruno^{ID}, C. Caputo^{ID}, J. De Favereau De Jeneret^{ID}, C. Delaere^{ID}, I.S. Donertas^{ID},
A. Giammanco^{ID}, A.O. Guzel^{ID}, Sa. Jain^{ID}, V. Lemaître, J. Lidrych^{ID}, P. Mastrapasqua^{ID}, T.T. Tran^{ID}, S. Wertz^{ID}

Centro Brasileiro de Pesquisas Físicas, Rio de Janeiro, Brazil

G.A. Alves^{ID}, E. Coelho^{ID}, C. Hensel^{ID}, T. Menezes De Oliveira^{ID}, A. Moraes^{ID}, P. Rebello Teles^{ID},
M. Soeiro, A. Vilela Pereira^{ID}⁵

Universidade do Estado do Rio de Janeiro, Rio de Janeiro, Brazil

W.L. Aldá Júnior^{ID}, M. Alves Gallo Pereira^{ID}, M. Barroso Ferreira Filho^{ID}, H. Brandao Malbouisson^{ID}, W. Carvalho^{ID},
J. Chinellato⁶, E.M. Da Costa^{ID}, G.G. Da Silveira^{ID}⁷, D. De Jesus Damiao^{ID}, S. Fonseca De Souza^{ID}, R. Gomes De Souza,
M. Macedo^{ID}, J. Martins^{ID}⁸, C. Mora Herrera^{ID}, L. Mundim^{ID}, H. Nogima^{ID}, J.P. Pinheiro^{ID}, A. Santoro^{ID}, A. Sznajder^{ID},
M. Thiel^{ID}

Universidade Estadual Paulista, Universidade Federal do ABC, São Paulo, Brazil

C.A. Bernardes^{ID}⁷, L. Calligaris^{ID}, T.R. Fernandez Perez Tomei^{ID}, E.M. Gregores^{ID}, I. Maietto Silverio^{ID}, P.G. Mer-
cadante^{ID}, S.F. Novaes^{ID}, B. Orzari^{ID}, Sandra S. Padula^{ID}

Institute for Nuclear Research and Nuclear Energy, Bulgarian Academy of Sciences, Sofia, Bulgaria

A. Aleksandrov^{ID}, G. Antchev^{ID}, R. Hadjiiska^{ID}, P. Iaydjiev^{ID}, M. Misheva^{ID}, M. Shopova^{ID}, G. Sultanov^{ID}

University of Sofia, Sofia, Bulgaria

A. Dimitrov^{ID}, L. Litov^{ID}, B. Pavlov^{ID}, P. Petkov^{ID}, A. Petrov^{ID}, E. Shumka^{ID}

Instituto De Alta Investigación, Universidad de Tarapacá, Casilla 7 D, Arica, Chile

S. Keshri^{ID}, S. Thakur^{ID}

Beihang University, Beijing, China

T. Cheng^{ID}, T. Javaid^{ID}, L. Yuan^{ID}

Department of Physics, Tsinghua University, Beijing, China

Z. Hu^{ID}, Z. Liang, J. Liu, K. Yi^{ID}^{9,10}

Institute of High Energy Physics, Beijing, China

G.M. Chen^{ID}¹¹, H.S. Chen^{ID}¹¹, M. Chen^{ID}¹¹, F. Iemmi^{ID}, C.H. Jiang, A. Kapoor^{ID}¹², H. Liao^{ID}, Z.-A. Liu^{ID}¹³, M.A. Shahzad¹¹,
R. Sharma^{ID}¹⁴, J.N. Song¹³, J. Tao^{ID}, C. Wang¹¹, J. Wang^{ID}, Z. Wang¹¹, H. Zhang^{ID}, J. Zhao^{ID}

State Key Laboratory of Nuclear Physics and Technology, Peking University, Beijing, China

A. Agapitos^{ID}, Y. Ban^{ID}, A. Carvalho Antunes De Oliveira^{ID}, S. Deng^{ID}, B. Guo, C. Jiang^{ID}, A. Levin^{ID}, C. Li^{ID}, Q. Li^{ID},
Y. Mao, S. Qian, S.J. Qian^{ID}, X. Qin, X. Sun^{ID}, D. Wang^{ID}, H. Yang, L. Zhang^{ID}, Y. Zhao, C. Zhou^{ID}

Guangdong Provincial Key Laboratory of Nuclear Science and Guangdong-Hong Kong Joint Laboratory of Quantum Matter, South China Normal University, Guangzhou, China

S. Yang^{ID}

Sun Yat-Sen University, Guangzhou, China

Z. You^{ID}

University of Science and Technology of China, Hefei, China

Z. Guo, K. Jaffel^{ID}, N. Lu^{ID}

Nanjing Normal University, Nanjing, China

G. Bauer¹⁵, B. Li, J. Zhang^{ID}

Institute of Modern Physics and Key Laboratory of Nuclear Physics and Ion-beam Application (MOE) - Fudan University, Shanghai, China

X. Gao^{ID}¹⁶

Zhejiang University, Hangzhou, Zhejiang, China

Z. Lin^{1b}, C. Lu^{1b}, M. Xiao^{1b}

Universidad de Los Andes, Bogota, Colombia

C. Avila^{1b}, D.A. Barbosa Trujillo, A. Cabrera^{1b}, C. Florez^{1b}, J. Fraga^{1b}, J.A. Reyes Vega

Universidad de Antioquia, Medellin, Colombia

F. Ramirez^{1b}, M. Rodriguez^{1b}, A.A. Ruales Barbosa^{1b}, J.D. Ruiz Alvarez^{1b}

University of Split, Faculty of Electrical Engineering, Mechanical Engineering and Naval Architecture, Split, Croatia

D. Giljanovic^{1b}, N. Godinovic^{1b}, D. Lelas^{1b}, A. Sculac^{1b}

University of Split, Faculty of Science, Split, Croatia

M. Kovac^{1b}, A. Petkovic, T. Sculac^{1b}

Institute Rudjer Boskovic, Zagreb, Croatia

P. Bargassa^{1b}, V. Brigljevic^{1b}, B.K. Chitroda^{1b}, D. Ferencek^{1b}, K. Jakovcic, S. Mishra^{1b}, A. Starodumov^{1b,17}, T. Susa^{1b}

University of Cyprus, Nicosia, Cyprus

A. Attikis^{1b}, K. Christoforou^{1b}, A. Hadjiagapiou, A. Ioannou, C. Leonidou, J. Mousa^{1b}, C. Nicolaou, L. Paizanos, F. Ptochos^{1b}, P.A. Razis^{1b}, H. Rykaczewski, H. Saka^{1b}, A. Stepennov^{1b}

Charles University, Prague, Czech Republic

M. Finger^{1b}, M. Finger Jr.^{1b}, A. Kveton^{1b}

Universidad San Francisco de Quito, Quito, Ecuador

E. Carrera Jarrin^{1b}

Academy of Scientific Research and Technology of the Arab Republic of Egypt, Egyptian Network of High Energy Physics, Cairo, Egypt

Y. Assran^{18,19}, B. El-mahdy, S. Elgammal¹⁹

Center for High Energy Physics (CHEP-FU), Fayoum University, El-Fayoum, Egypt

A. Lotfy^{1b}, M.A. Mahmoud^{1b}

National Institute of Chemical Physics and Biophysics, Tallinn, Estonia

K. Ehataht^{1b}, M. Kadastik, T. Lange^{1b}, S. Nandan^{1b}, C. Nielsen^{1b}, J. Pata^{1b}, M. Raidal^{1b}, L. Tani^{1b}, C. Veelken^{1b}

Department of Physics, University of Helsinki, Helsinki, Finland

H. Kirschenmann^{1b}, K. Osterberg^{1b}, M. Voutilainen^{1b}

Helsinki Institute of Physics, Helsinki, Finland

S. Bharthuar^{1b}, N. Bin Norjoharuddeen^{1b}, E. Brücken^{1b}, F. Garcia^{1b}, P. Inkaew^{1b}, K.T.S. Kallonen^{1b}, R. Kinnunen, T. Lampén^{1b}, K. Lassila-Perini^{1b}, S. Lehti^{1b}, T. Lindén^{1b}, L. Martikainen^{1b}, M. Myllymäki^{1b}, M.m. Rantanen^{1b}, H. Siikonen^{1b}, J. Tuominiemi^{1b}

Lappeenranta-Lahti University of Technology, Lappeenranta, Finland

P. Luukka^{1b}, H. Petrow^{1b}

IRFU, CEA, Université Paris-Saclay, Gif-sur-Yvette, France

M. Besancon^{1b}, F. Couderc^{1b}, M. Dejardin^{1b}, D. Denegri, J.L. Faure, F. Ferri^{1b}, S. Ganjour^{1b}, P. Gras^{1b}, G. Hamel de Monchenault^{1b}, V. Lohezic^{1b}, J. Malcles^{1b}, F. Orlandi^{1b}, L. Portales^{1b}, J. Rander, A. Rosowsky^{1b}, M.Ö. Sahin^{1b}, A. Savoy-Navarro^{1b,20}, P. Simkina^{1b}, M. Titov^{1b}, M. Tornago^{1b}

Laboratoire Leprince-Ringuet, CNRS/IN2P3, Ecole Polytechnique, Institut Polytechnique de Paris, Palaiseau, France

F. Beaudette^{ID}, P. Busson^{ID}, A. Cappati^{ID}, C. Charlot^{ID}, M. Chiusi^{ID}, F. Damas^{ID}, O. Davignon^{ID}, A. De Wit^{ID}, I.T. Ehle^{ID}, B.A. Fontana Santos Alves^{ID}, S. Ghosh^{ID}, A. Gilbert^{ID}, R. Granier de Cassagnac^{ID}, A. Hakimi^{ID}, B. Harikrishnan^{ID}, L. Kalipoliti^{ID}, G. Liu^{ID}, M. Nguyen^{ID}, C. Ochando^{ID}, R. Salerno^{ID}, J.B. Sauvan^{ID}, Y. Sirois^{ID}, L. Urda Gómez^{ID}, E. Vernazza^{ID}, A. Zabi^{ID}, A. Zghiche^{ID}

Université de Strasbourg, CNRS, IPHC UMR 7178, Strasbourg, France

J.-L. Agram^{ID}²¹, J. Andrea^{ID}, D. Appar^{ID}, D. Bloch^{ID}, J.-M. Brom^{ID}, E.C. Chabert^{ID}, C. Collard^{ID}, S. Falke^{ID}, U. Gøerlach^{ID}, R. Haeberle^{ID}, A.-C. Le Bihan^{ID}, M. Meena^{ID}, O. Poncet^{ID}, G. Saha^{ID}, M.A. Sessini^{ID}, P. Van Hove^{ID}, P. Vaucelle^{ID}

Centre de Calcul de l'Institut National de Physique Nucleaire et de Physique des Particules, CNRS/IN2P3, Villeurbanne, France

A. Di Florio^{ID}

Institut de Physique des 2 Infinis de Lyon (IP2I), Villeurbanne, France

D. Amram, S. Beauceron^{ID}, B. Blancon^{ID}, G. Boudoul^{ID}, N. Chanon^{ID}, D. Contardo^{ID}, P. Depasse^{ID}, C. Dozen^{ID}²², H. El Mamouni, J. Fay^{ID}, S. Gascon^{ID}, M. Gouzevitch^{ID}, C. Greenberg, G. Grenier^{ID}, B. Ille^{ID}, E. Jourdhuy, I.B. Laktineh, M. Lethuillier^{ID}, L. Mirabito, S. Perries, A. Purohit^{ID}, M. Vander Donckt^{ID}, P. Verdier^{ID}, J. Xiao^{ID}

Georgian Technical University, Tbilisi, Georgia

G. Adamov, I. Lomidze^{ID}, Z. Tsamalaidze^{ID}¹⁷

RWTH Aachen University, I. Physikalisches Institut, Aachen, Germany

V. Botta^{ID}, L. Feld^{ID}, K. Klein^{ID}, M. Lipinski^{ID}, D. Meuser^{ID}, A. Pauls^{ID}, D. Pérez Adán^{ID}, N. Röwert^{ID}, M. Teroerde^{ID}

RWTH Aachen University, III. Physikalisches Institut A, Aachen, Germany

S. Diekmann^{ID}, A. Dodonova^{ID}, N. Eich^{ID}, D. Eliseev^{ID}, F. Engelke^{ID}, J. Erdmann^{ID}, M. Erdmann^{ID}, P. Fackeldey^{ID}, B. Fischer^{ID}, T. Hebbeker^{ID}, K. Hoepfner^{ID}, F. Ivone^{ID}, A. Jung^{ID}, M.y. Lee^{ID}, F. Mausolf^{ID}, M. Merschmeyer^{ID}, A. Meyer^{ID}, S. Mukherjee^{ID}, D. Noll^{ID}, F. Nowotny, A. Pozdnyakov^{ID}, Y. Rath, W. Redjeb^{ID}, F. Rehm, H. Reithler^{ID}, V. Sarkisovi^{ID}, A. Schmidt^{ID}, A. Sharma^{ID}, J.L. Spah^{ID}, A. Stein^{ID}, F. Torres Da Silva De Araujo^{ID}²³, S. Wiedenbeck^{ID}, S. Zaleski

RWTH Aachen University, III. Physikalisches Institut B, Aachen, Germany

C. Dziwok^{ID}, G. Flügge^{ID}, T. Kress^{ID}, A. Nowack^{ID}, O. Pooth^{ID}, A. Stahl^{ID}, T. Ziemons^{ID}, A. Zotz^{ID}

Deutsches Elektronen-Synchrotron, Hamburg, Germany

H. Aarup Petersen^{ID}, M. Aldaya Martin^{ID}, J. Alimena^{ID}, S. Amoroso, Y. An^{ID}, J. Bach^{ID}, S. Baxter^{ID}, M. Bayatmakou^{ID}, H. Becerril Gonzalez^{ID}, O. Behnke^{ID}, A. Belvedere^{ID}, S. Bhattacharya^{ID}, F. Blekman^{ID}²⁴, K. Borras^{ID}²⁵, A. Campbell^{ID}, A. Cardini^{ID}, C. Cheng, F. Colombina^{ID}, S. Consuegra Rodríguez^{ID}, G. Correia Silva^{ID}, M. De Silva^{ID}, G. Eckerlin, D. Eckstein^{ID}, L.I. Estevez Banos^{ID}, O. Filatov^{ID}, E. Gallo^{ID}²⁴, A. Geiser^{ID}, V. Guglielmi^{ID}, M. Guthoff^{ID}, A. Hinzmann^{ID}, L. Jeppe^{ID}, B. Kaech^{ID}, M. Kasemann^{ID}, C. Kleinwort^{ID}, R. Kogler^{ID}, M. Komm^{ID}, D. Krücker^{ID}, W. Lange, D. Leyva Pernia^{ID}, K. Lipka^{ID}²⁶, W. Lohmann^{ID}²⁷, F. Lorkowski^{ID}, R. Mankel^{ID}, I.-A. Melzer-Pellmann^{ID}, M. Mendizabal Morentin^{ID}, A.B. Meyer^{ID}, G. Milella^{ID}, K. Moral Figueroa^{ID}, A. Mussgiller^{ID}, L.P. Nair^{ID}, J. Niedziela^{ID}, A. Nürnberg^{ID}, Y. Otari, J. Park^{ID}, E. Ranken^{ID}, A. Raspereza^{ID}, D. Rastorguev^{ID}, J. Rübenach, L. Rygaard, A. Saggio^{ID}, M. Scham^{ID}^{28,25}, S. Schnake^{ID}²⁵, P. Schütze^{ID}, C. Schwanenberger^{ID}²⁴, D. Selivanova^{ID}, K. Shako^{ID}, M. Shchedrolosiev^{ID}, D. Stafford, F. Vazzoler^{ID}, A. Ventura Barroso^{ID}, R. Walsh^{ID}, D. Wang^{ID}, Q. Wang^{ID}, Y. Wen^{ID}, K. Wichmann, L. Wiens^{ID}²⁵, C. Wissing^{ID}, Y. Yang^{ID}, A. Zimmermann Castro Santos^{ID}

University of Hamburg, Hamburg, Germany

A. Albrecht^{ID}, S. Albrecht^{ID}, M. Antonello^{ID}, S. Bein^{ID}, L. Benato^{ID}, S. Bollweg, M. Bonanomi^{ID}, P. Connor^{ID}, K. El Morabit^{ID}, Y. Fischer^{ID}, E. Garutti^{ID}, A. Grohsjean^{ID}, J. Haller^{ID}, H.R. Jabusch^{ID}, G. Kasieczka^{ID}, P. Keicher, R. Klanner^{ID}

W. Korcar¹, T. Kramer¹, C.c. Kuo¹, V. Kutzner¹, F. Labe¹, J. Lange¹, A. Lobanov¹, C. Matthies¹, L. Moureaux¹, M. Mrowietz¹, A. Nigamova¹, Y. Nissan¹, A. Paasch¹, K.J. Pena Rodriguez¹, T. Quadfasel¹, B. Raciti¹, M. Rieger¹, D. Savoiu¹, J. Schindler¹, P. Schleper¹, M. Schröder¹, J. Schwandt¹, M. Sommerhalder¹, H. Stadie¹, G. Steinbrück¹, A. Tews¹, M. Wolf¹

Karlsruher Institut fuer Technologie, Karlsruhe, Germany

S. Brommer¹, M. Burkart¹, E. Butz¹, T. Chwalek¹, A. Dierlamm¹, A. Droll¹, N. Faltermann¹, M. Giffels¹, A. Gottmann¹, F. Hartmann¹,²⁹ R. Hofsaess¹, M. Horzela¹, U. Husemann¹, J. Kieseler¹, M. Klute¹, R. Koppenhöfer¹, J.M. Lawhorn¹, M. Link¹, A. Lintuluoto¹, B. Maier¹, S. Maier¹, S. Mitra¹, M. Mormile¹, Th. Müller¹, M. Neukum¹, M. Oh¹, E. Pfeffer¹, M. Presilla¹, G. Quast¹, K. Rabbertz¹, B. Regnery¹, N. Shadskiy¹, I. Shvetsov¹, H.J. Simonis¹, L. Sowa¹, L. Stockmeier¹, K. Tauqeer¹, M. Toms¹, N. Trevisani¹, R.F. Von Cube¹, M. Wassmer¹, S. Wieland¹, F. Wittig¹, R. Wolf¹, X. Zuo¹

Institute of Nuclear and Particle Physics (INPP), NCSR Demokritos, Aghia Paraskevi, Greece

G. Anagnostou¹, G. Daskalakis¹, A. Kyriakis¹, A. Papadopoulos²⁹, A. Stakia¹

National and Kapodistrian University of Athens, Athens, Greece

P. Kontaxakis¹, G. Melachroinos¹, Z. Painesis¹, A. Panagiotou¹, I. Papavergou¹, I. Paraskevas¹, N. Saoulidou¹, K. Theofilatos¹, E. Tziaferi¹, K. Vellidis¹, I. Zisopoulos¹

National Technical University of Athens, Athens, Greece

G. Bakas¹, T. Chatzistavrou¹, G. Karapostoli¹, K. Kousouris¹, I. Papakrivopoulos¹, E. Siamarkou¹, G. Tsipolitis¹, A. Zacharopoulou¹

University of Ioánnina, Ioánnina, Greece

K. Adamidis¹, I. Bestintzanos¹, I. Evangelou¹, C. Foudas¹, C. Kamtsikis¹, P. Katsoulis¹, P. Kokkas¹, P.G. Kosmoglou Kioseoglou¹, N. Manthos¹, I. Papadopoulos¹, J. Strologas¹

HUN-REN Wigner Research Centre for Physics, Budapest, Hungary

C. Hajdu¹, D. Horvath¹,^{30,31} K. Márton¹, A.J. Rádl¹,³² F. Sikler¹, V. Veszpremi¹

MTA-ELTE Lendület CMS Particle and Nuclear Physics Group, Eötvös Loránd University, Budapest, Hungary

M. Csanád¹, K. Farkas¹, A. Fehérkuti¹,³³ M.M.A. Gadallah¹,³⁴ Á. Kadlecik¹, P. Major¹, G. Pásztor¹, G.I. Veres¹

Faculty of Informatics, University of Debrecen, Debrecen, Hungary

P. Raics¹, B. Ujvari¹, G. Zilizi¹

Institute of Nuclear Research ATOMKI, Debrecen, Hungary

G. Bencze¹, S. Czellar¹, J. Molnar¹, Z. Szillasi¹

Karoly Robert Campus, MATE Institute of Technology, Gyongyos, Hungary

T. Csorgo¹,³³ T. Novak¹

Panjab University, Chandigarh, India

J. Babbar¹, S. Bansal¹, S.B. Beri¹, V. Bhatnagar¹, G. Chaudhary¹, S. Chauhan¹, N. Dhingra¹,³⁵ A. Kaur¹, A. Kaur¹, H. Kaur¹, M. Kaur¹, S. Kumar¹, K. Sandeep¹, T. Sheokand¹, J.B. Singh¹, A. Singla¹

University of Delhi, Delhi, India

A. Ahmed¹, A. Bhardwaj¹, A. Chhetri¹, B.C. Choudhary¹, A. Kumar¹, A. Kumar¹, M. Naimuddin¹, K. Ranjan¹, M.K. Saini¹, S. Saumya¹

Saha Institute of Nuclear Physics, HBNI, Kolkata, India

S. Baradia¹, S. Barman¹,³⁶ S. Bhattacharya¹, S. Das Gupta¹, S. Dutta¹, S. Dutta¹, S. Sarkar¹

Indian Institute of Technology Madras, Madras, India

M.M. Ameen^{ID}, P.K. Behera^{ID}, S.C. Behera^{ID}, S. Chatterjee^{ID}, G. Dash^{ID}, P. Jana^{ID}, P. Kalbhor^{ID}, S. Kamble^{ID}, J.R. Komaragiri^{ID 37}, D. Kumar^{ID 37}, L. Panwar^{ID 37}, P.R. Pujahari^{ID}, N.R. Saha^{ID}, A. Sharma^{ID}, A.K. Sikdar^{ID}, R.K. Singh, P. Verma, S. Verma^{ID}, A. Vijay

Tata Institute of Fundamental Research-A, Mumbai, India

S. Dugad, M. Kumar^{ID}, G.B. Mohanty^{ID}, B. Parida^{ID}, M. Shelake, P. Suryadevara

Tata Institute of Fundamental Research-B, Mumbai, India

A. Bala^{ID}, S. Banerjee^{ID}, R.M. Chatterjee, M. Guchait^{ID}, Sh. Jain^{ID}, A. Jaiswal, S. Kumar^{ID}, G. Majumder^{ID}, K. Mazumdar^{ID}, S. Parolia^{ID}, A. Thachayath^{ID}

National Institute of Science Education and Research, An OCC of Homi Bhabha National Institute, Bhubaneswar, Odisha, India

S. Bahinipati^{ID 38}, C. Kar^{ID}, D. Maity^{ID 39}, P. Mal^{ID}, T. Mishra^{ID}, V.K. Muraleedharan Nair Bindhu^{ID 39}, K. Naskar^{ID 39}, A. Nayak^{ID 39}, S. Nayak, K. Pal, P. Sadangi, S.K. Swain^{ID}, S. Varghese^{ID 39}, D. Vats^{ID 39}

Indian Institute of Science Education and Research (IISER), Pune, India

S. Acharya^{ID 40}, A. Alpana^{ID}, S. Dube^{ID}, B. Gomber^{ID 40}, P. Hazarika^{ID}, B. Kansal^{ID}, A. Laha^{ID}, B. Sahu^{ID 40}, S. Sharma^{ID}, K.Y. Vaish^{ID}

Isfahan University of Technology, Isfahan, Iran

H. Bakhshiansohi^{ID 41}, A. Jafari^{ID 42}, M. Zeinali^{ID 43}

Institute for Research in Fundamental Sciences (IPM), Tehran, Iran

S. Bashiri, S. Chenarani^{ID 44}, S.M. Etesami^{ID}, Y. Hosseini^{ID}, M. Khakzad^{ID}, E. Khazaie^{ID 45}, M. Mohammadi Najafabadi^{ID}, S. Tizchang^{ID}

University College Dublin, Dublin, Ireland

M. Felcini^{ID}, M. Grunewald^{ID}

INFN Sezione di Bari^a, Università di Bari^b, Politecnico di Bari^c, Bari, Italy

M. Abbrescia^{ID a,b}, A. Colaleo^{ID a,b}, D. Creanza^{ID a,c}, B. D'Anzi^{ID a,b}, N. De Filippis^{ID a,c}, M. De Palma^{ID a,b}, L. Fiore^{ID a}, G. Iaselli^{ID a,c}, M. Louka^{ID a,b}, G. Maggi^{ID a,c}, M. Maggi^{ID a}, I. Margjeka^{ID a,b}, V. Mastrapasqua^{ID a,b}, S. My^{ID a,b}, S. Nuzzo^{ID a,b}, A. Pellecchia^{ID a,b}, A. Pompili^{ID a,b}, G. Pugliese^{ID a,c}, R. Radogna^{ID a}, D. Ramos^{ID a}, A. Ranieri^{ID a}, L. Silvestris^{ID a}, F.M. Simone^{ID a,b}, Ü. Sözbilir^{ID a}, A. Stamerra^{ID a}, D. Troiano^{ID a}, R. Venditti^{ID a}, P. Verwilligen^{ID a}, A. Zaza^{ID a,b}

INFN Sezione di Bologna^a, Università di Bologna^b, Bologna, Italy

G. Abbiendi^{ID a}, C. Battilana^{ID a,b}, D. Bonacorsi^{ID a,b}, L. Borroni^{ID a}, P. Capiluppi^{ID a,b}, A. Castro^{ID †,a,b}, F.R. Cavallo^{ID a}, M. Cuffiani^{ID a,b}, G.M. Dallavalle^{ID a}, T. Diotallevi^{ID a,b}, F. Fabbri^{ID a,b}, A. Fanfani^{ID a,b}, D. Fasanella^{ID a,b}, P. Giacomelli^{ID a}, L. Giommi^{ID a,b}, C. Grandi^{ID a}, L. Guiducci^{ID a,b}, S. Lo Meo^{ID a,46}, M. Lorusso^{ID a,b}, L. Lunerti^{ID a}, S. Marcellini^{ID a}, G. Masetti^{ID a}, F.L. Navarria^{ID a,b}, G. Paggi^{ID a}, A. Perrotta^{ID a}, F. Primavera^{ID a,b}, A.M. Rossi^{ID a,b}, S. Rossi Tisbeni^{ID a,b}, T. Rovelli^{ID a,b}, G.P. Siroli^{ID a,b}

INFN Sezione di Catania^a, Università di Catania^b, Catania, Italy

S. Costa^{ID a,b,47}, A. Di Mattia^{ID a}, R. Potenza^{ID a,b}, A. Tricomi^{ID a,b,47}, C. Tuve^{ID a,b}

INFN Sezione di Firenze^a, Università di Firenze^b, Firenze, Italy

P. Assiouras^{ID a}, G. Barbagli^{ID a}, G. Bardelli^{ID a,b}, B. Camaiani^{ID a,b}, A. Cassese^{ID a}, R. Ceccarelli^{ID a}, V. Ciulli^{ID a,b}, C. Civinini^{ID a}, R. D'Alessandro^{ID a,b}, E. Focardi^{ID a,b}, T. Kello^{ID a}, G. Latino^{ID a,b}, P. Lenzi^{ID a,b}, M. Lizzo^{ID a}, M. Meschini^{ID a}, S. Paoletti^{ID a}, A. Papanastassiou^{ID a,b}, G. Sguazzoni^{ID a}, L. Viliani^{ID a}

INFN Laboratori Nazionali di Frascati, Frascati, Italy

L. Benussi^{ID}, S. Bianco^{ID}, S. Meola^{ID 48}, D. Piccolo^{ID}

INFN Sezione di Genova^a, Università di Genova^b, Genova, Italy

P. Chatagnon^a, F. Ferro^a, E. Robutti^a, S. Tosi^{a,b}

INFN Sezione di Milano-Bicocca^a, Università di Milano-Bicocca^b, Milano, Italy

A. Benaglia^a, G. Boldrini^{a,b}, F. Brivio^a, F. Cetorelli^a, F. De Guio^{a,b}, M.E. Dinardo^{a,b}, P. Dini^a, S. Gennai^a, R. Gerosa^{a,b}, A. Ghezzi^{a,b}, P. Govoni^{a,b}, L. Guzzi^a, M.T. Lucchini^{a,b}, M. Malberti^a, S. Malvezzi^a, A. Massironi^a, D. Menasce^a, L. Moroni^a, M. Paganoni^{a,b}, S. Palluotto^{a,b}, D. Pedrini^a, A. Perego^a, B.S. Pinolini^a, G. Pizzati^{a,b}, S. Ragazzi^{a,b}, T. Tabarelli de Fatis^{a,b}

INFN Sezione di Napoli^a, Università di Napoli 'Federico II'^b, Napoli, Italy; Università della Basilicata^c, Potenza, Italy; Scuola Superiore Meridionale (SSM)^d, Napoli, Italy

S. Buontempo^a, A. Cagnotta^{a,b}, F. Carnevali^{a,b}, N. Cavallo^{a,c}, F. Fabozzi^{a,c}, A.O.M. Iorio^{a,b}, L. Lista^{a,b,49}, P. Paolucci^{a,29}, B. Rossi^a, C. Sciacca^{a,b}

INFN Sezione di Padova^a, Università di Padova^b, Padova, Italy; Università di Trento^c, Trento, Italy

R. Ardino^a, P. Azzi^a, N. Bacchetta^{a,50}, M. Benettoni^a, A. Bergnoli^a, D. Bisello^{a,b}, P. Bortignon^a, G. Bortolato^{a,b}, A. Bragagnolo^{a,b}, A.C.M. Bulla^a, P. Checchia^a, T. Dorigo^a, F. Gasparini^{a,b}, U. Gasparini^{a,b}, E. Lusiani^a, M. Margoni^{a,b}, A.T. Meneguzzo^{a,b}, M. Migliorini^{a,b}, J. Pazzini^{a,b}, P. Ronchese^{a,b}, R. Rossin^{a,b}, F. Simonetto^{a,b}, G. Strong^a, M. Tosi^{a,b}, A. Triossi^{a,b}, S. Ventura^a, M. Zanetti^{a,b}, P. Zotto^{a,b}, A. Zucchetta^{a,b}

INFN Sezione di Pavia^a, Università di Pavia^b, Pavia, Italy

C. Aimè^a, A. Braghieri^a, S. Calzaferri^a, D. Fiorina^a, P. Montagna^{a,b}, V. Re^a, C. Riccardi^{a,b}, P. Salvini^a, I. Vai^{a,b}, P. Vitulo^{a,b}

INFN Sezione di Perugia^a, Università di Perugia^b, Perugia, Italy

S. Ajmal^{a,b}, M.E. Ascioti^{a,b}, G.M. Bilei^a, C. Carrivale^{a,b}, D. Ciangottini^{a,b}, L. Fanò^{a,b}, M. Magherini^{a,b}, V. Mariani^{a,b}, M. Menichelli^a, F. Moscatelli^{a,51}, A. Rossi^{a,b}, A. Santocchia^{a,b}, D. Spiga^a, T. Tedeschi^{a,b}

INFN Sezione di Pisa^a, Università di Pisa^b, Scuola Normale Superiore di Pisa^c, Pisa, Italy; Università di Siena^d, Siena, Italy

C.A. Alexe^{a,c}, P. Asenov^{a,b}, P. Azzurri^a, G. Bagliesi^a, R. Bhattacharya^a, L. Bianchini^{a,b}, T. Boccali^a, E. Bossini^a, D. Bruschini^{a,c}, R. Castaldi^a, M.A. Ciocci^{a,b}, M. Cipriani^{a,b}, V. D'Amante^{a,d}, R. Dell'Orso^a, S. Donato^a, A. Giassi^a, F. Ligabue^{a,c}, D. Matos Figueiredo^a, A. Messineo^{a,b}, M. Musich^{a,b}, F. Palla^a, A. Rizzi^{a,b}, G. Rolandi^{a,c}, S. Roy Chowdhury^a, T. Sarkar^a, A. Scribano^a, P. Spagnolo^a, R. Tenchini^a, G. Tonelli^{a,b}, N. Turini^{a,d}, F. Vaselli^{a,c}, A. Venturi^a, P.G. Verdini^a

INFN Sezione di Roma^a, Sapienza Università di Roma^b, Roma, Italy

C. Baldenegro Barrera^{a,b}, P. Barria^a, C. Basile^{a,b}, M. Campana^{a,b}, F. Cavallari^a, L. Cunqueiro Mendez^{a,b}, D. Del Re^{a,b}, E. Di Marco^a, M. Diemoz^a, F. Errico^{a,b}, E. Longo^{a,b}, J. Mijuskovic^{a,b}, G. Organtini^{a,b}, F. Pandolfi^a, R. Paramatti^{a,b}, C. Quaranta^{a,b}, S. Rahatlou^{a,b}, C. Rovelli^a, F. Santanastasio^{a,b}, L. Soffi^a

INFN Sezione di Torino^a, Università di Torino^b, Torino, Italy; Università del Piemonte Orientale^c, Novara, Italy

N. Amapane^{a,b}, R. Arcidiacono^{a,c}, S. Argiro^{a,b}, M. Arneodo^{a,c}, N. Bartosik^a, R. Bellan^{a,b}, A. Bellora^{a,b}, C. Biino^a, C. Borca^{a,b}, N. Cartiglia^a, M. Costa^{a,b}, R. Covarelli^{a,b}, N. Demaria^a, L. Finco^a, M. Grippo^{a,b}, B. Kiani^{a,b}, F. Legger^a, F. Luongo^{a,b}, C. Mariotti^a, L. Markovic^{a,b}, S. Maselli^a, A. Mecca^{a,b}, L. Menzio^{a,b}, P. Meridiani^a, E. Migliore^{a,b}, M. Monteno^a, R. Mulargia^a, M.M. Obertino^{a,b}, G. Ortona^a, L. Pacher^{a,b}, N. Pastrone^a, M. Pelliccioni^a, M. Rupa^{a,c}, F. Siviero^{a,b}, V. Sola^{a,b}, A. Solano^{a,b}, A. Staiano^a, C. Taricone^{a,b}, D. Trocino^a, G. Umoret^{a,b}, E. Vlasov^{a,b}, R. White^{a,b}

INFN Sezione di Trieste^a, Università di Trieste^b, Trieste, Italy

S. Belforte^a, V. Candelise^{a,b}, M. Casarsa^a, F. Cossutti^a, K. De Leo^a, G. Della Ricca^{a,b}

Kyungpook National University, Daegu, Korea

S. Dogra^{id}, J. Hong^{id}, C. Huh^{id}, B. Kim^{id}, J. Kim, D. Lee, H. Lee, S.W. Lee^{id}, C.S. Moon^{id}, Y.D. Oh^{id}, M.S. Ryu^{id},
S. Sekmen^{id}, B. Tae, Y.C. Yang^{id}

Department of Mathematics and Physics - GWNu, Gangneung, Korea

M.S. Kim^{id}

Chonnam National University, Institute for Universe and Elementary Particles, Kwangju, Korea

G. Bak^{id}, P. Gwak^{id}, H. Kim^{id}, D.H. Moon^{id}

Hanyang University, Seoul, Korea

E. Asilar^{id}, J. Choi^{id}, D. Kim^{id}, T.J. Kim^{id}, J.A. Merlin, Y. Ryou

Korea University, Seoul, Korea

S. Choi^{id}, S. Han, B. Hong^{id}, K. Lee, K.S. Lee^{id}, S. Lee^{id}, S.K. Park, J. Yoo^{id}

Kyung Hee University, Department of Physics, Seoul, Korea

J. Goh^{id}, S. Yang^{id}

Sejong University, Seoul, Korea

H. S. Kim^{id}, Y. Kim, S. Lee

Seoul National University, Seoul, Korea

J. Almond, J.H. Bhyun, J. Choi^{id}, J. Choi, W. Jun^{id}, J. Kim^{id}, S. Ko^{id}, H. Kwon^{id}, H. Lee^{id}, J. Lee^{id}, J. Lee^{id}, B.H. Oh^{id},
S.B. Oh^{id}, H. Seo^{id}, U.K. Yang, I. Yoon^{id}

University of Seoul, Seoul, Korea

W. Jang^{id}, D.Y. Kang, Y. Kang^{id}, S. Kim^{id}, B. Ko, J.S.H. Lee^{id}, Y. Lee^{id}, I.C. Park^{id}, Y. Roh, I.J. Watson^{id}

Yonsei University, Department of Physics, Seoul, Korea

S. Ha^{id}, H.D. Yoo^{id}

Sungkyunkwan University, Suwon, Korea

M. Choi^{id}, M.R. Kim^{id}, H. Lee, Y. Lee^{id}, I. Yu^{id}

College of Engineering and Technology, American University of the Middle East (AUM), Dasman, Kuwait

T. Beyrouthy

Riga Technical University, Riga, Latvia

K. Dreimanis^{id}, A. Gaile^{id}, G. Pikurs, A. Potrebko^{id}, M. Seidel^{id}, D. Sidiropoulos Kontos

University of Latvia (LU), Riga, Latvia

N.R. Strautnieks^{id}

Vilnius University, Vilnius, Lithuania

M. Ambrozas^{id}, A. Juodagalvis^{id}, A. Rinkevicius^{id}, G. Tamulaitis^{id}

National Centre for Particle Physics, Universiti Malaya, Kuala Lumpur, Malaysia

I. Yusuff^{id 52}, Z. Zolkapli

Universidad de Sonora (UNISON), Hermosillo, Mexico

J.F. Benitez^{id}, A. Castaneda Hernandez^{id}, H.A. Encinas Acosta, L.G. Gallegos Maríñez, M. León Coello^{id}, J.A. Murillo Qui-
jada^{id}, A. Sehrawat^{id}, L. Valencia Palomo^{id}

Centro de Investigacion y de Estudios Avanzados del IPN, Mexico City, Mexico

G. Ayala^{id}, H. Castilla-Valdez^{id}, H. Crotte Ledesma, E. De La Cruz-Burelo^{id}, I. Heredia-De La Cruz^{id 53}, R. Lopez-
Fernandez^{id}, J. Mejia Guisao^{id}, C.A. Mondragon Herrera, A. Sánchez Hernández^{id}

Universidad Iberoamericana, Mexico City, Mexico

C. Oropeza Barrera^{ID}, D.L. Ramirez Guadarrama, M. Ramírez García^{ID}

Benemerita Universidad Autonoma de Puebla, Puebla, Mexico

I. Bautista^{ID}, I. Pedraza^{ID}, H.A. Salazar Ibarguen^{ID}, C. Uribe Estrada^{ID}

University of Montenegro, Podgorica, Montenegro

I. Bubanja, N. Raicevic^{ID}

University of Canterbury, Christchurch, New Zealand

P.H. Butler^{ID}

National Centre for Physics, Quaid-I-Azam University, Islamabad, Pakistan

A. Ahmad^{ID}, M.I. Asghar, A. Awais^{ID}, M.I.M. Awan, H.R. Hoorani^{ID}, W.A. Khan^{ID}

AGH University of Krakow, Faculty of Computer Science, Electronics and Telecommunications, Krakow, Poland

V. Avati, L. Grzanka^{ID}, M. Malawski^{ID}

National Centre for Nuclear Research, Swierk, Poland

H. Bialkowska^{ID}, M. Bluj^{ID}, M. Górski^{ID}, M. Kazana^{ID}, M. Szeleper^{ID}, P. Zalewski^{ID}

Institute of Experimental Physics, Faculty of Physics, University of Warsaw, Warsaw, Poland

K. Bunkowski^{ID}, K. Doroba^{ID}, A. Kalinowski^{ID}, M. Konecki^{ID}, J. Krolikowski^{ID}, A. Muhammad^{ID}

Warsaw University of Technology, Warsaw, Poland

K. Pozniak^{ID}, W. Zabolotny^{ID}

Laboratório de Instrumentação e Física Experimental de Partículas, Lisboa, Portugal

M. Araujo^{ID}, D. Bastos^{ID}, C. Beirão Da Cruz E Silva^{ID}, A. Boletti^{ID}, M. Bozzo^{ID}, T. Camporesi^{ID}, G. Da Molin^{ID}, P. Faccioli^{ID}, M. Gallinaro^{ID}, J. Hollar^{ID}, N. Leonardo^{ID}, G.B. Marozzo, T. Niknejad^{ID}, A. Petrilli^{ID}, M. Pisano^{ID}, J. Seixas^{ID}, J. Varela^{ID}, J.W. Wulff

Faculty of Physics, University of Belgrade, Belgrade, Serbia

P. Adzic^{ID}, P. Milenovic^{ID}

VINCA Institute of Nuclear Sciences, University of Belgrade, Belgrade, Serbia

M. Dordevic^{ID}, J. Milosevic^{ID}, L. Nadder^{ID}, V. Rekovic

Centro de Investigaciones Energéticas Medioambientales y Tecnológicas (CIEMAT), Madrid, Spain

J. Alcaraz Maestre^{ID}, Cristina F. Bedoya^{ID}, Oliver M. Carretero^{ID}, M. Cepeda^{ID}, M. Cerrada^{ID}, N. Colino^{ID}, B. De La Cruz^{ID}, A. Delgado Peris^{ID}, A. Escalante Del Valle^{ID}, D. Fernández Del Val^{ID}, J.P. Fernández Ramos^{ID}, J. Flix^{ID}, M.C. Fouz^{ID}, O. Gonzalez Lopez^{ID}, S. Goy Lopez^{ID}, J.M. Hernandez^{ID}, M.I. Josa^{ID}, E. Martin Viscasillas^{ID}, D. Moran^{ID}, C. M. Morcillo Perez^{ID}, Á. Navarro Tobar^{ID}, C. Perez Dengra^{ID}, A. Pérez-Calero Yzquierdo^{ID}, J. Puerta Pelayo^{ID}, I. Redondo^{ID}, S. Sánchez Navas^{ID}, J. Sastre^{ID}, J. Vazquez Escobar^{ID}

Universidad Autónoma de Madrid, Madrid, Spain

J.F. de Trocóniz^{ID}

Universidad de Oviedo, Instituto Universitario de Ciencias y Tecnologías Espaciales de Asturias (ICTEA), Oviedo, Spain

B. Alvarez Gonzalez^{ID}, J. Cuevas^{ID}, J. Fernandez Menendez^{ID}, S. Folgueras^{ID}, I. Gonzalez Caballero^{ID}, J.R. González Fernández^{ID}, P. Leguina^{ID}, E. Palencia Cortezon^{ID}, C. Ramón Álvarez^{ID}, V. Rodríguez Bouza^{ID}, A. Soto Rodríguez^{ID}, A. Trapote^{ID}, C. Vico Villalba^{ID}, P. Vischia^{ID}

Instituto de Física de Cantabria (IFCA), CSIC-Universidad de Cantabria, Santander, Spain

S. Bhowmik^{ID}, S. Blanco Fernández^{ID}, J.A. Brochero Cifuentes^{ID}, I.J. Cabrillo^{ID}, A. Calderon^{ID}, J. Duarte Campderros^{ID}, M. Fernandez^{ID}, G. Gomez^{ID}, C. Lasasa García^{ID}, R. Lopez Ruiz^{ID}, C. Martinez Rivero^{ID}, P. Martinez Ruiz del Arbol^{ID}, F. Matorras^{ID}, P. Matorras Cuevas^{ID}, E. Navarrete Ramos^{ID}, J. Piedra Gomez^{ID}, L. Scodellaro^{ID}, I. Vila^{ID}, J.M. Vizan Garcia^{ID}

University of Colombo, Colombo, Sri Lanka

B. Kailasapathy^{ID}⁵⁴, D.D.C. Wickramaratna^{ID}

University of Ruhuna, Department of Physics, Matara, Sri Lanka

W.G.D. Dharmaratna^{ID}⁵⁵, K. Liyanage^{ID}, N. Perera^{ID}

CERN, European Organization for Nuclear Research, Geneva, Switzerland

D. Abbaneo^{ID}, C. Amendola^{ID}, E. Auffray^{ID}, G. Auzinger^{ID}, J. Baechler, D. Barney^{ID}, A. Bermúdez Martínez^{ID}, M. Bianco^{ID}, B. Bilin^{ID}, A.A. Bin Anuar^{ID}, A. Bocci^{ID}, C. Botta^{ID}, E. Brondolin^{ID}, C. Caillol^{ID}, G. Cerminara^{ID}, N. Chernyavskaya^{ID}, D. d'Enterria^{ID}, A. Dabrowski^{ID}, A. David^{ID}, A. De Roeck^{ID}, M.M. Defranchis^{ID}, M. Deile^{ID}, M. Dobson^{ID}, G. Franzoni^{ID}, W. Funk^{ID}, S. Giani, D. Gigi, K. Gill^{ID}, F. Glege^{ID}, L. Gouskos^{ID}, J. Hegeman^{ID}, J.K. Heikkilä^{ID}, B. Huber, V. Innocente^{ID}, T. James^{ID}, P. Janot^{ID}, O. Kaluzinska^{ID}, S. Laurila^{ID}, P. Lecoq^{ID}, E. Leutgeb^{ID}, C. Lourenço^{ID}, L. Malgeri^{ID}, M. Mannelli^{ID}, A.C. Marini^{ID}, M. Matthewman, A. Mehta^{ID}, F. Meijers^{ID}, S. Mersi^{ID}, E. Meschi^{ID}, V. Milosevic^{ID}, F. Monti^{ID}, F. Moortgat^{ID}, M. Mulders^{ID}, I. Neutelings^{ID}, S. Orfanelli, F. Pantaleo^{ID}, G. Petrucciani^{ID}, A. Pfeiffer^{ID}, M. Pierini^{ID}, H. Qu^{ID}, D. Rabadý^{ID}, B. Ribeiro Lopes^{ID}, M. Rovere^{ID}, H. Sakulin^{ID}, S. Sanchez Cruz^{ID}, S. Scarfi^{ID}, C. Schwick^{ID}, M. Selvaggi^{ID}, A. Sharma^{ID}, K. Shchelina^{ID}, P. Silva^{ID}, P. Sphicas^{ID}⁵⁶, A.G. Stahl Leiton^{ID}, A. Steen^{ID}, S. Summers^{ID}, D. Treille^{ID}, P. Tropea^{ID}, D. Walter^{ID}, J. Wanczyk^{ID}⁵⁷, J. Wang, S. Wuchterl^{ID}, P. Zehetner^{ID}, P. Jezdl^{ID}, W.D. Zeuner

Paul Scherrer Institut, Villigen, Switzerland

T. Bevilacqua^{ID}⁵⁸, L. Caminada^{ID}⁵⁸, A. Ebrahimi^{ID}, W. Erdmann^{ID}, R. Horisberger^{ID}, Q. Ingram^{ID}, H.C. Kaestli^{ID}, D. Kotlinski^{ID}, C. Lange^{ID}, M. Missiroli^{ID}⁵⁸, L. Noehte^{ID}⁵⁸, T. Rohe^{ID}

ETH Zurich - Institute for Particle Physics and Astrophysics (IPA), Zurich, Switzerland

T.K. Aarrestad^{ID}, K. Androsov^{ID}⁵⁷, M. Backhaus^{ID}, G. Bonomelli, A. Calandri^{ID}, C. Cazzaniga^{ID}, K. Datta^{ID}, P. De Bryas Dexmiers D'archiac^{ID}⁵⁷, A. De Cosa^{ID}, G. Dissertori^{ID}, M. Dittmar, M. Donegà^{ID}, F. Eble^{ID}, M. Galli^{ID}, K. Geddia^{ID}, F. Glessgen^{ID}, C. Grab^{ID}, N. Härringer^{ID}, T.G. Harte, D. Hits^{ID}, W. Lustermann^{ID}, A.-M. Lyon^{ID}, R.A. Manzoni^{ID}, M. Marchegiani^{ID}, L. Marchese^{ID}, C. Martin Perez^{ID}, A. Mascellani^{ID}⁵⁷, F. Nessi-Tedaldi^{ID}, F. Pauss^{ID}, V. Perovic^{ID}, S. Pigazzini^{ID}, C. Reissel^{ID}, T. Reitenspiess^{ID}, B. Ristic^{ID}, F. Riti^{ID}, R. Seidita^{ID}, J. Steggemann^{ID}⁵⁷, A. Tarabini^{ID}, D. Valsecchi^{ID}, R. Wallny^{ID}

Universität Zürich, Zurich, Switzerland

C. Amsler^{ID}⁵⁹, P. Bärtschi^{ID}, M.F. Canelli^{ID}, K. Cormier^{ID}, M. Huwiler^{ID}, W. Jin^{ID}, A. Jofrehei^{ID}, B. Kilminster^{ID}, S. Leontsinis^{ID}, S.P. Liechti^{ID}, A. Macchiolo^{ID}, P. Meiring^{ID}, F. Meng^{ID}, U. Molinatti^{ID}, J. Motta^{ID}, A. Reimers^{ID}, P. Robmann, M. Senger^{ID}, E. Shokr, F. Stäger^{ID}, R. Tramontano^{ID}

National Central University, Chung-Li, Taiwan

C. Adloff⁶⁰, D. Bhowmik, C.M. Kuo, W. Lin, P.K. Rout^{ID}, P.C. Tiwari^{ID}³⁷, S.S. Yu^{ID}

National Taiwan University (NTU), Taipei, Taiwan

L. Ceard, K.F. Chen^{ID}, P.s. Chen, Z.g. Chen, A. De Iorio^{ID}, W.-S. Hou^{ID}, T.h. Hsu, Y.w. Kao, S. Karmakar^{ID}, G. Kole^{ID}, Y.y. Li^{ID}, R.-S. Lu^{ID}, E. Paganis^{ID}, X.f. Su^{ID}, J. Thomas-Wilsker^{ID}, L.s. Tsai, H.y. Wu, E. Yazgan^{ID}

High Energy Physics Research Unit, Department of Physics, Faculty of Science, Chulalongkorn University, Bangkok, Thailand

C. Asawatangtrakuldee^{ID}, N. Srimanobhas^{ID}, V. Wachirapusanand^{ID}

Çukurova University, Physics Department, Science and Art Faculty, Adana, Turkey

D. Agyel^{ID}, F. Boran^{ID}, F. Dolek^{ID}, I. Dumanoglu^{ID}⁶¹, E. Eskut^{ID}, Y. Guler^{ID}⁶², E. Gurpinar Guler^{ID}⁶², C. Isik^{ID}, O. Kara, A. Kayis Topaksu^{ID}, U. Kiminsu^{ID}, G. Onengut^{ID}, K. Ozdemir^{ID}⁶³, A. Polatoz^{ID}, B. Tali^{ID}⁶⁴, U.G. Tok^{ID}, S. Turkcapar^{ID}, E. Uslan^{ID}, I.S. Zorbakir^{ID}

Middle East Technical University, Physics Department, Ankara, Turkey

G. Sokmen, M. Yalvac^{ID}⁶⁵

Bogazici University, Istanbul, Turkey

B. Akgun^{ID}, I.O. Atakisi^{ID}, E. Gülmez^{ID}, M. Kaya^{ID}⁶⁶, O. Kaya^{ID}⁶⁷, S. Tekten^{ID}⁶⁸

Istanbul Technical University, Istanbul, Turkey

A. Cakir^{ID}, K. Cankocak^{ID}^{61,69}, G.G. Dincer^{ID}⁶¹, Y. Komurcu^{ID}, S. Sen^{ID}⁷⁰

Istanbul University, Istanbul, Turkey

O. Aydilek^{ID}⁷¹, V. Epshteyn^{ID}, B. Hacisahinoglu^{ID}, I. Hos^{ID}⁷², B. Kaynak^{ID}, S. Ozkorucuklu^{ID}, O. Potok^{ID}, H. Sert^{ID}, C. Simsek^{ID}, C. Zorbilmez^{ID}

Yildiz Technical University, Istanbul, Turkey

S. Cerci^{ID}⁶⁴, B. Isildak^{ID}⁷³, D. Sunar Cerci^{ID}, T. Yetkin^{ID}

Institute for Scintillation Materials of National Academy of Science of Ukraine, Kharkiv, Ukraine

A. Boyaryntsev^{ID}, B. Grynyov^{ID}

National Science Centre, Kharkiv Institute of Physics and Technology, Kharkiv, Ukraine

L. Levchuk^{ID}

University of Bristol, Bristol, United Kingdom

D. Anthony^{ID}, J.J. Brooke^{ID}, A. Bundock^{ID}, F. Bury^{ID}, E. Clement^{ID}, D. Cussans^{ID}, H. Flacher^{ID}, M. Glowacki, J. Goldstein^{ID}, H.F. Heath^{ID}, M.-L. Holmberg^{ID}, L. Kreczko^{ID}, S. Paramesvaran^{ID}, L. Robertshaw, S. Seif El Nasr-Storey, V.J. Smith^{ID}, N. Stylianou^{ID}⁷⁴, K. Walkingshaw Pass

Rutherford Appleton Laboratory, Didcot, United Kingdom

A.H. Ball, K.W. Bell^{ID}, A. Belyaev^{ID}⁷⁵, C. Brew^{ID}, R.M. Brown^{ID}, D.J.A. Cockerill^{ID}, C. Cooke^{ID}, A. Elliot^{ID}, K.V. Ellis, K. Harder^{ID}, S. Harper^{ID}, J. Linacre^{ID}, K. Manolopoulos, D.M. Newbold^{ID}, E. Olaiya, D. Petyt^{ID}, T. Reis^{ID}, A.R. Sahasransu^{ID}, G. Salvi^{ID}, T. Schuh, C.H. Shepherd-Themistocleous^{ID}, I.R. Tomalin^{ID}, K.C. Whalen^{ID}, T. Williams^{ID}

Imperial College, London, United Kingdom

I. Andreou^{ID}, R. Bainbridge^{ID}, P. Bloch^{ID}, C.E. Brown^{ID}, O. Buchmuller, V. Cacchio, C.A. Carrillo Montoya^{ID}, G.S. Chahal^{ID}⁷⁶, D. Colling^{ID}, J.S. Dancu, I. Das^{ID}, P. Dauncey^{ID}, G. Davies^{ID}, J. Davies, M. Della Negra^{ID}, S. Fayer, G. Fedi^{ID}, G. Hall^{ID}, M.H. Hassanshahi^{ID}, A. Howard, G. Iles^{ID}, M. Knight^{ID}, J. Langford^{ID}, J. León Holgado^{ID}, L. Lyons^{ID}, A.-M. Magnan^{ID}, S. Mallios, M. Mieskolainen^{ID}, J. Nash^{ID}⁷⁷, M. Pesaresi^{ID}, P.B. Pradeep, B.C. Radburn-Smith^{ID}, A. Richards, A. Rose^{ID}, K. Savva^{ID}, C. Seez^{ID}, xC. Seez^{ID}, A. Tapper^{ID}, K. Uchida^{ID}, G.P. Uttley^{ID}, L.H. Vage, T. Virdee^{ID}²⁹, M. Vojinovic^{ID}, N. Wardle^{ID}, D. Winterbottom^{ID}

Brunel University, Uxbridge, United Kingdom

K. Coldham, J.E. Cole^{ID}, A. Khan, P. Kyberd^{ID}, I.D. Reid^{ID}

Baylor University, Waco, Texas, USA

S. Abdullin^{ID}, A. Brinkerhoff^{ID}, B. Caraway^{ID}, E. Collins^{ID}, J. Dittmann^{ID}, K. Hatakeyama^{ID}, J. Hiltbrand^{ID}, B. McMaster^{ID}, J. Samudio^{ID}, S. Sawant^{ID}, C. Sutantawibul^{ID}, J. Wilson^{ID}

Catholic University of America, Washington, DC, USA

R. Bartek^{ID}, A. Dominguez^{ID}, C. Huerta Escamilla, A.E. Simsek^{ID}, R. Uniyal^{ID}, A.M. Vargas Hernandez^{ID}

The University of Alabama, Tuscaloosa, Alabama, USA

B. Bam^{ID}, A. Buchot Perraguin^{ID}, R. Chudasama^{ID}, S.I. Cooper^{ID}, C. Crovella^{ID}, S.V. Gleyzer^{ID}, E. Pearson, C.U. Perez^{ID}, P. Rumerio^{ID}⁷⁸, E. Usai^{ID}, R. Yi^{ID}

Boston University, Boston, Massachusetts, USA

A. Akpinar^{ID}, C. Cosby^{ID}, G. De Castro, Z. Demiragli^{ID}, C. Erice^{ID}, C. Fangmeier^{ID}, C. Fernandez Madrazo^{ID}, E. Fontanesi^{ID}, D. Gastler^{ID}, F. Golf^{ID}, S. Jeon^{ID}, J. O'cain, I. Reed^{ID}, J. Rohlf^{ID}, K. Salyer^{ID}, D. Sperka^{ID}, D. Spitzbart^{ID}, I. Suarez^{ID}, A. Tsatsos^{ID}, A.G. Zecchinelli^{ID}

Brown University, Providence, Rhode Island, USA

G. Benelli^{ID}, X. Coubez²⁵, D. Cutts^{ID}, M. Hadley^{ID}, U. Heintz^{ID}, J.M. Hogan^{ID}⁷⁹, T. Kwon^{ID}, G. Landsberg^{ID}, K.T. Lau^{ID}, D. Li^{ID}, J. Luo^{ID}, S. Mondal^{ID}, M. Narain^{ID}[†], N. Pervan^{ID}, S. Sagir^{ID}⁸⁰, F. Simpson^{ID}, M. Stamenkovic^{ID}, N. Venkata-subramanian, X. Yan^{ID}, W. Zhang

University of California, Davis, Davis, California, USA

S. Abbott^{ID}, J. Bonilla^{ID}, C. Brainerd^{ID}, R. Breedon^{ID}, H. Cai^{ID}, M. Calderon De La Barca Sanchez^{ID}, M. Chertok^{ID}, M. Citron^{ID}, J. Conway^{ID}, P.T. Cox^{ID}, R. Erbacher^{ID}, F. Jensen^{ID}, O. Kukral^{ID}, G. Mocellin^{ID}, M. Mulhearn^{ID}, S. Ostrom^{ID}, W. Wei^{ID}, Y. Yao^{ID}, S. Yoo^{ID}, F. Zhang^{ID}

University of California, Los Angeles, California, USA

M. Bachtis^{ID}, R. Cousins^{ID}, A. Datta^{ID}, G. Flores Avila, J. Hauser^{ID}, M. Ignatenko^{ID}, M.A. Iqbal^{ID}, T. Lam^{ID}, E. Manca^{ID}, N. Mccoll^{ID}, A. Nunez Del Prado, D. Saltzberg^{ID}, B. Stone^{ID}, V. Valuev^{ID}

University of California, Riverside, Riverside, California, USA

R. Clare^{ID}, J.W. Gary^{ID}, M. Gordon, G. Hanson^{ID}, W. Si^{ID}, S. Wimpenny^{ID}[†]

University of California, San Diego, La Jolla, California, USA

A. Aportela, A. Arora^{ID}, J.G. Branson^{ID}, S. Cittolin^{ID}, S. Cooperstein^{ID}, D. Diaz^{ID}, J. Duarte^{ID}, L. Giannini^{ID}, Y. Gu, J. Guiang^{ID}, R. Kansal^{ID}, V. Krutelyov^{ID}, R. Lee^{ID}, J. Letts^{ID}, M. Masciovecchio^{ID}, F. Mokhtar^{ID}, S. Mukherjee^{ID}, M. Pieri^{ID}, M. Quinnan^{ID}, B.V. Sathia Narayanan^{ID}, V. Sharma^{ID}, M. Tadel^{ID}, E. Vourliotis^{ID}, F. Würthwein^{ID}, Y. Xiang^{ID}, A. Yagil^{ID}

University of California, Santa Barbara - Department of Physics, Santa Barbara, California, USA

A. Barzdukas^{ID}, L. Brennan^{ID}, C. Campagnari^{ID}, K. Downham^{ID}, C. Grieco^{ID}, J. Incandela^{ID}, J. Kim^{ID}, A.J. Li^{ID}, P. Masterson^{ID}, H. Mei^{ID}, J. Richman^{ID}, S.N. Santpur^{ID}, U. Sarica^{ID}, R. Schmitz^{ID}, F. Setti^{ID}, J. Sheplock^{ID}, D. Stuart^{ID}, T.Á. Vámi^{ID}, S. Wang^{ID}, D. Zhang

California Institute of Technology, Pasadena, California, USA

A. Bornheim^{ID}, O. Cerri, A. Latorre, J. Mao^{ID}, H.B. Newman^{ID}, G. Reales Gutiérrez, M. Spiropulu^{ID}, J.R. Vlimant^{ID}, C. Wang^{ID}, S. Xie^{ID}, R.Y. Zhu^{ID}

Carnegie Mellon University, Pittsburgh, Pennsylvania, USA

J. Alison^{ID}, S. An^{ID}, M.B. Andrews^{ID}, P. Bryant^{ID}, M. Cremonesi, V. Dutta^{ID}, T. Ferguson^{ID}, T.A. Gómez Espinosa^{ID}, A. Harilal^{ID}, A. Kallil Tharayil, C. Liu^{ID}, T. Mudholkar^{ID}, S. Murthy^{ID}, P. Palit^{ID}, K. Park, M. Paulini^{ID}, A. Roberts^{ID}, A. Sanchez^{ID}, W. Terrill^{ID}

University of Colorado Boulder, Boulder, Colorado, USA

J.P. Cumalat^{ID}, W.T. Ford^{ID}, A. Hart^{ID}, A. Hassani^{ID}, G. Karathanasis^{ID}, N. Manganelli^{ID}, A. Perloff^{ID}, C. Savard^{ID}, N. Schonbeck^{ID}, K. Stenson^{ID}, K.A. Ulmer^{ID}, S.R. Wagner^{ID}, N. Zipper^{ID}, D. Zuolo^{ID}

Cornell University, Ithaca, New York, USA

J. Alexander^{ID}, S. Bright-Thonney^{ID}, X. Chen^{ID}, D.J. Cranshaw^{ID}, J. Fan^{ID}, X. Fan^{ID}, S. Hogan^{ID}, P. Kotamnives,
J. Monroy^{ID}, M. Oshiro^{ID}, J.R. Patterson^{ID}, M. Reid^{ID}, A. Ryd^{ID}, J. Thom^{ID}, P. Wittich^{ID}, R. Zou^{ID}

Fermi National Accelerator Laboratory, Batavia, Illinois, USA

M. Albrow^{ID}, M. Alyari^{ID}, O. Amram^{ID}, G. Apollinari^{ID}, A. Apresyan^{ID}, L.A.T. Bauerdick^{ID}, D. Berry^{ID}, J. Berryhill^{ID},
P.C. Bhat^{ID}, K. Burkett^{ID}, J.N. Butler^{ID}, A. Canepa^{ID}, G.B. Cerati^{ID}, H.W.K. Cheung^{ID}, F. Chlebana^{ID}, G. Cummings^{ID},
J. Dickinson^{ID}, I. Dutta^{ID}, V.D. Elvira^{ID}, Y. Feng^{ID}, J. Freeman^{ID}, A. Gandrakota^{ID}, Z. Gece^{ID}, L. Gray^{ID}, D. Green,
A. Grummer^{ID}, S. Grünendahl^{ID}, D. Guerrero^{ID}, O. Gutsche^{ID}, R.M. Harris^{ID}, R. Heller^{ID}, T.C. Herwig^{ID}, J. Hirschauer^{ID},
B. Jayatilaka^{ID}, S. Jindariani^{ID}, M. Johnson^{ID}, U. Joshi^{ID}, T. Klijnsma^{ID}, B. Klima^{ID}, K.H.M. Kwok^{ID}, S. Lammel^{ID},
D. Lincoln^{ID}, R. Lipton^{ID}, T. Liu^{ID}, C. Madrid^{ID}, K. Maeshima^{ID}, C. Mantilla^{ID}, D. Mason^{ID}, P. McBride^{ID}, P. Merkel^{ID},
S. Mrenna^{ID}, S. Nahn^{ID}, J. Ngadiuba^{ID}, D. Noonan^{ID}, S. Norberg, V. Papadimitriou^{ID}, N. Pastika^{ID}, K. Pedro^{ID},
C. Pena^{ID}⁸¹, F. Ravera^{ID}, A. Reinsvold Hall^{ID}⁸², L. Ristori^{ID}, M. Safdari^{ID}, E. Sexton-Kennedy^{ID}, N. Smith^{ID}, A. Soha^{ID},
L. Spiegel^{ID}, S. Stoynev^{ID}, J. Strait^{ID}, L. Taylor^{ID}, S. Tkaczyk^{ID}, N.V. Tran^{ID}, L. Uplegger^{ID}, E.W. Vaandering^{ID}, I. Zoi^{ID}

University of Florida, Gainesville, Florida, USA

C. Aruta^{ID}, P. Avery^{ID}, D. Bourilkov^{ID}, P. Chang^{ID}, V. Cherepanov^{ID}, R.D. Field, E. Koenig^{ID}, M. Kolosova^{ID}, J. Konigs-
berg^{ID}, A. Korytov^{ID}, K. Matchev^{ID}, N. Menendez^{ID}, G. Mitselmakher^{ID}, K. Mohrman^{ID}, A. Muthirakalayil Madhu^{ID},
N. Rawal^{ID}, S. Rosenzweig^{ID}, Y. Takahashi^{ID}, J. Wang^{ID}

Florida State University, Tallahassee, Florida, USA

T. Adams^{ID}, A. Al Kadhimi^{ID}, A. Askew^{ID}, S. Bower^{ID}, R. Habibullah^{ID}, V. Hagopian^{ID}, R. Hashmi^{ID}, R.S. Kim^{ID},
S. Kim^{ID}, T. Kolberg^{ID}, G. Martinez, H. Prosper^{ID}, P.R. Prova, M. Wulansatiti^{ID}, R. Yohay^{ID}, J. Zhang

Florida Institute of Technology, Melbourne, Florida, USA

B. Alsufyani, M.M. Baarmand^{ID}, S. Butalla^{ID}, S. Das^{ID}, T. Elkafrawy^{ID}⁸³, M. Hohlmann^{ID}, M. Rahmani, E. Yanes

University of Illinois Chicago, Chicago, USA, Chicago, USA

M.R. Adams^{ID}, A. Baty^{ID}, C. Bennett, R. Cavanaugh^{ID}, R. Escobar Franco^{ID}, O. Evdokimov^{ID}, C.E. Gerber^{ID},
M. Hawksworth, A. Hingrajiya, D.J. Hofman^{ID}, J.h. Lee^{ID}, D. S. Lemos^{ID}, A.H. Merrit^{ID}, C. Mills^{ID}, S. Nanda^{ID}, G. Oh^{ID},
B. Ozek^{ID}, D. Pilipovic^{ID}, R. Pradhan^{ID}, E. Prifti, T. Roy^{ID}, S. Rudrabhatla^{ID}, M.B. Tonjes^{ID}, N. Varelas^{ID}, M.A. Wadud^{ID},
Z. Ye^{ID}, J. Yoo^{ID}

The University of Iowa, Iowa City, Iowa, USA

M. Alhusseini^{ID}, D. Blend, K. Dilsiz^{ID}⁸⁴, L. Emediato^{ID}, G. Karaman^{ID}, O.K. Köseyan^{ID}, J.-P. Merlo, A. Mestvirishvili^{ID}⁸⁵,
O. Neogi, H. Ogul^{ID}⁸⁶, Y. Onel^{ID}, A. Penzo^{ID}, C. Snyder, E. Tiras^{ID}⁸⁷

Johns Hopkins University, Baltimore, Maryland, USA

B. Blumenfeld^{ID}, L. Corcodilos^{ID}, J. Davis^{ID}, A.V. Gritsan^{ID}, L. Kang^{ID}, S. Kyriacou^{ID}, P. Maksimovic^{ID}, M. Roguljic^{ID},
J. Roskes^{ID}, S. Sekhar^{ID}, M. Swartz^{ID}

The University of Kansas, Lawrence, Kansas, USA

A. Abreu^{ID}, L.F. Alcerro Alcerro^{ID}, J. Anguiano^{ID}, S. Arteaga Escatel^{ID}, P. Baringer^{ID}, A. Bean^{ID}, Z. Flowers^{ID},
D. Grove^{ID}, J. King^{ID}, G. Krintiras^{ID}, M. Lazarovits^{ID}, C. Le Mahieu^{ID}, J. Marquez^{ID}, N. Minafra^{ID}, M. Murray^{ID},
M. Nickel^{ID}, M. Pitt^{ID}, S. Popescu^{ID}⁸⁸, C. Rogan^{ID}, C. Royon^{ID}, R. Salvatico^{ID}, S. Sanders^{ID}, C. Smith^{ID}, G. Wilson^{ID}

Kansas State University, Manhattan, Kansas, USA

B. Allmond^{ID}, R. Gujju Gurunadha^{ID}, A. Ivanov^{ID}, K. Kaadze^{ID}, Y. Maravin^{ID}, J. Natoli^{ID}, D. Roy^{ID}, G. Sorrentino^{ID}

University of Maryland, College Park, Maryland, USA

A. Baden^{ID}, A. Belloni^{ID}, J. Bistany-riebman, Y.M. Chen^{ID}, S.C. Eno^{ID}, N.J. Hadley^{ID}, S. Jabeen^{ID}, R.G. Kellogg^{ID}, T. Koeth^{ID}, B. Kronheim, Y. Lai^{ID}, S. Lascio^{ID}, A.C. Mignerey^{ID}, S. Nabili^{ID}, C. Palmer^{ID}, C. Papageorgakis^{ID}, M.M. Paranjpe, L. Wang^{ID}

Massachusetts Institute of Technology, Cambridge, Massachusetts, USA

J. Bendavid^{ID}, I.A. Cali^{ID}, P.c. Chou^{ID}, M. D'Alfonso^{ID}, J. Eysermans^{ID}, C. Freer^{ID}, G. Gomez-Ceballos^{ID}, M. Goncharov, G. Grosso, P. Harris, D. Hoang, D. Kovalskyi^{ID}, J. Krupa^{ID}, L. Lavezzo^{ID}, Y.-J. Lee^{ID}, K. Long^{ID}, C. McGinn, A. Novak^{ID}, C. Paus^{ID}, D. Rankin^{ID}, C. Roland^{ID}, G. Roland^{ID}, S. Rothman^{ID}, G.S.F. Stephans^{ID}, Z. Wang^{ID}, B. Wyslouch^{ID}, T. J. Yang^{ID}

University of Minnesota, Minneapolis, Minnesota, USA

B. Crossman^{ID}, B.M. Joshi^{ID}, C. Kapsiak^{ID}, M. Krohn^{ID}, D. Mahon^{ID}, J. Mans^{ID}, B. Marzocchi^{ID}, M. Revering^{ID}, R. Rusack^{ID}, R. Saradhy^{ID}, N. Strobbe^{ID}

University of Mississippi, Oxford, Mississippi, USA

L.M. Cremaldi^{ID}

University of Nebraska-Lincoln, Lincoln, Nebraska, USA

K. Bloom^{ID}, D.R. Claes^{ID}, G. Haza^{ID}, J. Hossain^{ID}, C. Joo^{ID}, I. Kravchenko^{ID}, J.E. Siado^{ID}, W. Tabb^{ID}, A. Vagnerini^{ID}, A. Wightman^{ID}, F. Yan^{ID}, D. Yu^{ID}

State University of New York at Buffalo, Buffalo, New York, USA

H. Bandyopadhyay^{ID}, L. Hay^{ID}, H.w. Hsia, I. Iashvili^{ID}, A. Kalogeropoulos^{ID}, A. Kharchilava^{ID}, M. Morris^{ID}, D. Nguyen^{ID}, S. Rappoccio^{ID}, H. Rejeb Sfar, A. Williams^{ID}, P. Young^{ID}

Northeastern University, Boston, Massachusetts, USA

G. Alverson^{ID}, E. Barberis^{ID}, J. Dervan, Y. Haddad^{ID}, Y. Han^{ID}, A. Krishna^{ID}, J. Li^{ID}, M. Lu^{ID}, G. Madigan^{ID}, R. McCarthy^{ID}, D.M. Morse^{ID}, V. Nguyen^{ID}, T. Orimoto^{ID}, A. Parker^{ID}, L. Skinnari^{ID}, D. Wood^{ID}

Northwestern University, Evanston, Illinois, USA

J. Bueghly, S. Dittmer^{ID}, K.A. Hahn^{ID}, Y. Liu^{ID}, Y. Miao^{ID}, D.G. Monk^{ID}, M.H. Schmitt^{ID}, A. Taliencio^{ID}, M. Velasco

University of Notre Dame, Notre Dame, Indiana, USA

G. Agarwal^{ID}, R. Band^{ID}, R. Bucci, S. Castells^{ID}, A. Das^{ID}, R. Goldouzian^{ID}, M. Hildreth^{ID}, K.W. Ho^{ID}, K. Hurtado Anampa^{ID}, T. Ivanov^{ID}, C. Jessop^{ID}, K. Lannon^{ID}, J. Lawrence^{ID}, N. Loukas^{ID}, L. Lutton^{ID}, J. Mariano, N. Marinelli, I. Mcalister, T. McCauley^{ID}, C. Mcgrady^{ID}, C. Moore^{ID}, Y. Musienko^{ID}, H. Nelson^{ID}, M. Osherson^{ID}, A. Piccinelli^{ID}, R. Ruchti^{ID}, A. Townsend^{ID}, Y. Wan, M. Wayne^{ID}, H. Yockey, M. Zarucki^{ID}, L. Zygala^{ID}

The Ohio State University, Columbus, Ohio, USA

A. Basnet^{ID}, B. Bylsma, M. Carrigan^{ID}, L.S. Durkin^{ID}, C. Hill^{ID}, M. Joyce^{ID}, M. Nunez Ornelas^{ID}, K. Wei, B.L. Winer^{ID}, B.R. Yates^{ID}

Princeton University, Princeton, New Jersey, USA

H. Bouchamaoui^{ID}, P. Das^{ID}, G. Dezoort^{ID}, P. Elmer^{ID}, A. Frankenthal^{ID}, B. Greenberg^{ID}, N. Haubrich^{ID}, K. Kennedy, G. Kopp^{ID}, S. Kwan^{ID}, D. Lange^{ID}, A. Loeliger^{ID}, D. Marlow^{ID}, I. Ojalvo^{ID}, J. Olsen^{ID}, A. Shevelev^{ID}, D. Stickland^{ID}, C. Tully^{ID}

University of Puerto Rico, Mayaguez, Puerto Rico, USA

S. Malik^{ID}

Purdue University, West Lafayette, Indiana, USA

A.S. Bakshi^{ID}, V.E. Barnes^{ID}, S. Chandra^{ID}, R. Chawla^{ID}, A. Gu^{ID}, L. Gutay, M. Jones^{ID}, A.W. Jung^{ID}, A.M. Koshy, M. Liu^{ID}, G. Negro^{ID}, N. Neumeister^{ID}, G. Paspalaki^{ID}, S. Piperov^{ID}, V. Scheurer, J.F. Schulte^{ID}, M. Stojanovic^{ID}, J. Thieman^{ID}, A.K. Virdi^{ID}, F. Wang^{ID}, W. Xie^{ID}

Purdue University Northwest, Hammond, Indiana, USA

J. Dolen^{ID}, N. Parashar^{ID}, A. Pathak^{ID}

Rice University, Houston, Texas, USA

D. Acosta^{ID}, T. Carnahan^{ID}, K.M. Ecklund^{ID}, P.J. Fernández Manteca^{ID}, S. Freed, P. Gardner, F.J.M. Geurts^{ID}, W. Li^{ID}, J. Lin^{ID}, O. Miguel Colin^{ID}, B.P. Padley^{ID}, R. Redjimi, J. Rotter^{ID}, E. Yigitbasi^{ID}, Y. Zhang^{ID}

University of Rochester, Rochester, New York, USA

A. Bodek^{ID}, P. de Barbaro^{ID}, R. Demina^{ID}, J.L. Dulemba^{ID}, A. Garcia-Bellido^{ID}, O. Hindrichs^{ID}, A. Khukhunaishvili^{ID}, N. Parmar, P. Parygin^{ID}⁸⁹, E. Popova^{ID}⁸⁹, R. Taus^{ID}

The Rockefeller University, New York, New York, USA

K. Goulios^{ID}

Rutgers, The State University of New Jersey, Piscataway, New Jersey, USA

B. Chiarito, J.P. Chou^{ID}, S.V. Clark^{ID}, D. Gadkari^{ID}, Y. Gershtein^{ID}, E. Halkiadakis^{ID}, M. Heindl^{ID}, C. Houghton^{ID}, D. Jaroslawski^{ID}, O. Karacheban^{ID}²⁷, S. Konstantinou^{ID}, I. Laflotte^{ID}, A. Lath^{ID}, R. Montalvo, K. Nash, J. Reichert^{ID}, H. Routray^{ID}, P. Saha^{ID}, S. Salur^{ID}, S. Schnetzer, S. Somalwar^{ID}, R. Stone^{ID}, S.A. Thayil^{ID}, S. Thomas, J. Vora^{ID}, H. Wang^{ID}

University of Tennessee, Knoxville, Tennessee, USA

H. Acharya, D. Ally^{ID}, A.G. Delannoy^{ID}, S. Fiorendi^{ID}, S. Higginbotham^{ID}, T. Holmes^{ID}, A.R. Kanuganti^{ID}, N. Karunaratna^{ID}, L. Lee^{ID}, E. Nibigira^{ID}, S. Spanier^{ID}

Texas A&M University, College Station, Texas, USA

D. Aebi^{ID}, M. Ahmad^{ID}, T. Akhter^{ID}, O. Bouhali^{ID}⁹⁰, R. Eusebi^{ID}, J. Gilmore^{ID}, T. Huang^{ID}, T. Kamon^{ID}⁹¹, H. Kim^{ID}, S. Luo^{ID}, R. Mueller^{ID}, D. Overton^{ID}, D. Rathjens^{ID}, A. Safonov^{ID}

Texas Tech University, Lubbock, Texas, USA

N. Akchurin^{ID}, J. Damgov^{ID}, N. Gogate^{ID}, V. Hegde^{ID}, A. Hussain^{ID}, Y. Kazhykarim, K. Lamichhane^{ID}, S.W. Lee^{ID}, A. Mankel^{ID}, T. Peltola^{ID}, I. Volobouev^{ID}

Vanderbilt University, Nashville, Tennessee, USA

E. Appelt^{ID}, Y. Chen^{ID}, S. Greene, A. Gurrola^{ID}, W. Johns^{ID}, R. Kunnawalkam Elayavalli^{ID}, A. Melo^{ID}, F. Romeo^{ID}, P. Sheldon^{ID}, S. Tuo^{ID}, J. Velkovska^{ID}, J. Viinikainen^{ID}

University of Virginia, Charlottesville, Virginia, USA

B. Cardwell^{ID}, B. Cox^{ID}, J. Hakala^{ID}, R. Hirosky^{ID}, A. Ledovsky^{ID}, C. Neu^{ID}

Wayne State University, Detroit, Michigan, USA

S. Bhattacharya^{ID}, P.E. Karchin^{ID}

University of Wisconsin - Madison, Madison, Wisconsin, USA

A. Aravind, S. Banerjee^{ID}, K. Black^{ID}, T. Bose^{ID}, S. Dasu^{ID}, I. De Bruyn^{ID}, P. Everaerts^{ID}, C. Galloni, H. He^{ID}, M. Herndon^{ID}, A. Herve^{ID}, C.K. Koraka^{ID}, A. Lanaro, R. Loveless^{ID}, J. Madhusudanan Sreekala^{ID}, A. Mallampalli^{ID}, A. Mohammadi^{ID}, S. Mondal, G. Parida^{ID}, L. Pétre^{ID}, D. Pinna, A. Savin, V. Shang^{ID}, V. Sharma^{ID}, W.H. Smith^{ID}, D. Teague, H.F. Tsoi^{ID}, W. Vetens^{ID}, A. Warden^{ID}

Authors affiliated with an institute or an international laboratory covered by a cooperation agreement with CERN

S. Afanasiev^{ID}, V. Alexakhin^{ID}, V. Andreev^{ID}, Yu. Andreev^{ID}, T. Aushev^{ID}, M. Azarkin^{ID}, A. Babaev^{ID}, V. Blinov^{ID}⁹², E. Boos^{ID}, V. Borshch^{ID}, D. Budkouski^{ID}, V. Bunichev^{ID}, V. Chekhovsky, R. Chistov^{ID}⁹², M. Danilov^{ID}⁹², A. Dermenev^{ID}

T. Dimova^{ID 92}, D. Druzhkin^{ID 93}, M. Dubinin^{ID 81}, L. Dudko^{ID}, A. Ershov^{ID}, G. Gavrilov^{ID}, V. Gavrilov^{ID}, S. Gninenko^{ID}, V. Golovtsov^{ID}, N. Golubev^{ID}, I. Golutvin^{ID}, I. Gorbunov^{ID}, A. Gribushin^{ID}, Y. Ivanov^{ID}, V. Kachanov^{ID}, V. Karjavine^{ID}, A. Karneyev^{ID}, V. Kim^{ID 92}, M. Kirakosyan, D. Kirpichnikov^{ID}, M. Kirsanov^{ID}, V. Klyukhin^{ID}, O. Kodolova^{ID 94}, D. Konstantinov^{ID}, V. Korenkov^{ID}, A. Kozyrev^{ID 92}, N. Krasnikov^{ID}, A. Lanev^{ID}, P. Levchenko^{ID 95}, N. Lychkovskaya^{ID}, V. Makarenko^{ID}, A. Malakhov^{ID}, V. Matveev^{ID 92}, V. Murzin^{ID}, A. Nikitenko^{ID 96,94}, S. Obraztsov^{ID}, V. Oreshkin^{ID}, V. Palichik^{ID}, V. Perelygin^{ID}, S. Petrushanko^{ID}, S. Polikarpov^{ID 92}, V. Popov^{ID}, O. Radchenko^{ID 92}, M. Savina^{ID}, V. Savrin^{ID}, V. Shalaev^{ID}, S. Shmatov^{ID}, S. Shulha^{ID}, Y. Skovpen^{ID 92}, S. Slabospitskii^{ID}, V. Smirnov^{ID}, A. Snigirev^{ID}, D. Sosnov^{ID}, V. Sulimov^{ID}, E. Tcherniaev^{ID}, A. Terkulov^{ID}, O. Teryaev^{ID}, I. Tlisova^{ID}, A. Toropin^{ID}, L. Uvarov^{ID}, A. Uzunian^{ID}, A. Vorobyev[†], N. Voytishin^{ID}, B.S. Yuldashev⁹⁷, A. Zarubin^{ID}, I. Zhizhin^{ID}, A. Zhokin^{ID}

[†]: Deceased

¹Also at Yerevan State University, Yerevan, Armenia

²Also at TU Wien, Vienna, Austria

³Also at Institute of Basic and Applied Sciences, Faculty of Engineering, Arab Academy for Science, Technology and Maritime Transport, Alexandria, Egypt

⁴Also at Ghent University, Ghent, Belgium

⁵Also at Universidade do Estado do Rio de Janeiro, Rio de Janeiro, Brazil

⁶Also at Universidade Estadual de Campinas, Campinas, Brazil

⁷Also at Federal University of Rio Grande do Sul, Porto Alegre, Brazil

⁸Also at UFMS, Nova Andradina, Brazil

⁹Also at Nanjing Normal University, Nanjing, China

¹⁰Now at The University of Iowa, Iowa City, Iowa, USA

¹¹Also at University of Chinese Academy of Sciences, Beijing, China

¹²Also at China Center of Advanced Science and Technology, Beijing, China

¹³Also at University of Chinese Academy of Sciences, Beijing, China

¹⁴Also at China Spallation Neutron Source, Guangdong, China

¹⁵Now at Henan Normal University, Xinxiang, China

¹⁶Also at Université Libre de Bruxelles, Bruxelles, Belgium

¹⁷Also at an institute or an international laboratory covered by a cooperation agreement with CERN

¹⁸Also at Suez University, Suez, Egypt

¹⁹Now at British University in Egypt, Cairo, Egypt

²⁰Also at Purdue University, West Lafayette, Indiana, USA

²¹Also at Université de Haute Alsace, Mulhouse, France

²²Also at Department of Physics, Tsinghua University, Beijing, China

²³Also at The University of the State of Amazonas, Manaus, Brazil

²⁴Also at University of Hamburg, Hamburg, Germany

²⁵Also at RWTH Aachen University, III. Physikalisches Institut A, Aachen, Germany

²⁶Also at Bergische University Wuppertal (BUW), Wuppertal, Germany

²⁷Also at Brandenburg University of Technology, Cottbus, Germany

²⁸Also at Forschungszentrum Jülich, Jülich, Germany

²⁹Also at CERN, European Organization for Nuclear Research, Geneva, Switzerland

³⁰Also at Institute of Nuclear Research ATOMKI, Debrecen, Hungary

³¹Now at Universitatea Babeș-Bolyai - Facultatea de Fizica, Cluj-Napoca, Romania

³²Also at MTA-ELTE Lendület CMS Particle and Nuclear Physics Group, Eötvös Loránd University, Budapest, Hungary

³³Also at HUN-REN Wigner Research Centre for Physics, Budapest, Hungary

³⁴Also at Physics Department, Faculty of Science, Assiut University, Assiut, Egypt

³⁵Also at Punjab Agricultural University, Ludhiana, India

³⁶Also at University of Visva-Bharati, Santiniketan, India

³⁷Also at Indian Institute of Science (IISc), Bangalore, India

³⁸Also at IIT Bhuvanewar, Bhuvanewar, India

³⁹Also at Institute of Physics, Bhuvanewar, India

⁴⁰Also at University of Hyderabad, Hyderabad, India

⁴¹Also at Deutsches Elektronen-Synchrotron, Hamburg, Germany

⁴²Also at Isfahan University of Technology, Isfahan, Iran

⁴³Also at Sharif University of Technology, Tehran, Iran

- ⁴⁴ Also at Department of Physics, University of Science and Technology of Mazandaran, Behshahr, Iran
- ⁴⁵ Also at Department of Physics, Isfahan University of Technology, Isfahan, Iran
- ⁴⁶ Also at Italian National Agency for New Technologies, Energy and Sustainable Economic Development, Bologna, Italy
- ⁴⁷ Also at Centro Siciliano di Fisica Nucleare e di Struttura Della Materia, Catania, Italy
- ⁴⁸ Also at Università degli Studi Guglielmo Marconi, Roma, Italy
- ⁴⁹ Also at Scuola Superiore Meridionale, Università di Napoli 'Federico II', Napoli, Italy
- ⁵⁰ Also at Fermi National Accelerator Laboratory, Batavia, Illinois, USA
- ⁵¹ Also at Consiglio Nazionale delle Ricerche - Istituto Officina dei Materiali, Perugia, Italy
- ⁵² Also at Department of Applied Physics, Faculty of Science and Technology, Universiti Kebangsaan Malaysia, Bangi, Malaysia
- ⁵³ Also at Consejo Nacional de Ciencia y Tecnología, Mexico City, Mexico
- ⁵⁴ Also at Trincomalee Campus, Eastern University, Sri Lanka, Nilaveli, Sri Lanka
- ⁵⁵ Also at Saegis Campus, Nugegoda, Sri Lanka
- ⁵⁶ Also at National and Kapodistrian University of Athens, Athens, Greece
- ⁵⁷ Also at Ecole Polytechnique Fédérale Lausanne, Lausanne, Switzerland
- ⁵⁸ Also at Universität Zürich, Zurich, Switzerland
- ⁵⁹ Also at Stefan Meyer Institute for Subatomic Physics, Vienna, Austria
- ⁶⁰ Also at Laboratoire d'Annecy-le-Vieux de Physique des Particules, IN2P3-CNRS, Annecy-le-Vieux, France
- ⁶¹ Also at Near East University, Research Center of Experimental Health Science, Mersin, Turkey
- ⁶² Also at Konya Technical University, Konya, Turkey
- ⁶³ Also at Izmir Bakircay University, Izmir, Turkey
- ⁶⁴ Also at Adiyaman University, Adiyaman, Turkey
- ⁶⁵ Also at Bozok Universitetesi Rektörlüğü, Yozgat, Turkey
- ⁶⁶ Also at Marmara University, Istanbul, Turkey
- ⁶⁷ Also at Milli Savunma University, Istanbul, Turkey
- ⁶⁸ Also at Kafkas University, Kars, Turkey
- ⁶⁹ Now at Istanbul Okan University, Istanbul, Turkey
- ⁷⁰ Also at Hacettepe University, Ankara, Turkey
- ⁷¹ Also at Erzincan Binali Yildirim University, Erzincan, Turkey
- ⁷² Also at Istanbul University - Cerrahpasa, Faculty of Engineering, Istanbul, Turkey
- ⁷³ Also at Yildiz Technical University, Istanbul, Turkey
- ⁷⁴ Also at Vrije Universiteit Brussel, Brussel, Belgium
- ⁷⁵ Also at School of Physics and Astronomy, University of Southampton, Southampton, United Kingdom
- ⁷⁶ Also at IPPP Durham University, Durham, United Kingdom
- ⁷⁷ Also at Monash University, Faculty of Science, Clayton, Australia
- ⁷⁸ Also at Università di Torino, Torino, Italy
- ⁷⁹ Also at Bethel University, St. Paul, Minnesota, USA
- ⁸⁰ Also at Karamanoğlu Mehmetbey University, Karaman, Turkey
- ⁸¹ Also at California Institute of Technology, Pasadena, California, USA
- ⁸² Also at United States Naval Academy, Annapolis, Maryland, USA
- ⁸³ Also at Ain Shams University, Cairo, Egypt
- ⁸⁴ Also at Bingol University, Bingol, Turkey
- ⁸⁵ Also at Georgian Technical University, Tbilisi, Georgia
- ⁸⁶ Also at Sinop University, Sinop, Turkey
- ⁸⁷ Also at Erciyes University, Kayseri, Turkey
- ⁸⁸ Also at Horia Hulubei National Institute of Physics and Nuclear Engineering (IFIN-HH), Bucharest, Romania
- ⁸⁹ Now at an institute or an international laboratory covered by a cooperation agreement with CERN
- ⁹⁰ Also at Texas A&M University at Qatar, Doha, Qatar
- ⁹¹ Also at Kyungpook National University, Daegu, Korea
- ⁹² Also at another institute or international laboratory covered by a cooperation agreement with CERN
- ⁹³ Also at Universiteit Antwerpen, Antwerpen, Belgium
- ⁹⁴ Also at Yerevan Physics Institute, Yerevan, Armenia
- ⁹⁵ Also at Northeastern University, Boston, Massachusetts, USA
- ⁹⁶ Also at Imperial College, London, United Kingdom
- ⁹⁷ Also at Institute of Nuclear Physics of the Uzbekistan Academy of Sciences, Tashkent, Uzbekistan

Appendix B. Supplementary data

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Glossary

A, a: CP-odd Higgs bosons in extended Higgs sector models
ATLAS: A Toroidal LHC Apparatus
BDT: Boosted decision tree
BSM: Beyond the standard model
CL: Confidence level
CMS: Compact Muon Solenoid
CP: Charge-parity (symmetry)
CR: Control region
DDT: Designing decorrelated taggers (procedure)
DNN: Deep neural network
DT: Deep tau (identification algorithm)
DY: Drell–Yan (process)
ECAL: Electromagnetic calorimeter
EFT: Effective field theory
EW: Electroweak
G: Graviton
ggF: Gluon-gluon fusion (production process)
HCAL: Hadron calorimeter
HH: Higgs boson pair
HL-LHC: High-Luminosity Large Hadron Collider
hMSSM: Higgs Mass Standard Model (scenario)
HVT: Heavy vector triplet (model)
KK: Kaluza–Klein (graviton)
LHC: Large Hadron Collider
LO: Leading order
MC: Monte Carlo (simulation)
MSSM: Minimal supersymmetric standard model
MVA: Multi-variate analysis
NMSSM: Next-to-minimal supersymmetric standard model
NLO: Next-to-leading order
NN: Neural network
NWA: Narrow-width approximation
N2HDM: Next-to-minimal 2HDM
PF: Particle flow (method of reconstructing particle candidates)
pp: Proton–proton
PU: Pileup
PUPPI: Pileup-per-particle identification (algorithm)
QCD: Quantum chromo-dynamics
R: Radion (graviscalar in the RS model), also distance in $(\Delta\eta, \Delta\phi)$ space
RS: Randall–Sundrum (model)
Run 2: The second run of the LHC, during the years 2015–2018
SD: Soft-drop (algorithm)
SM: Standard model
SR: Signal region
SUSY: Supersymmetry
 $\sqrt{s}$: The center-of-mass energy
TRSM: Two-real-singlet Model
UFO: Universal FeynRules output
V: Vector boson (W or Z)
VBF: Vector boson fusion (production process)
VH: Vector plus Higgs boson (production process or decay channel)
WED: Warped extra dimensions (model)
2HDM: Two-Higgs-doublet Model
2HDM+S: Two-Higgs-doublet-plus-additional-singlet model